

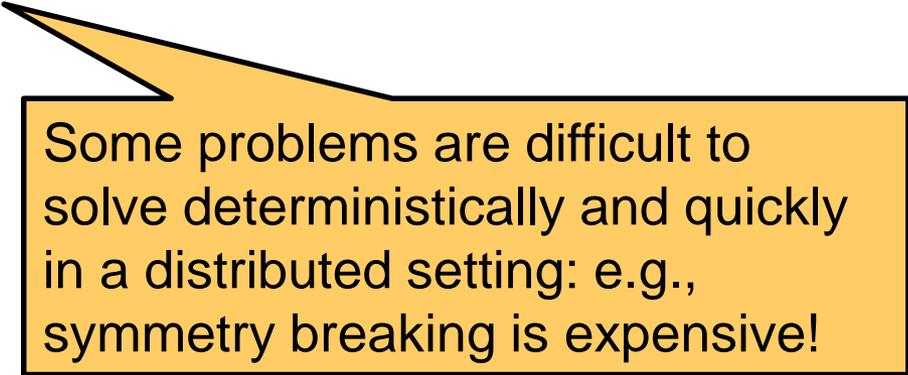
GIAN Course on Distributed Network Algorithms

The Power of Randomization

Case Study: Independent Sets

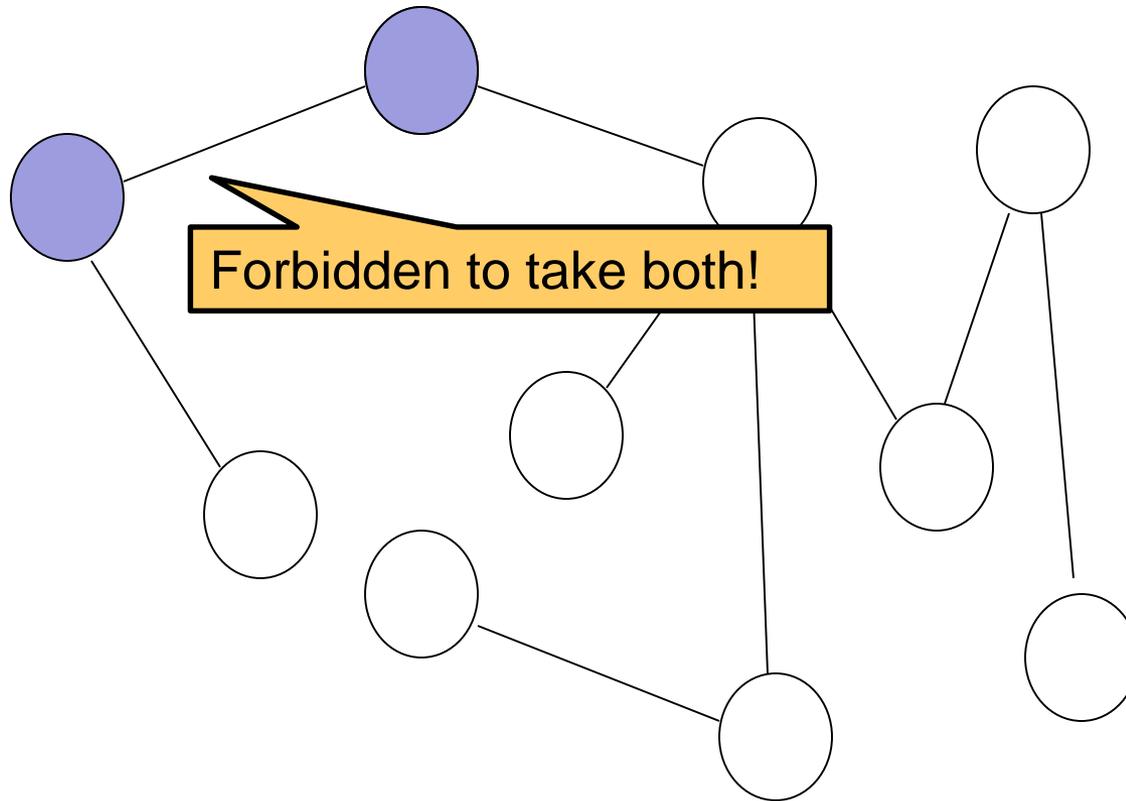
The Power of Randomization

Case Study: Independent Sets

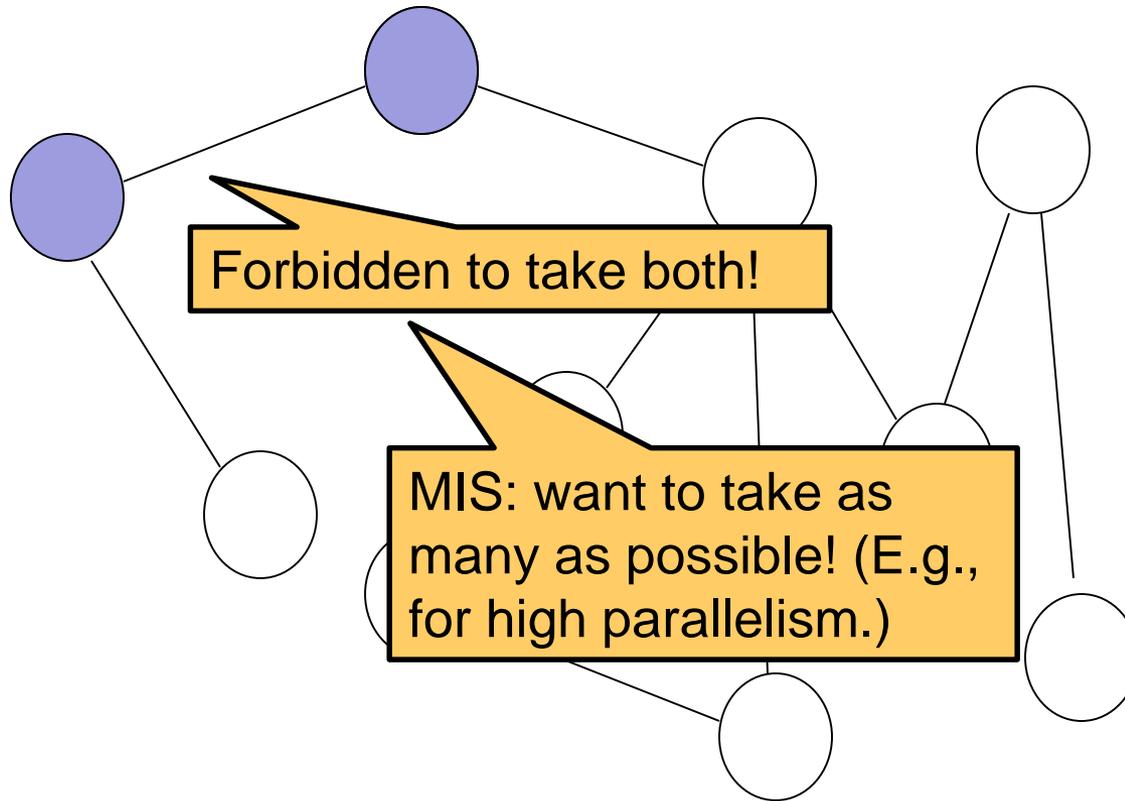


Some problems are difficult to solve deterministically and quickly in a distributed setting: e.g., symmetry breaking is expensive!

Independent Sets: Set of Non-Neighboring Nodes



Independent Sets: Set of Non-Neighboring Nodes



Case Study: Maximal Independent Sets

Formally:

MIS

An independent set (IS) of an undirected graph is a subset U of nodes such that no two nodes in U are adjacent. An IS is maximal if no node can be added to U without violating IS (called **MIS**). A maximum IS (called **MaxIS**) is one of maximum cardinality.

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In non-distributed setting: MIS is easy, MaxIS is hard!

Case Study: Maximal Independent Sets

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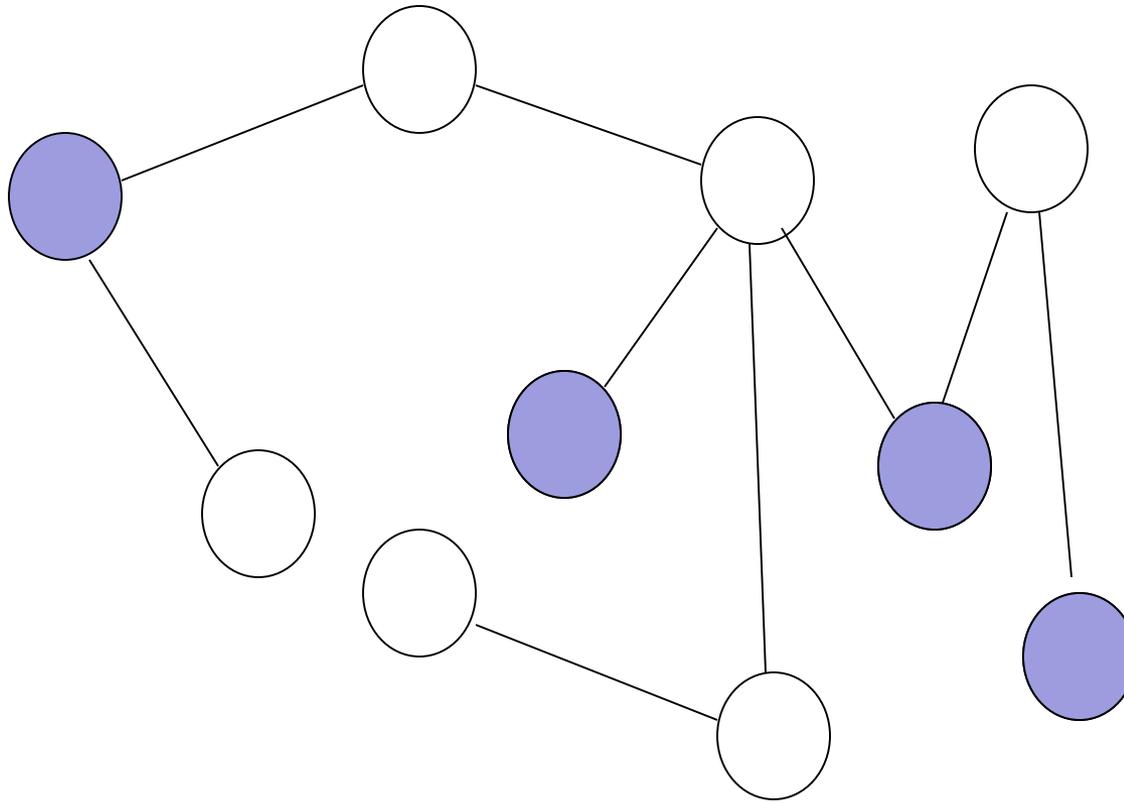
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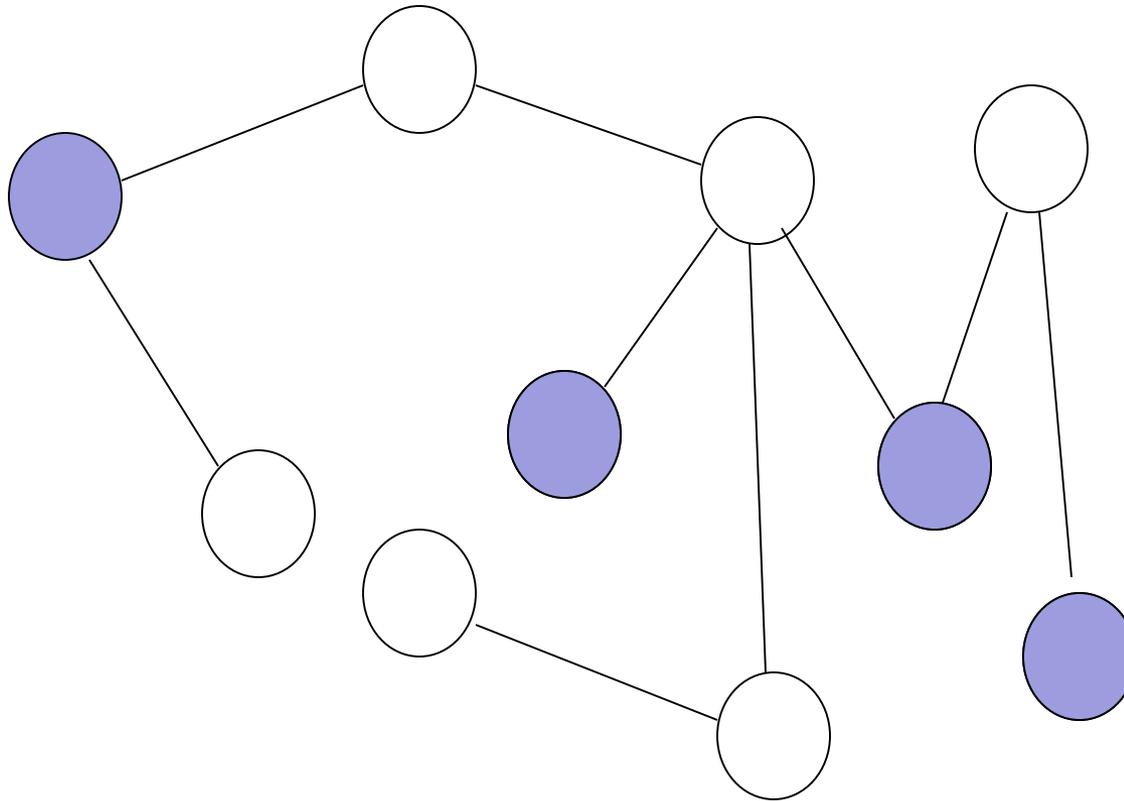
In non-distributed setting: MIS is easy, MaxIS is hard!

Applications: similar to coloring, e.g., symmetry breaking and parallelism. Also building block to compute **matchings** and **load-balancing**.

Nothing, IS, MIS, MaxIS?

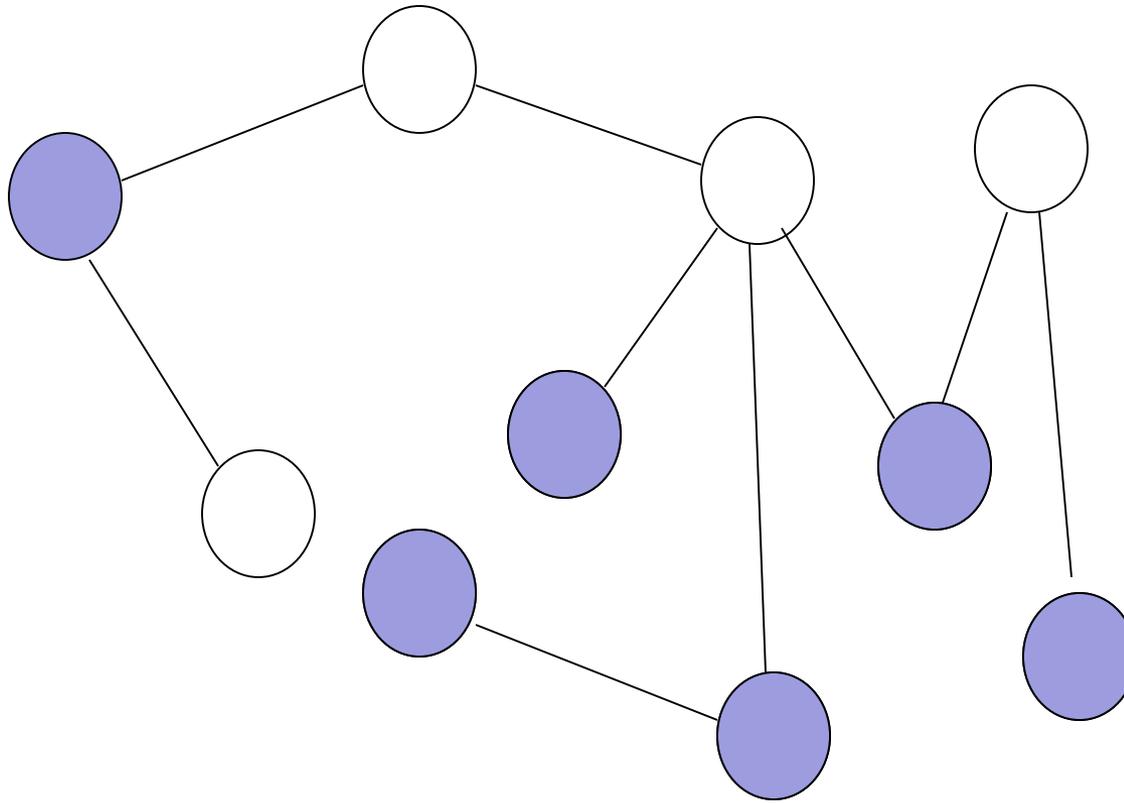


Nothing, IS, MIS, MaxIS?

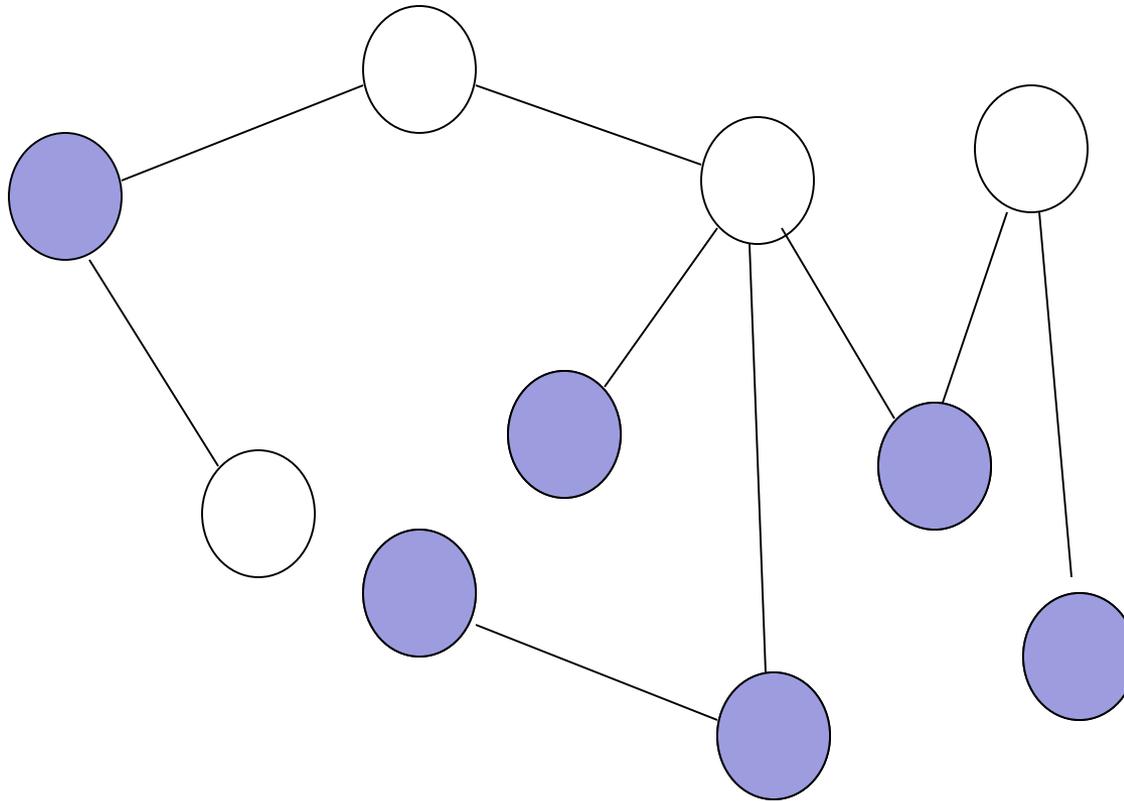


IS but not MIS.

Nothing, IS, MIS, MaxIS?

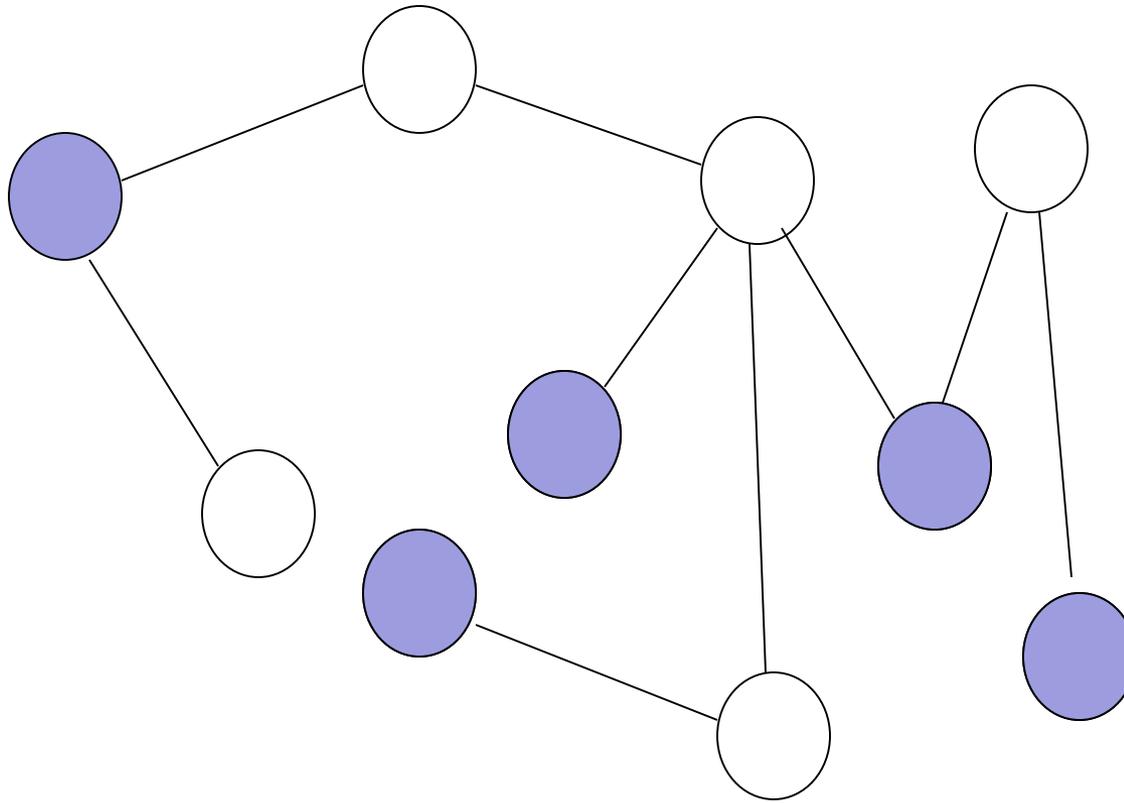


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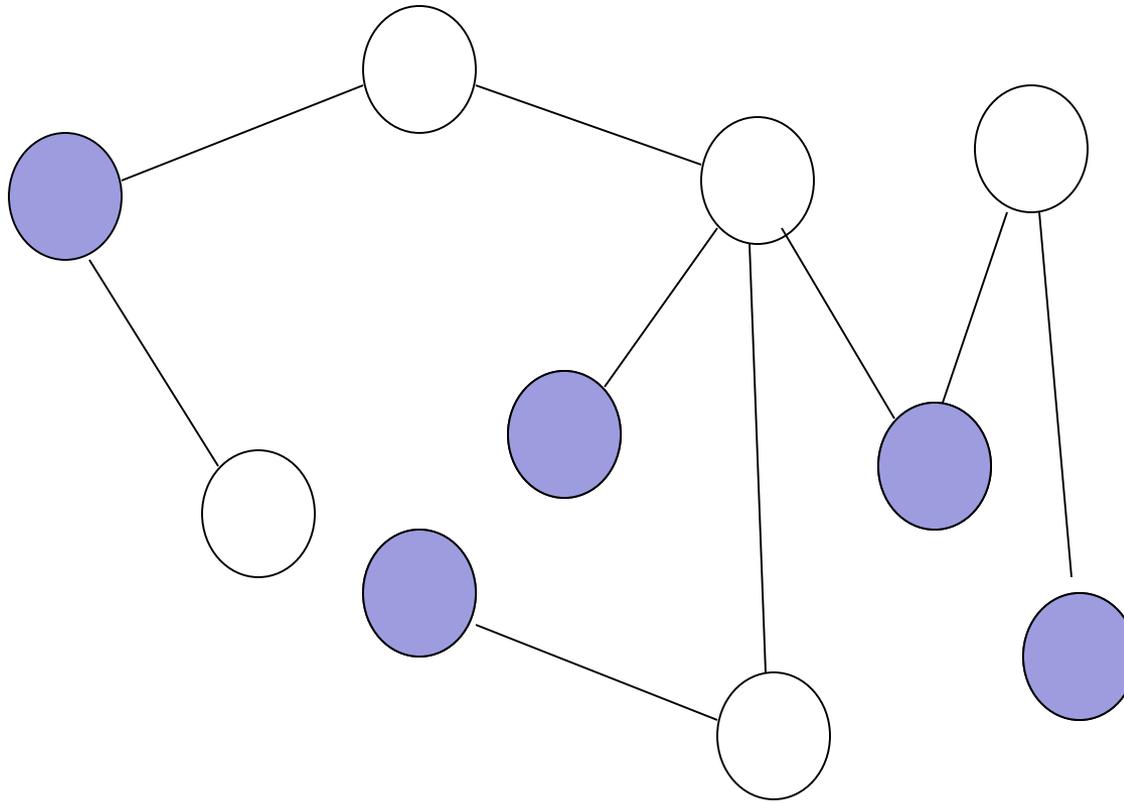


Nothing.

Nothing, IS, MIS, MaxIS?

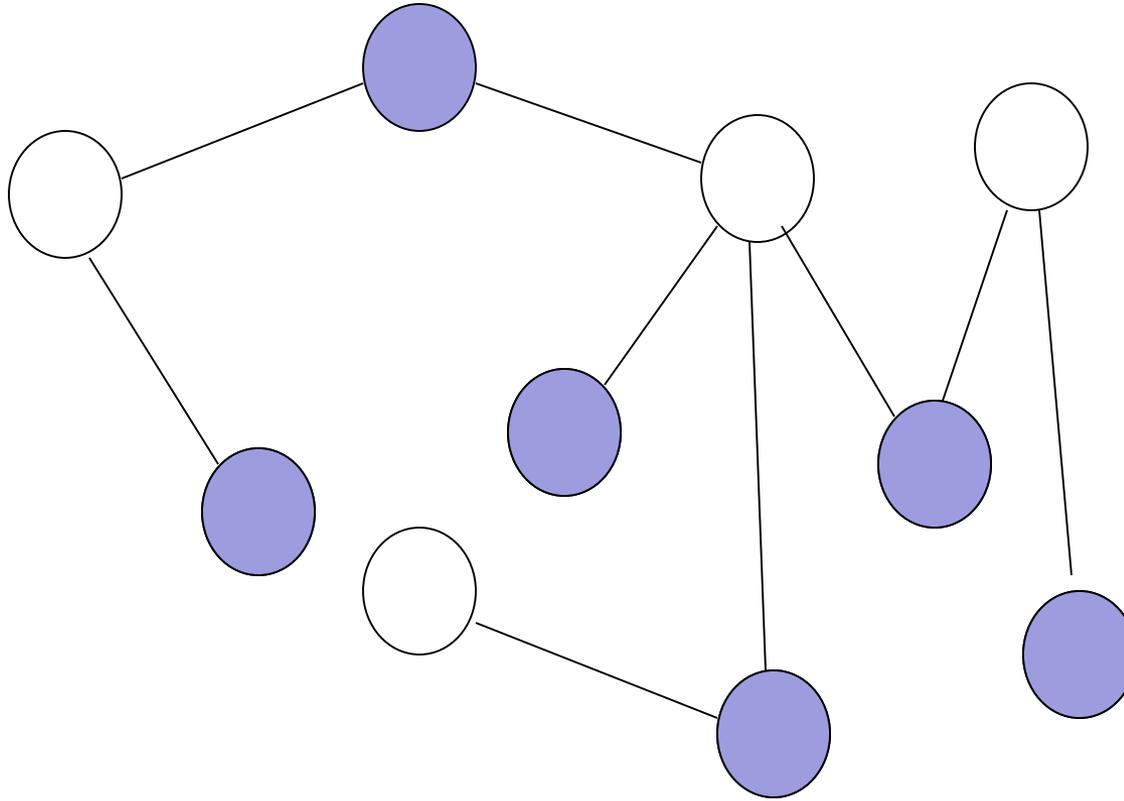


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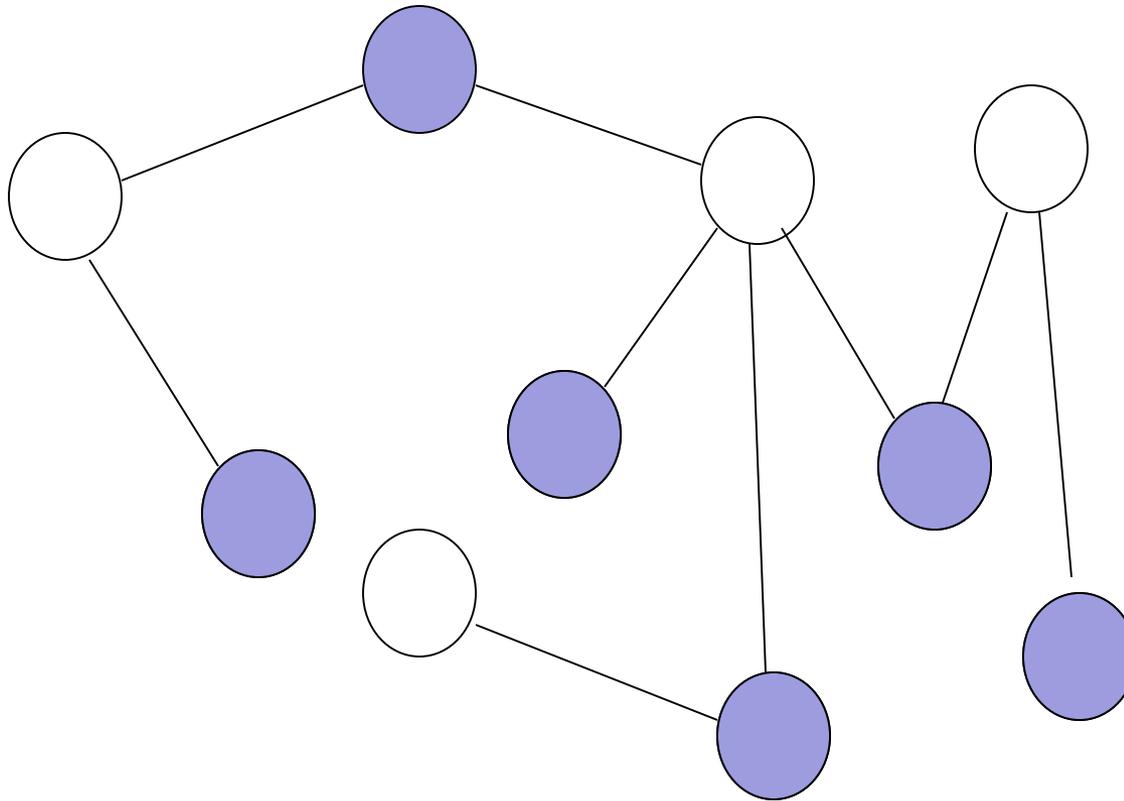


MIS.

Nothing, IS, MIS, MaxIS?



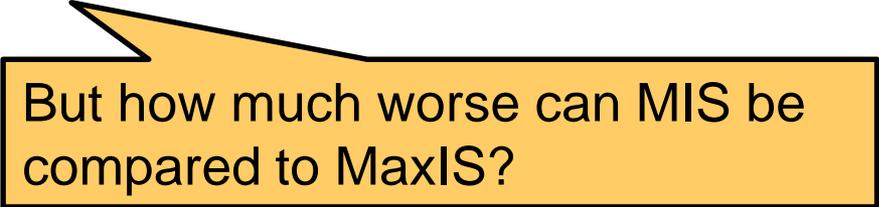
Nothing, IS, MIS, MaxIS?



MaxIS.

MaxIS is NP-hard!

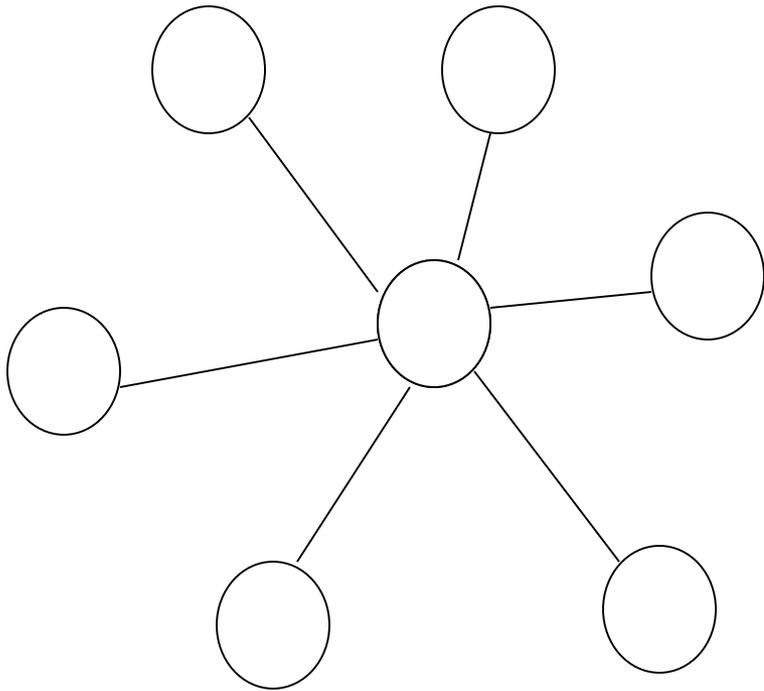
MaxIS is NP-hard!



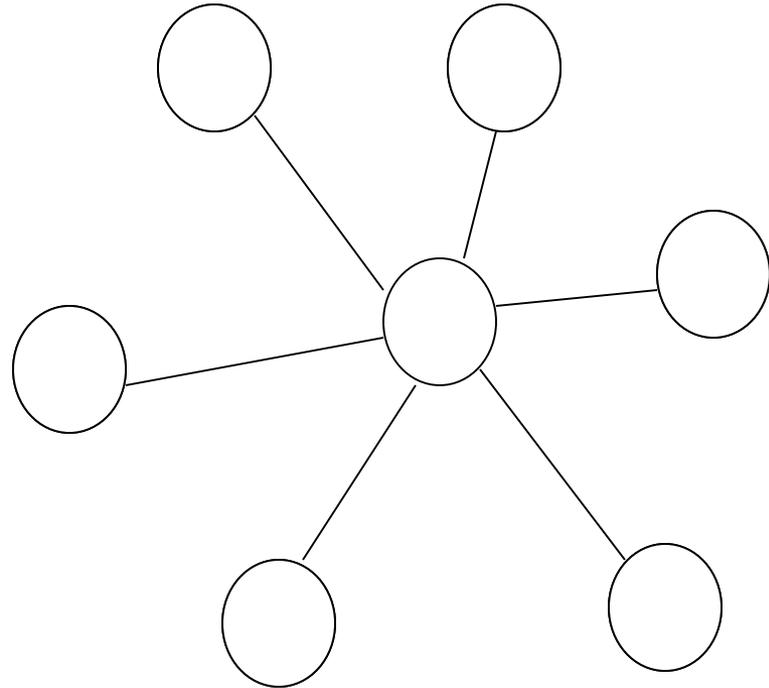
But how much worse can MIS be compared to MaxIS?

MIS vs MaxIS

minimal MIS?



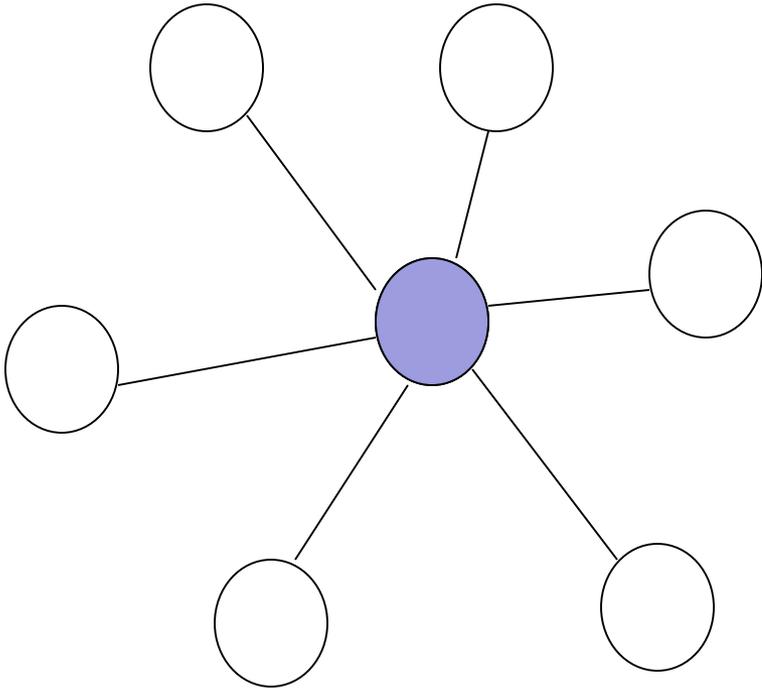
Maximum IS?



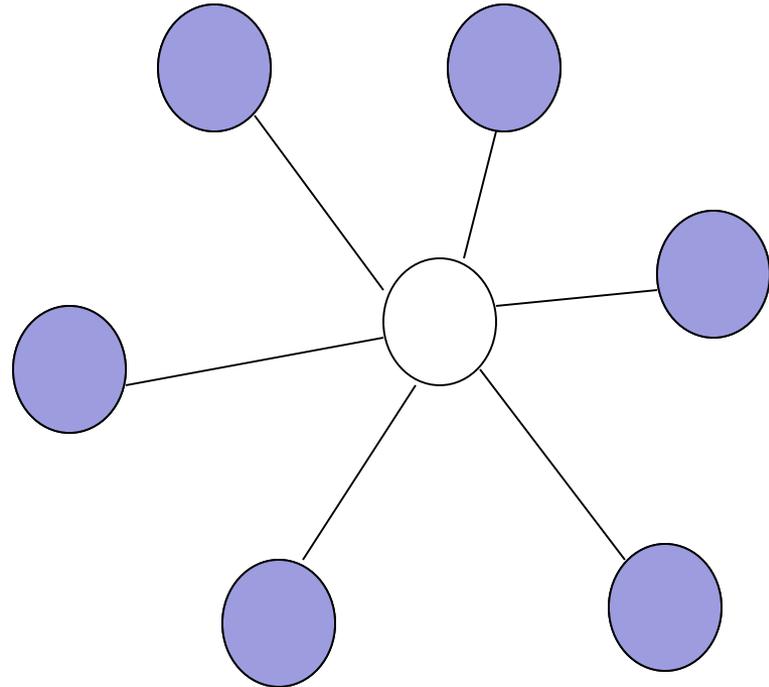
MIS vs MaxIS

MIS is a bad approximation
for MaxIS in general!

minimal MIS?



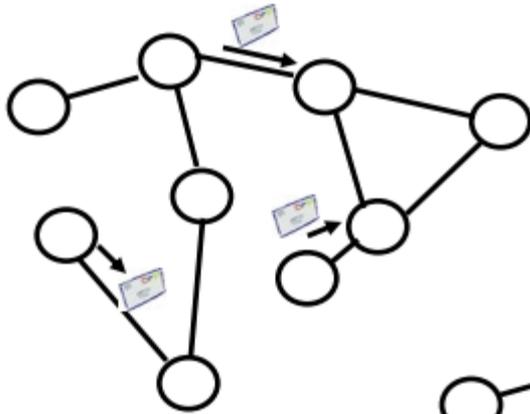
Maximum IS?



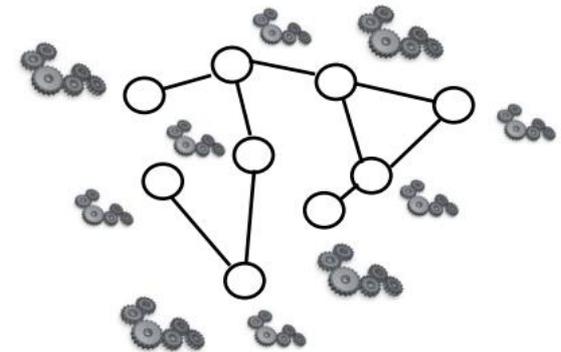
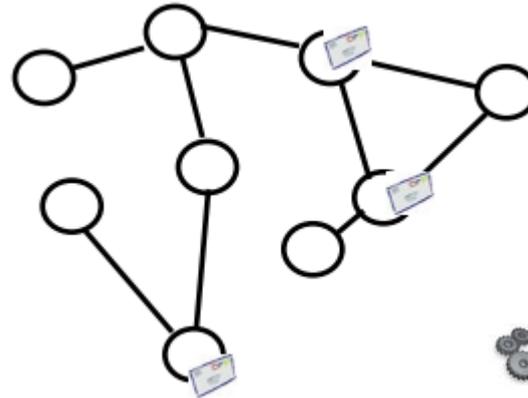
How to compute a MIS in a distributed manner?

Recall: LOCAL model

Send...

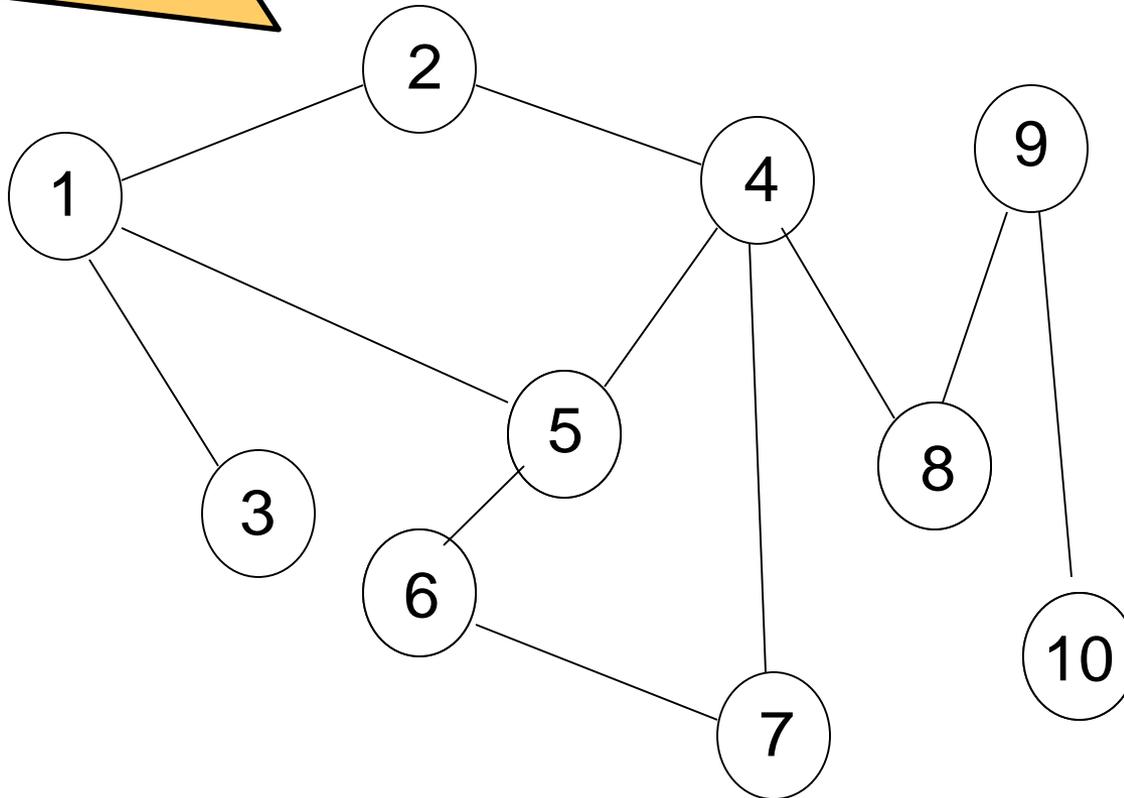


... receive...



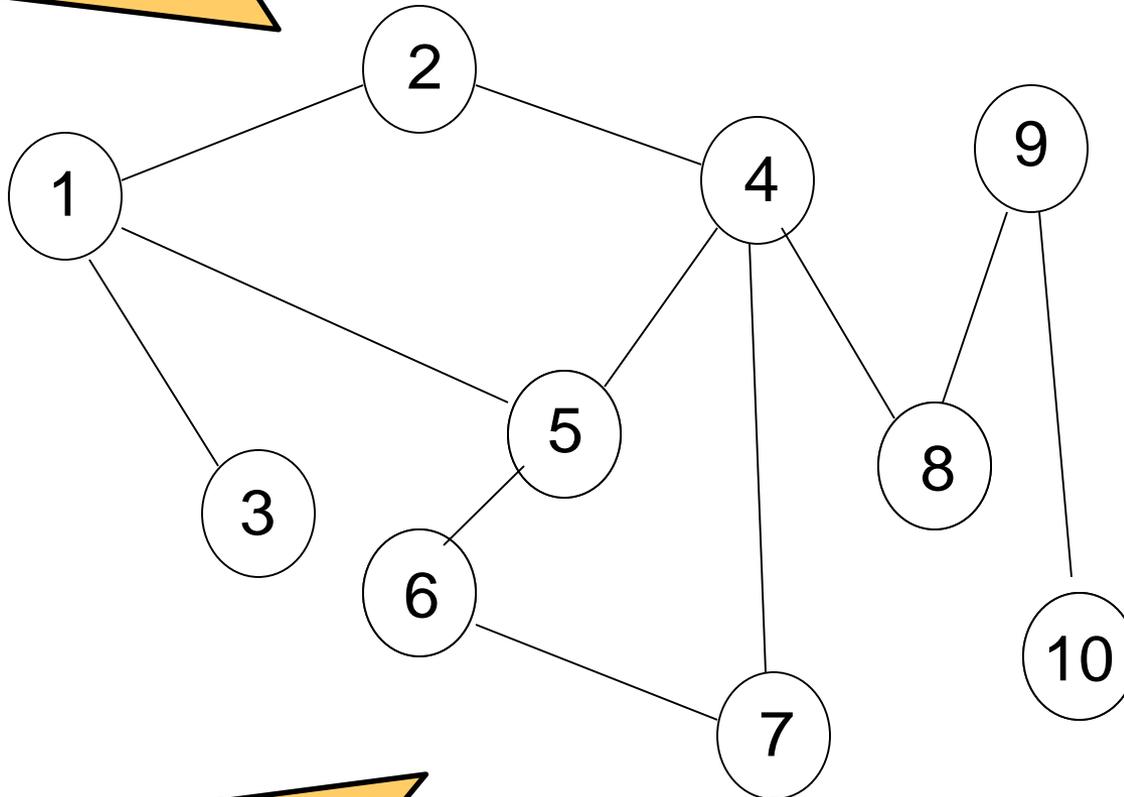
Idea: Distributed Algorithm for MIS?

Challenge: symmetry breaking! Neighboring nodes should not join MIS at the same time!



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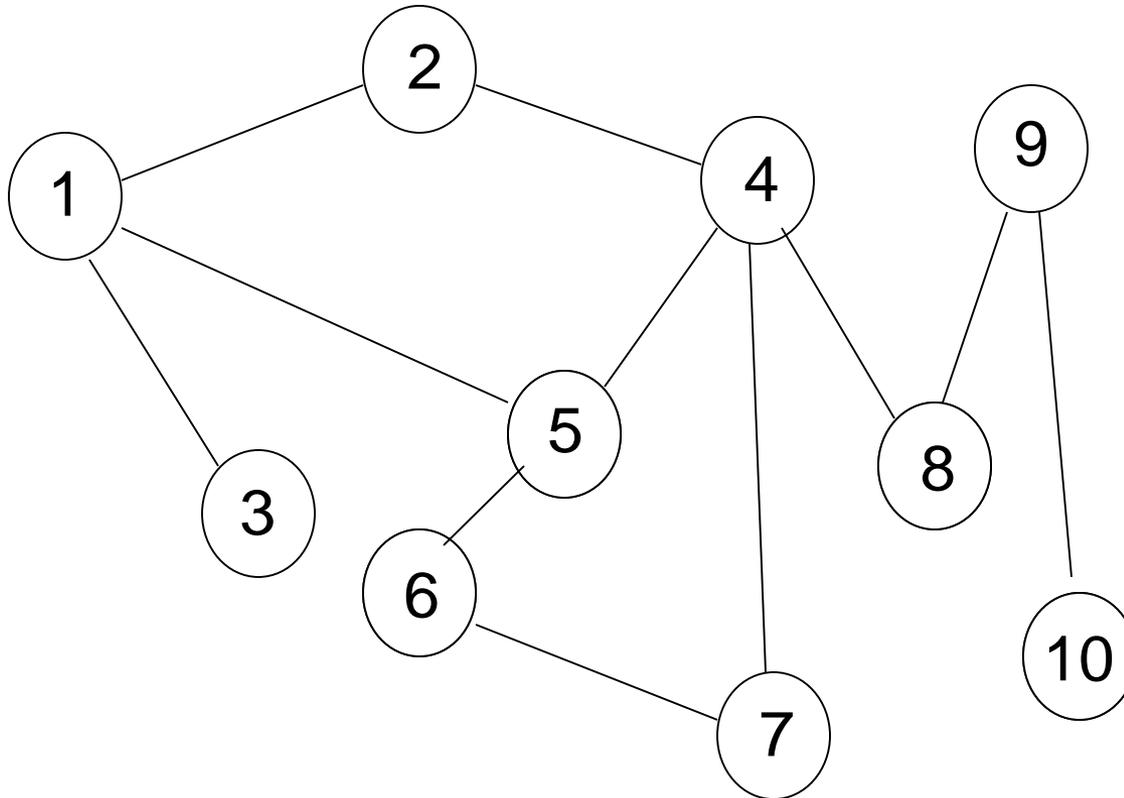


Idea: Make it depend on **node IDs** (they are unique!):

- Let **large ID neighbors** decide first!
- Join if no neighbor with larger ID has joined MIS.

Idea: Distributed Algorithm for MIS?

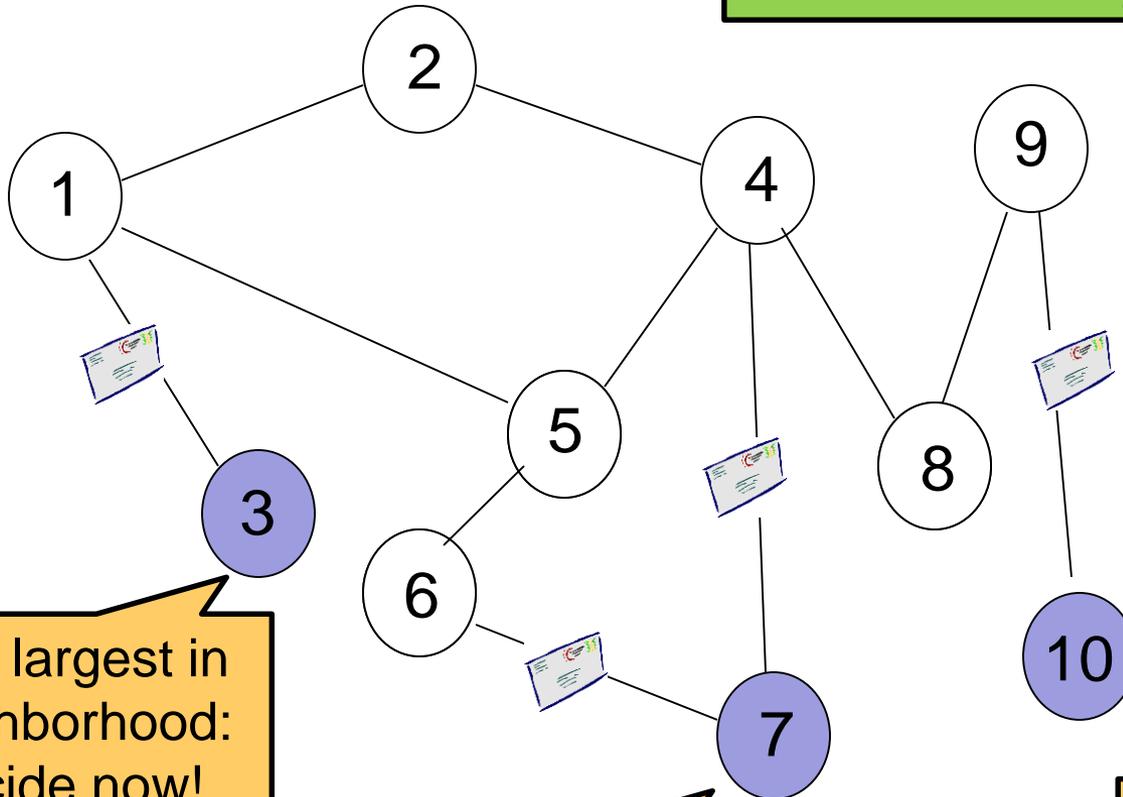
Round 1:



Idea: Distributed Algorithm for MIS?

Round 1:

Certainly independent:
neighbors are smaller and
won't join now.



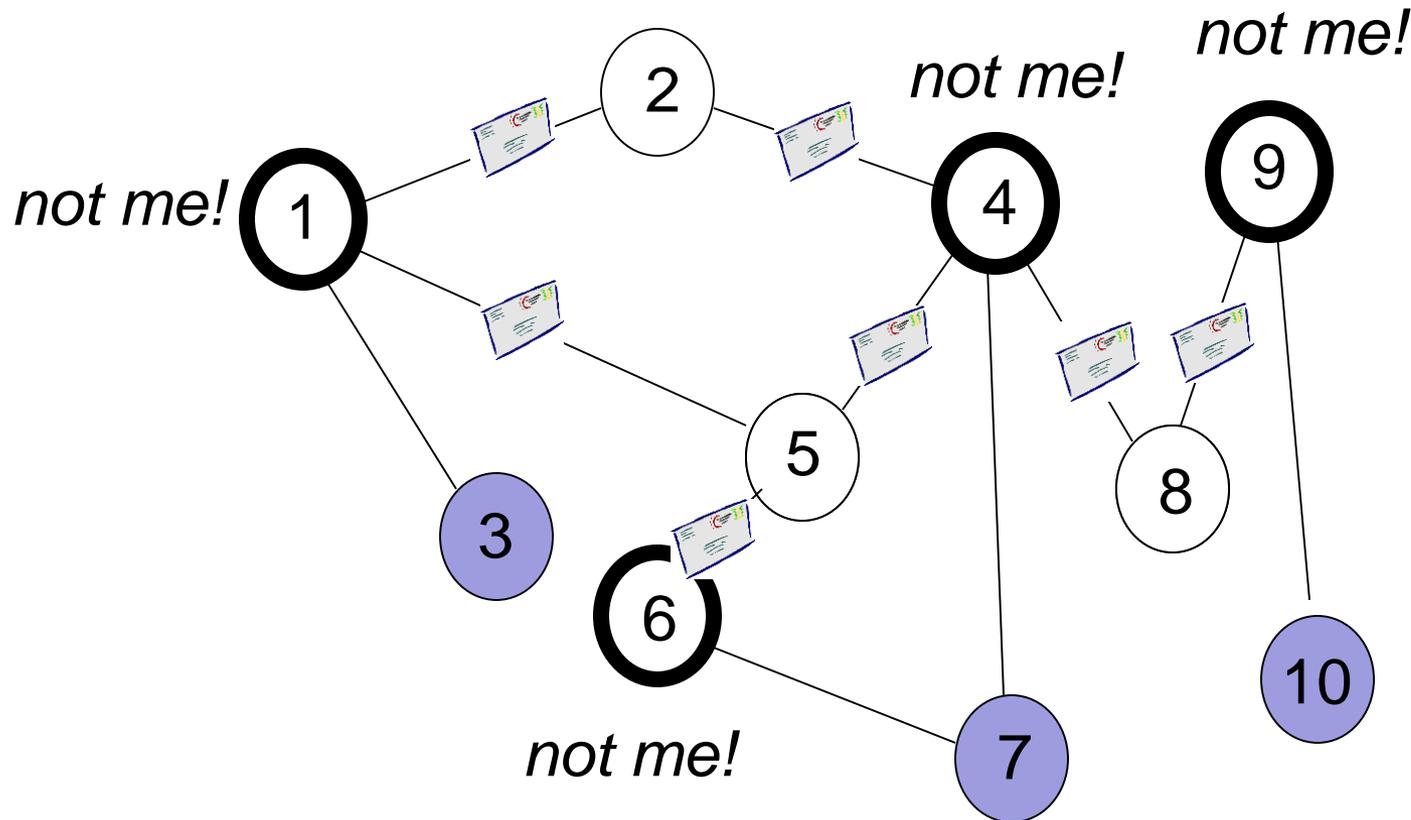
I am largest in
neighborhood:
decide now!

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Idea: Distributed Algorithm for MIS?

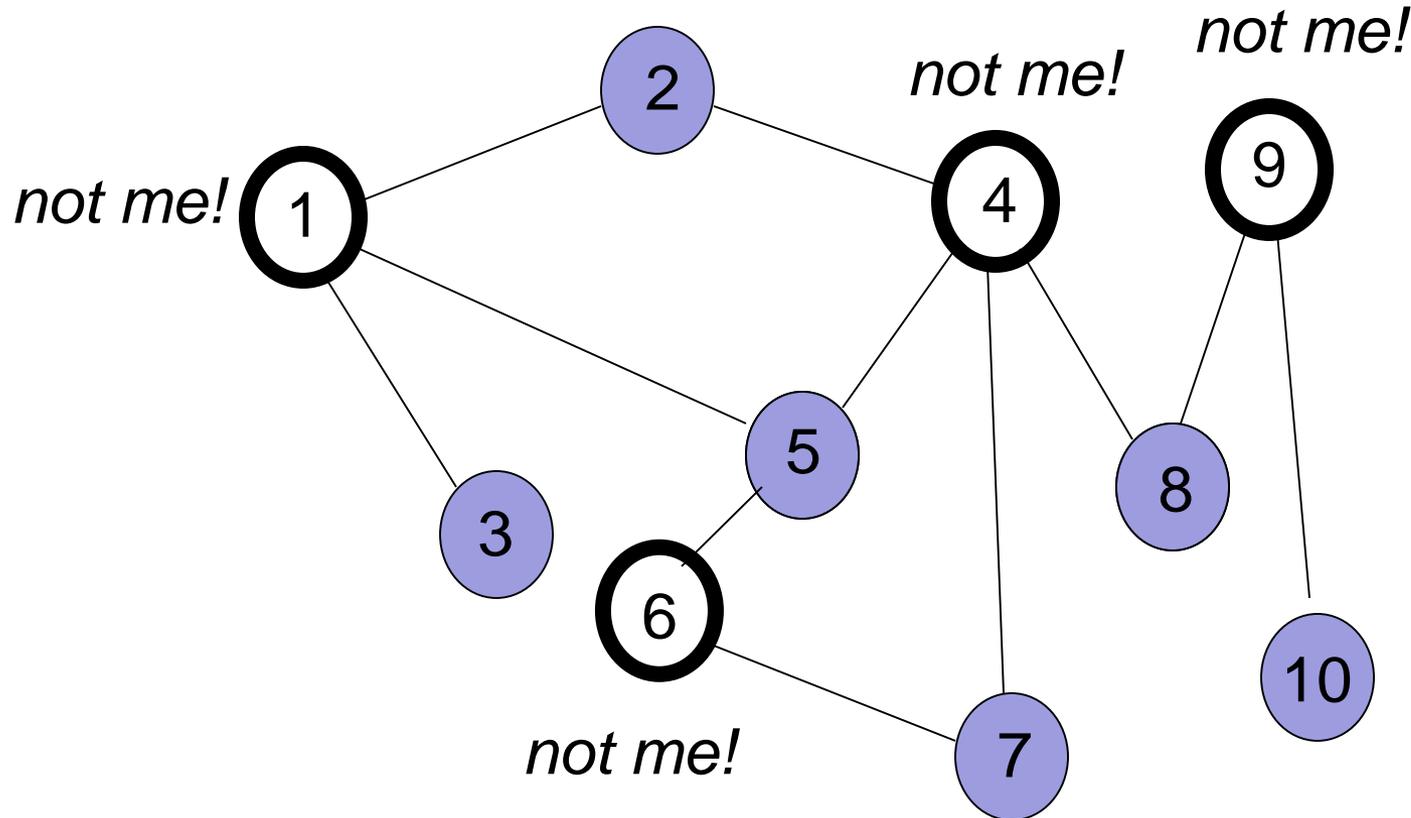
Round 2:



Neighbors of MIS nodes
decide not to join!

Idea: Distributed Algorithm for MIS?

Round 3:



Higher-order neighbors have decided: now rest can decide.

Slow MIS

assume node IDs

Each node v :

1. If all neighbors with larger IDs have decided not to join MIS then:
 v decides to join MIS

Analysis?

Analysis

Time Complexity?

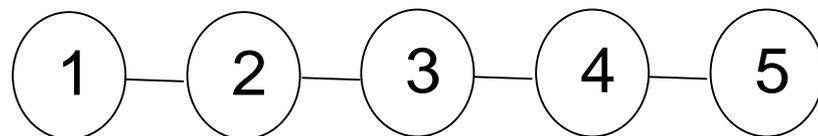
Local Computations?

Message Complexity?

Analysis

Time Complexity?

Not faster than sequential algorithm!
Worst-case example:
sorted line has $O(n)$ time.



Local Computations?

Fast: join if no higher neighbor has! 😊

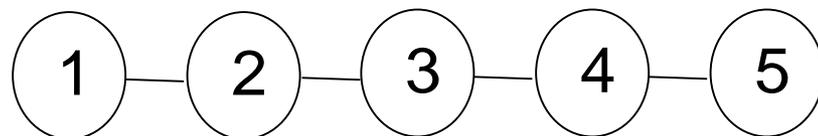
Message Complexity?

$O(m)$ in general: each node needs to inform all neighbors when deciding. (m = number of links)

Analysis

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Local Computations

Can we do it faster?

Fast: join if no higher neighbor has! 😊

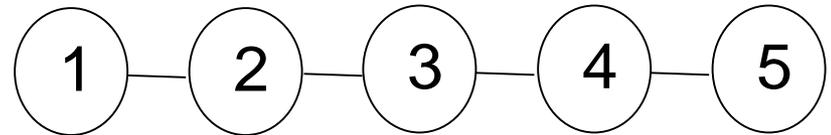
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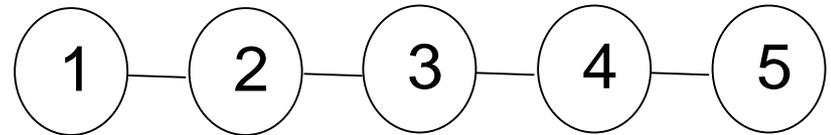
$O(m)$ in general: each node
neighbors when deciding

In general topologies, it seems
difficult to design deterministic
distributed algorithms. Therefore
today: the power of randomization!

Analysis

Time Complexity?

Not faster than sequential algorithm!
Worst-case example?
E.g., sorted line: $O(n)$ time.



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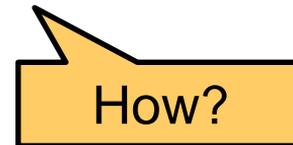
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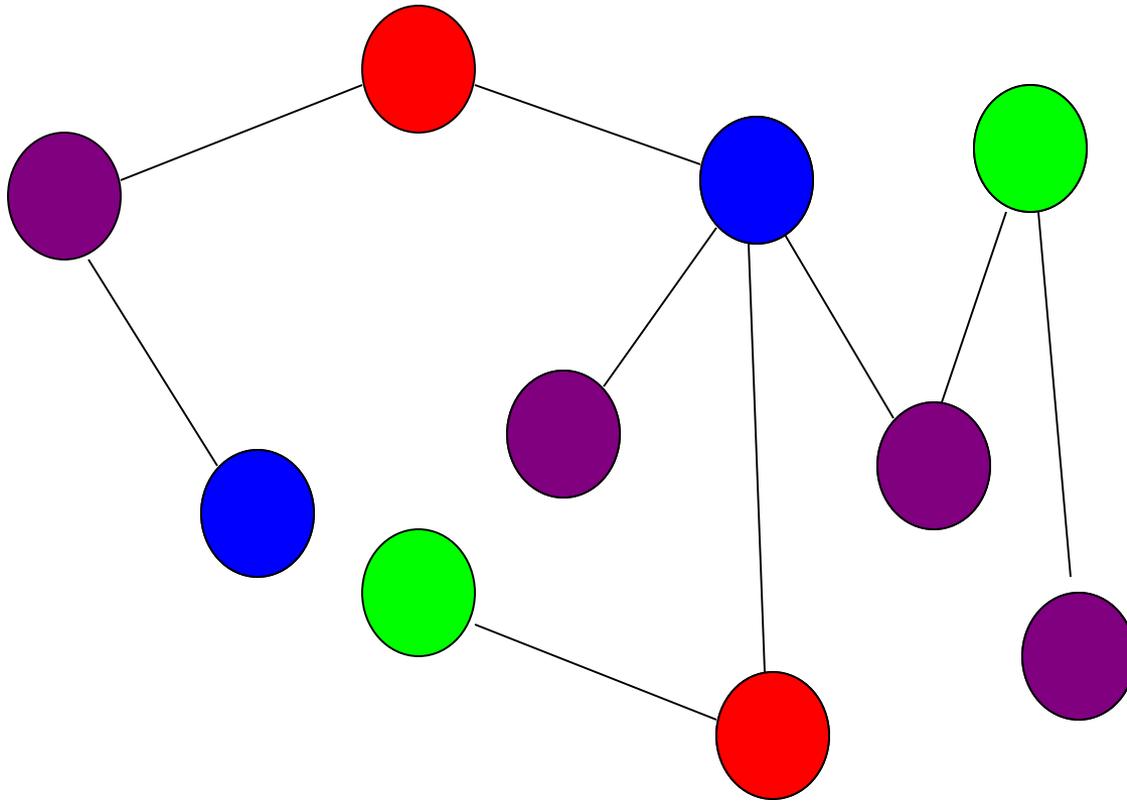
But before that: any ideas how to compute MIS on rooted and directed trees in \log^* time?

Independent sets on trees in \log^* time:
Using our coloring algorithm!



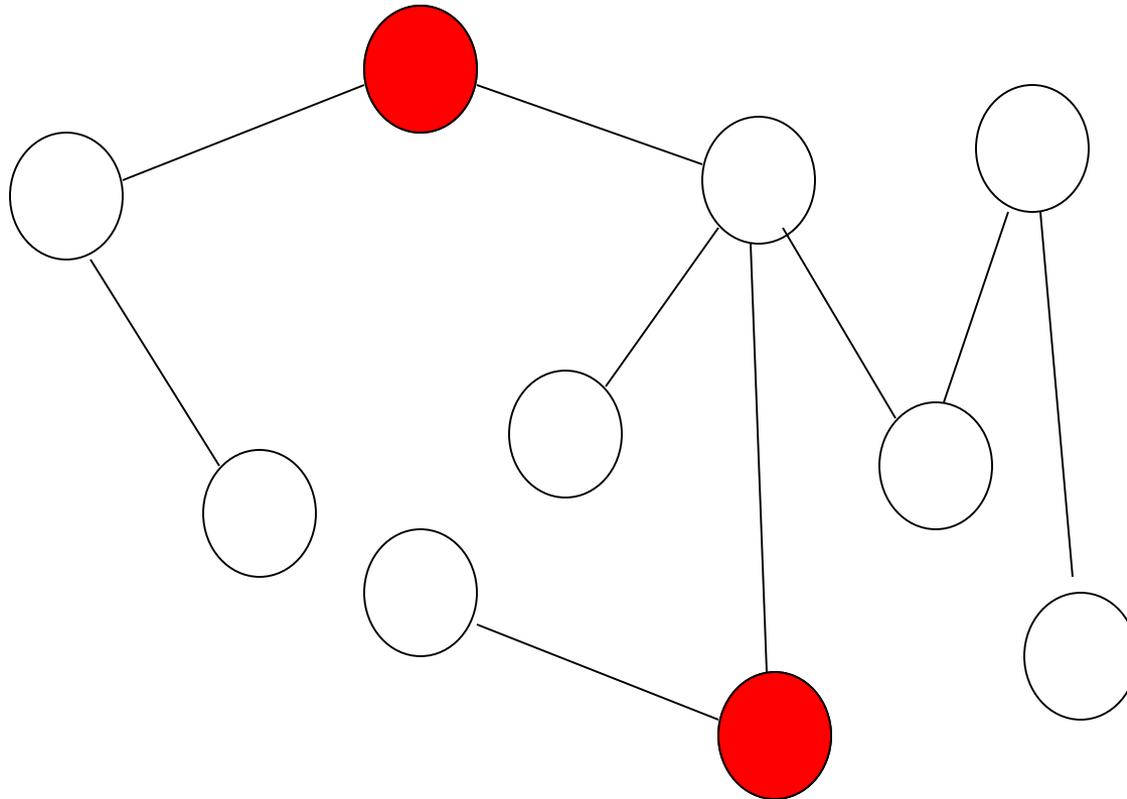
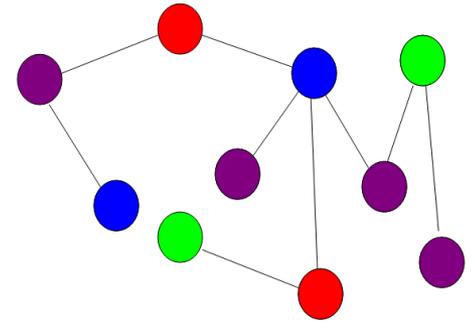
Coloring vs MIS

Each color defines an independent set...



Coloring vs MIS

... but not necessarily a maximal one!



Can we compute a MIS given
a distributed coloring algorithm?

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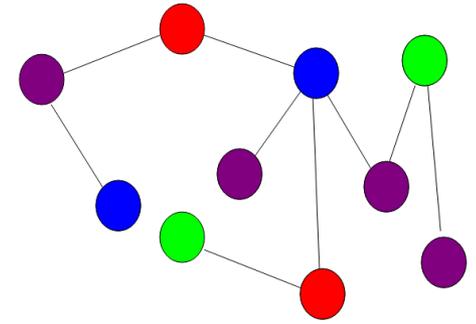
Idea: Choose all nodes of **first color**
(define beforehand: color 0).
Then for any **additional color** (*one-by-one*),
add **in parallel** as many nodes as
possible!

Can we compute a MIS given a distributed coloring algorithm?

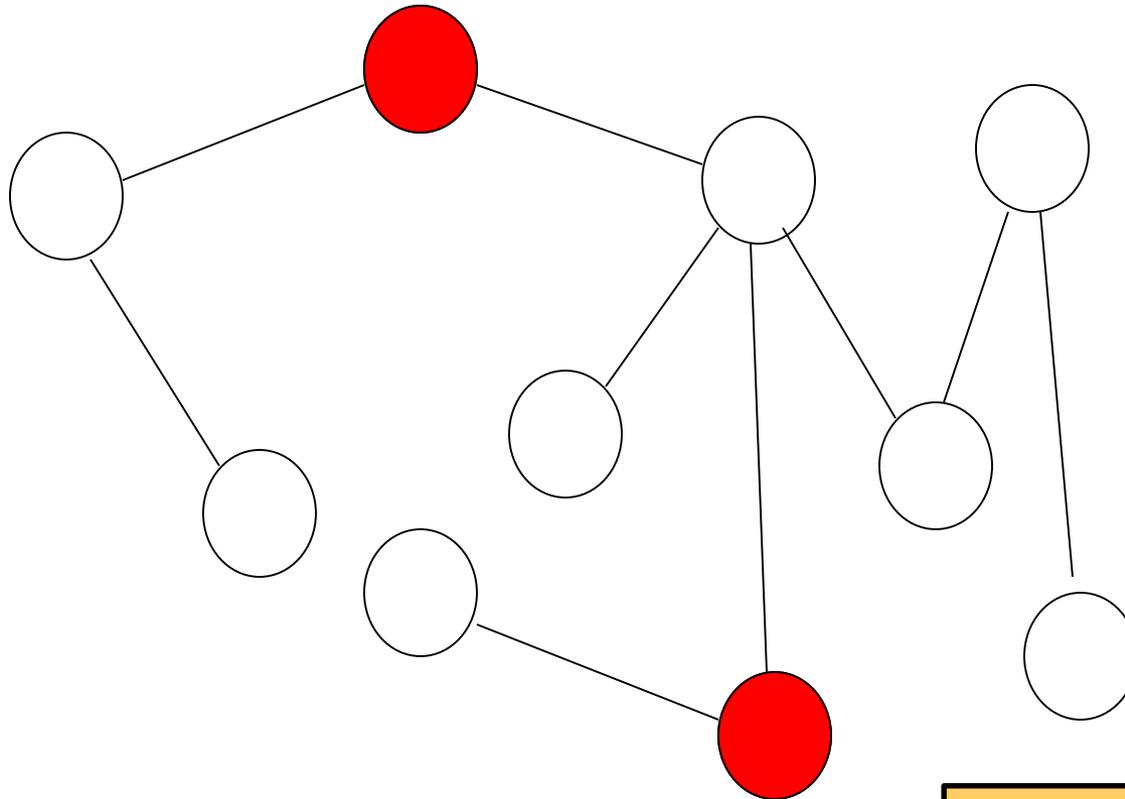
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Example where independent sets are useful: can do them in parallel 😊.

From Distributed Coloring to Distributed MIS



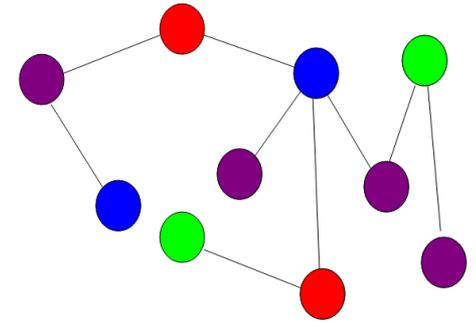
Round 1



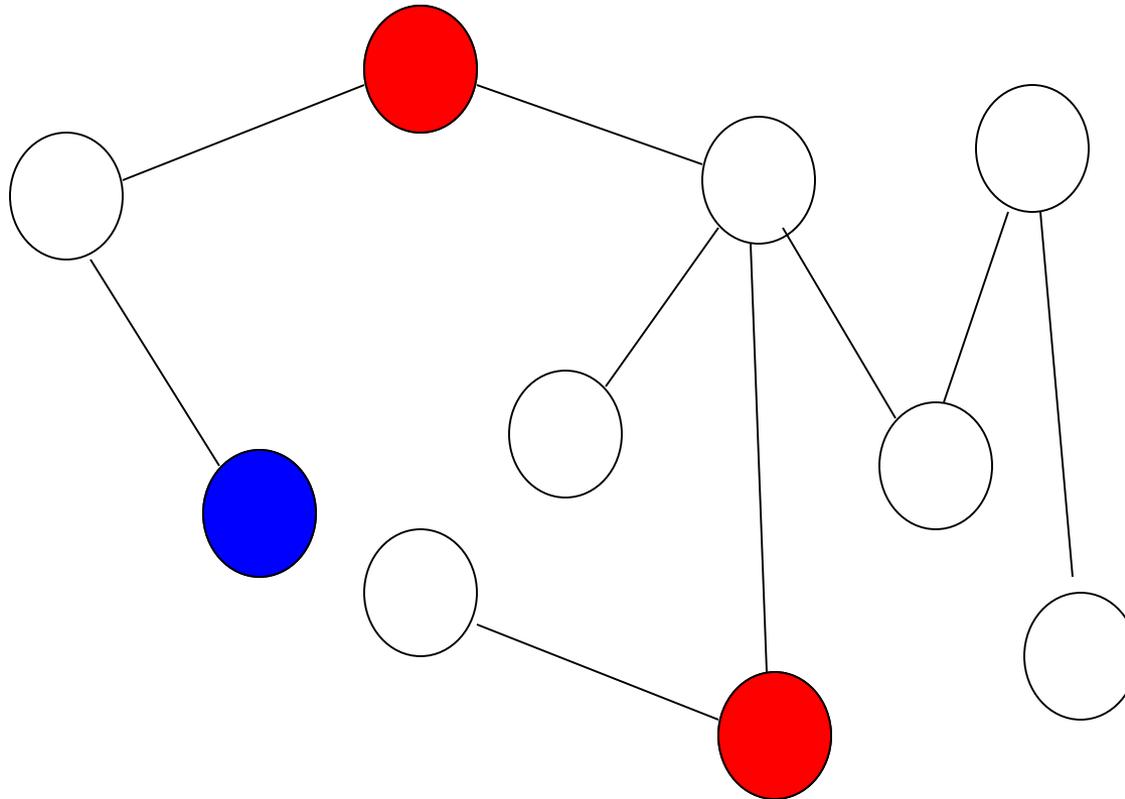
Add Color 0!

Assume: nodes pre-agree on order in which colors are added!

From Distributed Coloring to Distributed MIS

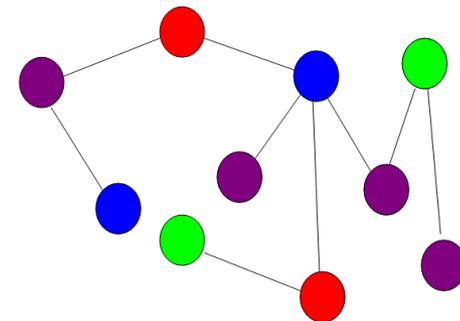


Round 2

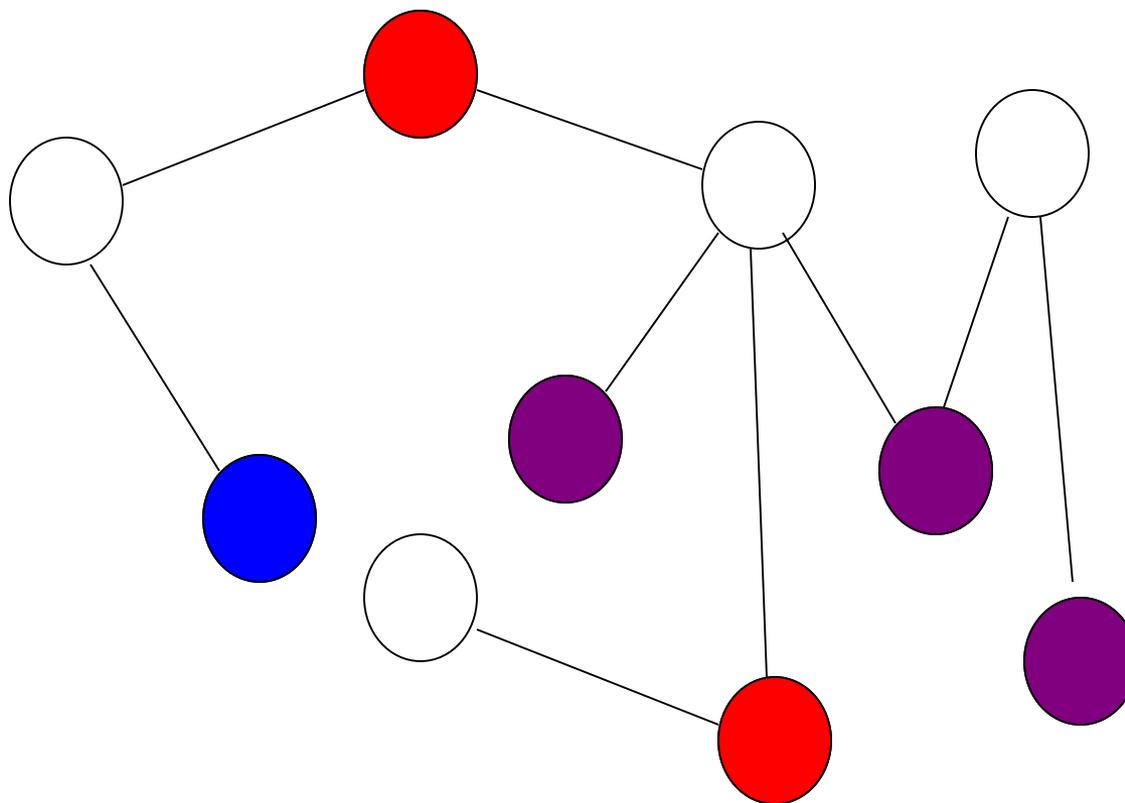


Add Color 1 nodes unless node has MIS neighbor!

From Distributed Coloring to Distributed MIS

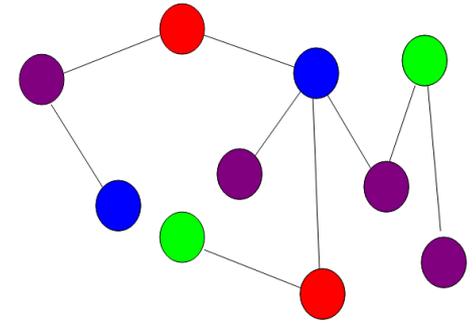


Round 3

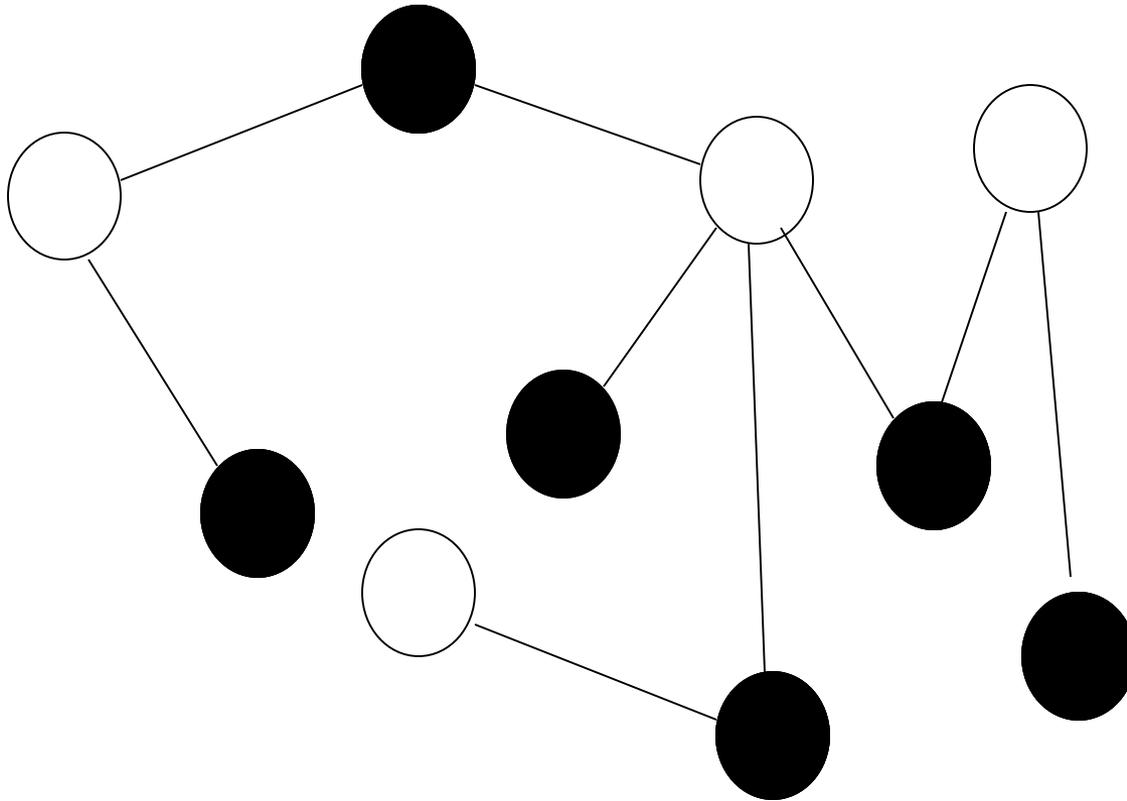


Add Color 2 nodes unless node has MIS neighbor!

From Distributed Coloring to Distributed MIS



Final MIS:



Analysis of algorithm?

Analysis COLOR-To-MIS Algorithm

Why does algorithm work?

Runtime?

Why does algorithm work?

We never add conflicting nodes in parallel: Unicolor nodes are independent, can be **added in parallel** without conflict.

Runtime?

Lemma

Given a coloring algorithm with runtime T that needs C colors, we can construct a MIS in time $C+T$.

Implication for MIS on trees

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We can color trees in \log^* time and with 3 colors, so:

MIS on Trees

There is a deterministic MIS on trees that runs in distributed time $O(\log^* n)$.

Better MIS Algorithms on General Topologies

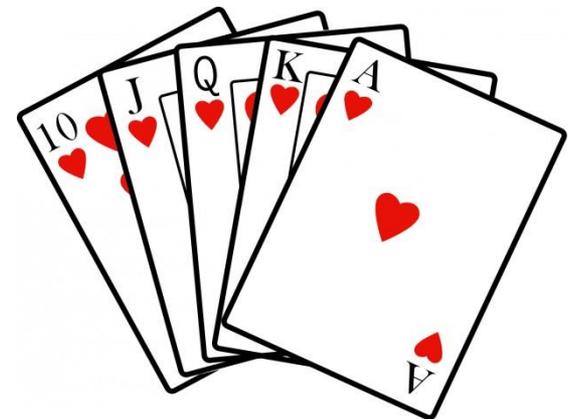
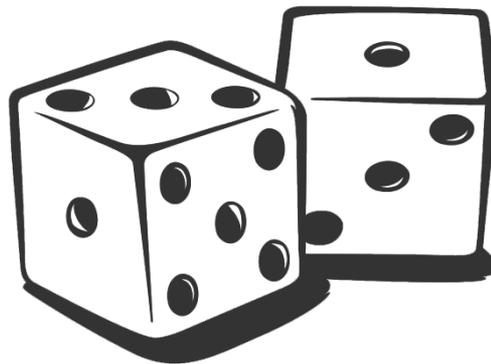
Any ideas?

Better MIS Algorithms on General Topologies

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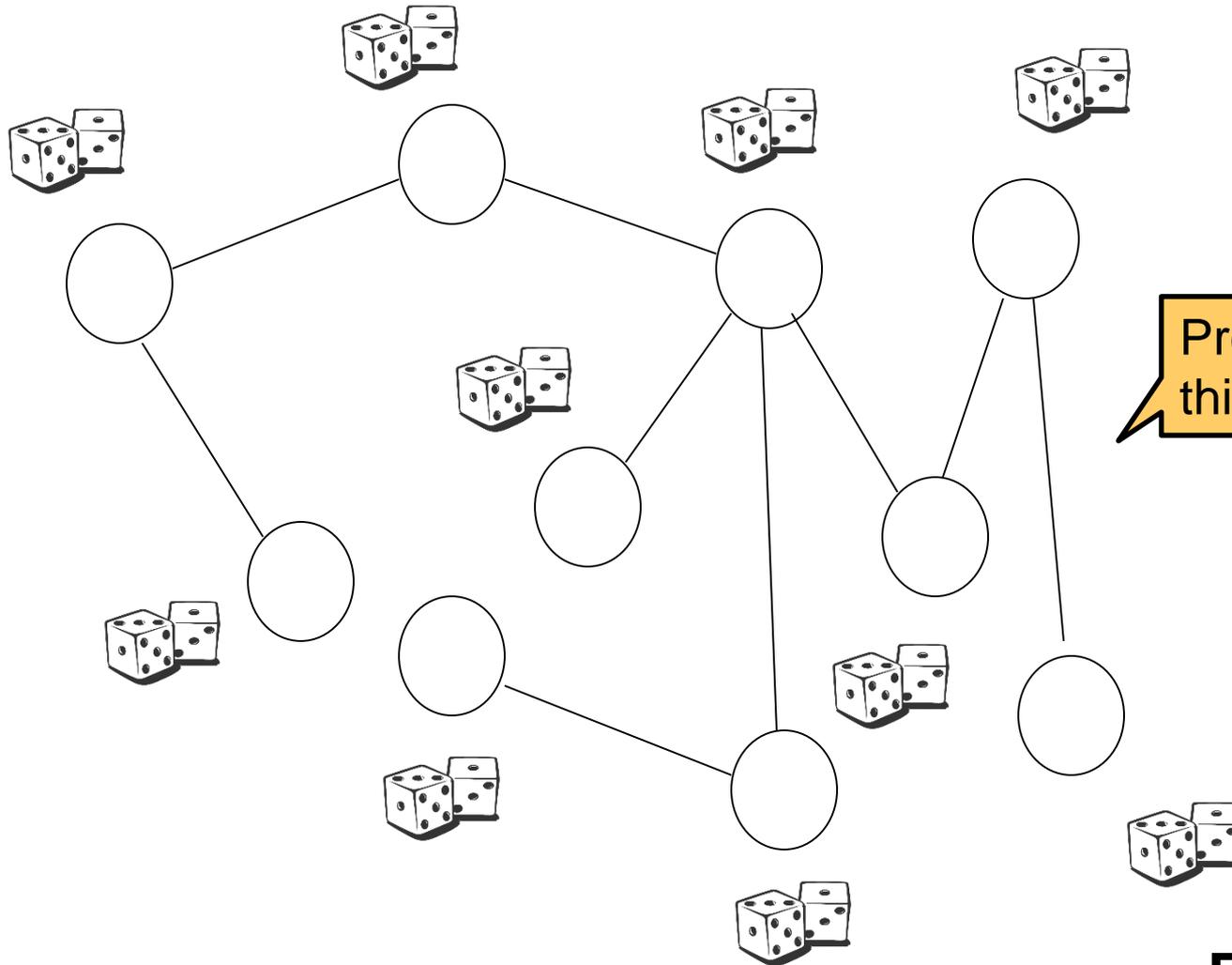
It is difficult on general graphs...

Tipp: If you can't find fast deterministic algorithms, try randomization!



Randomized MIS Algorithm

Randomly decide to become MIS node or not with probability $1/(2d(v))!$

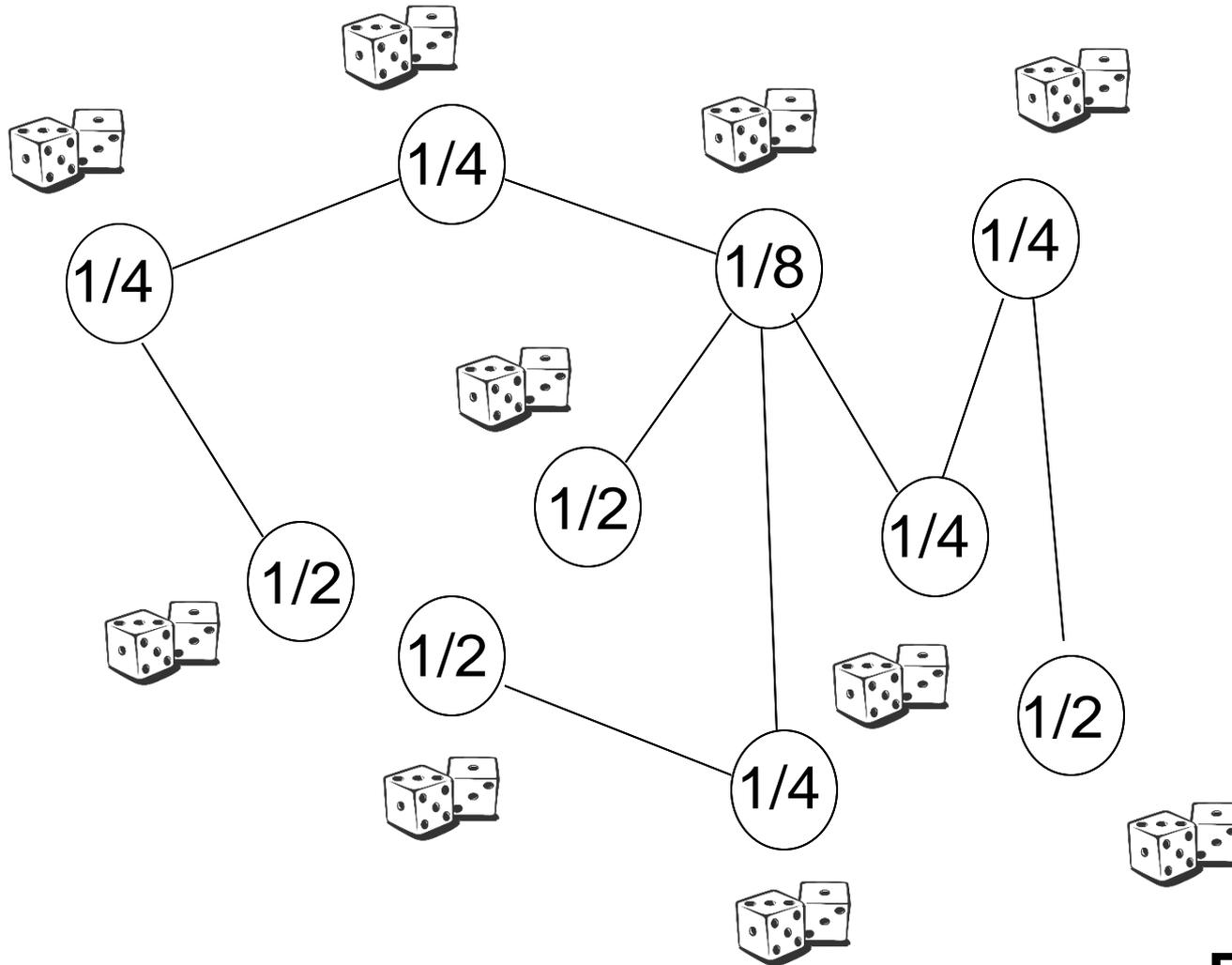


Probabilities in this example?

Round 1

Randomized MIS Algorithm

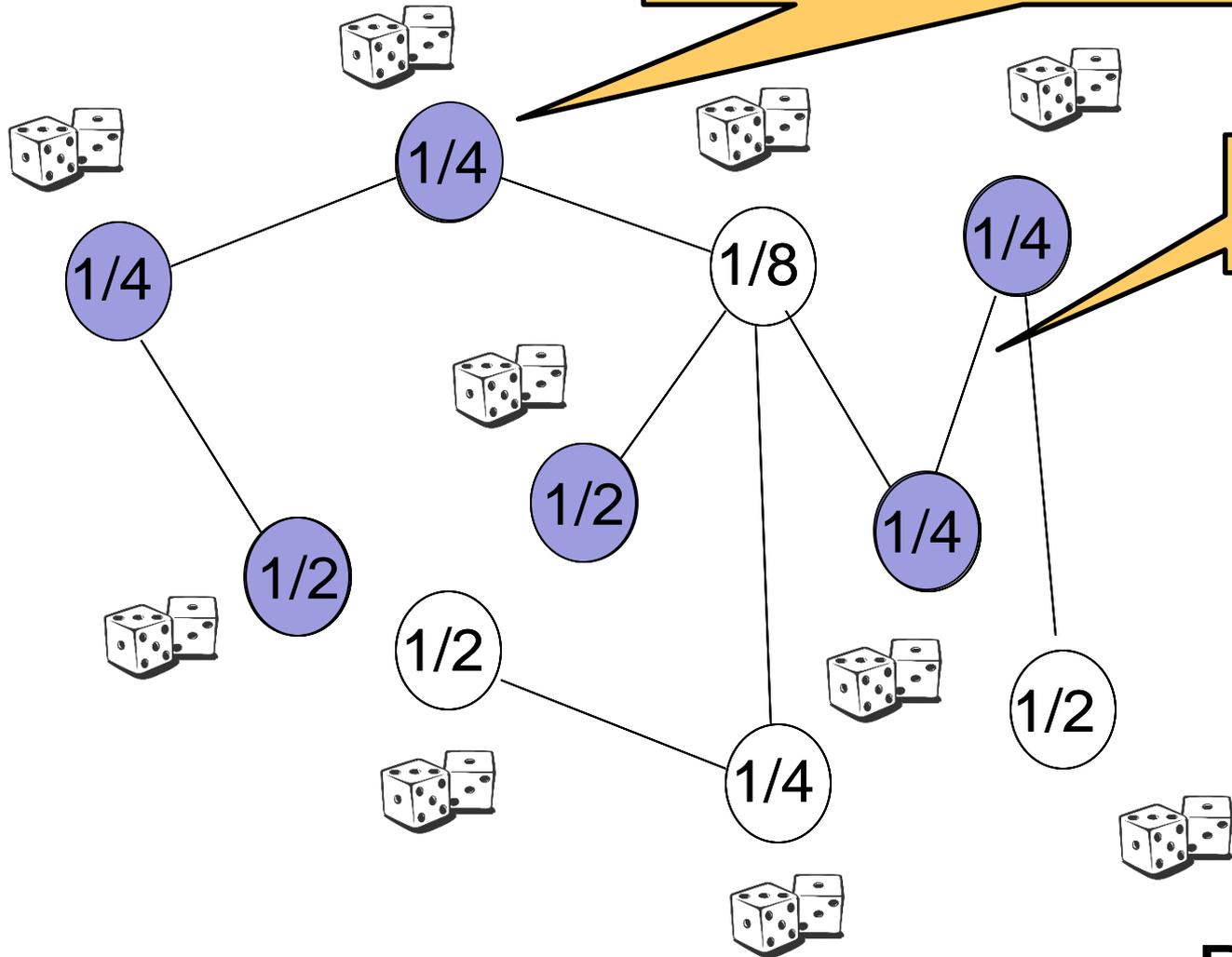
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Round 1

Randomized MIS Algorithm

Randomly decide intent to become MIS node or not with probability $1/(2d(v))$:
just **marking** at this stage!

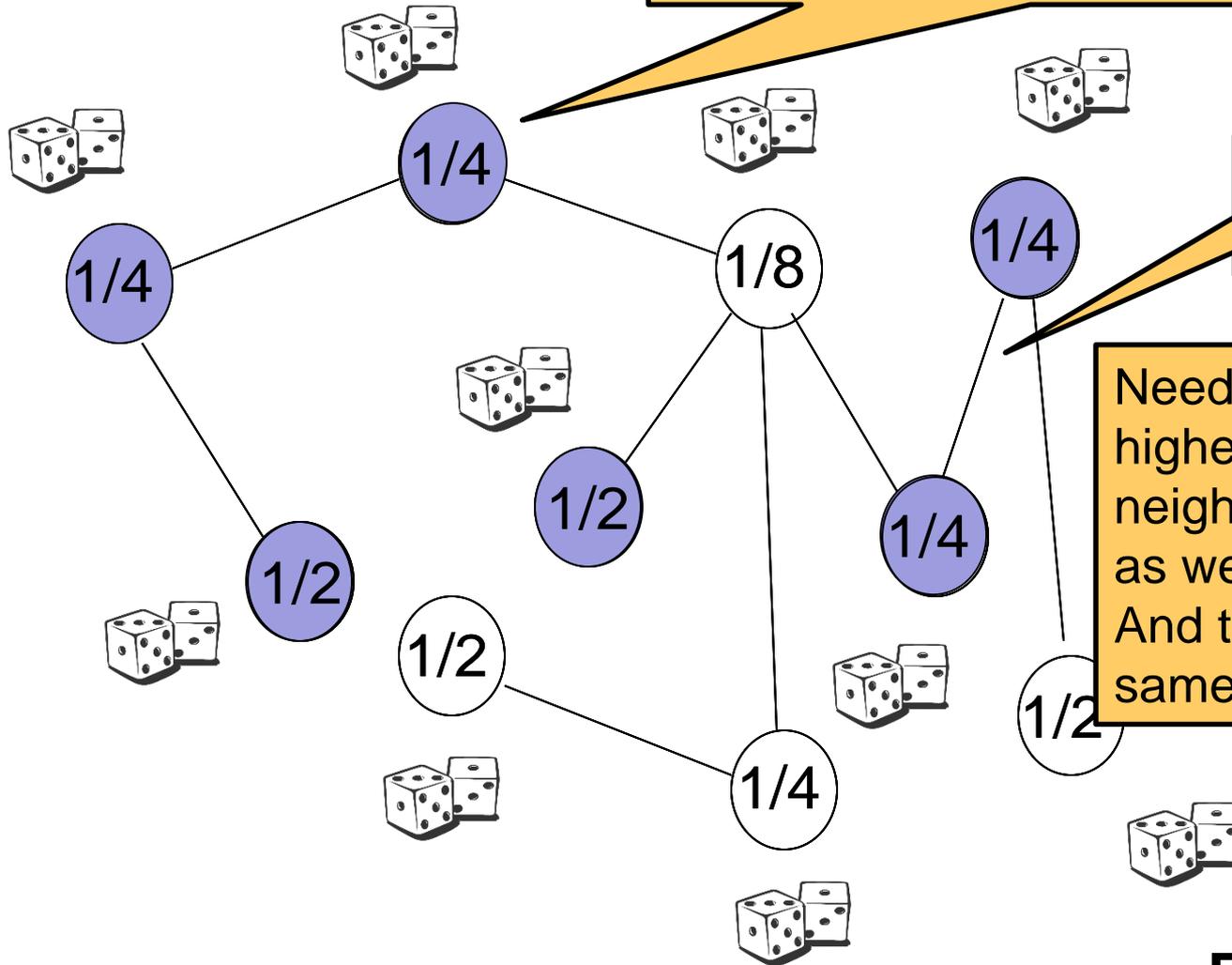


Ouch! Illegal!
What now?

Round 1

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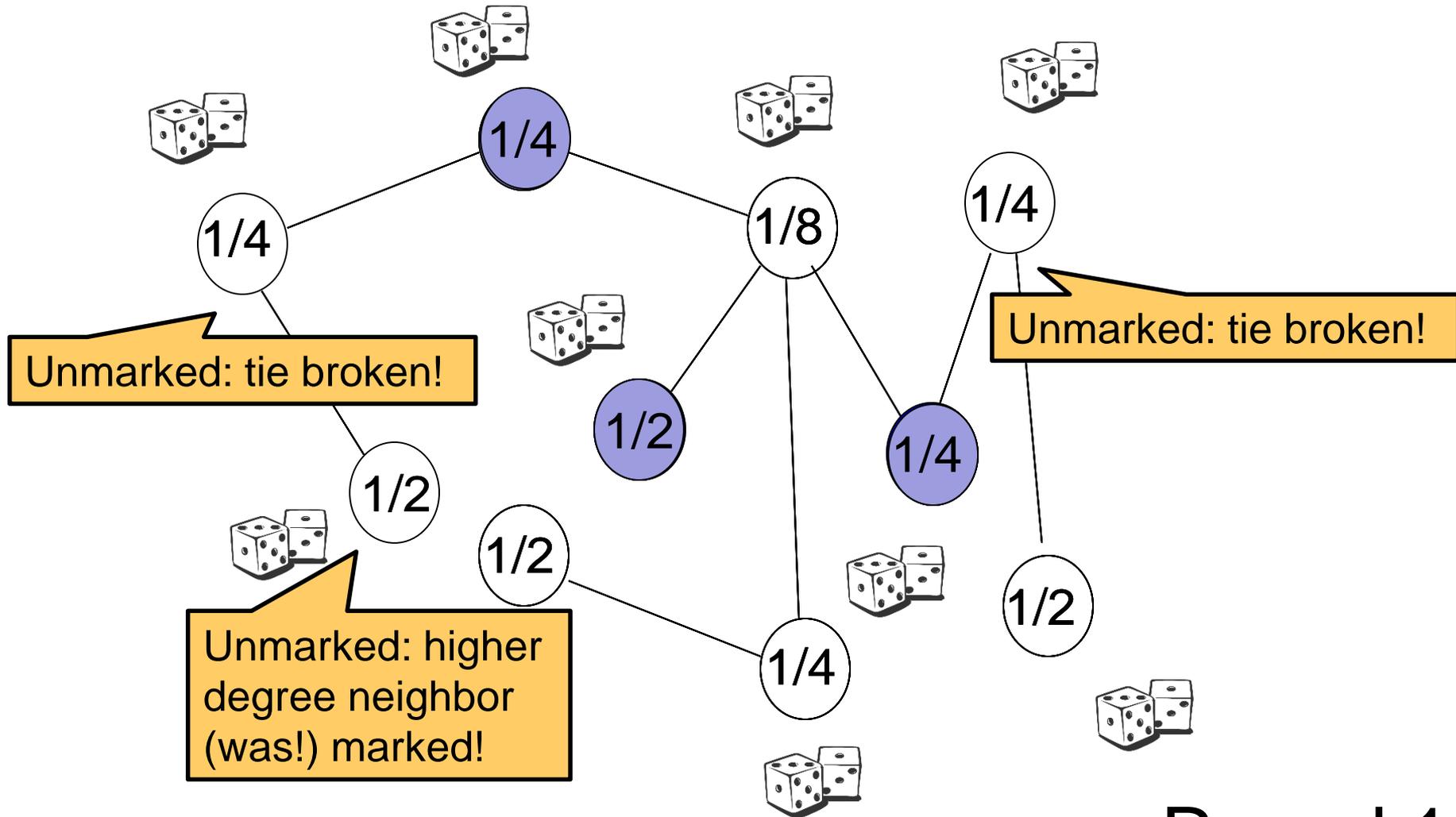


Ouch! Illegal!
What now?

Need tie breaker: if higher degree neighbor marked as well, leave MIS. And tie break if same degree.

Round 1

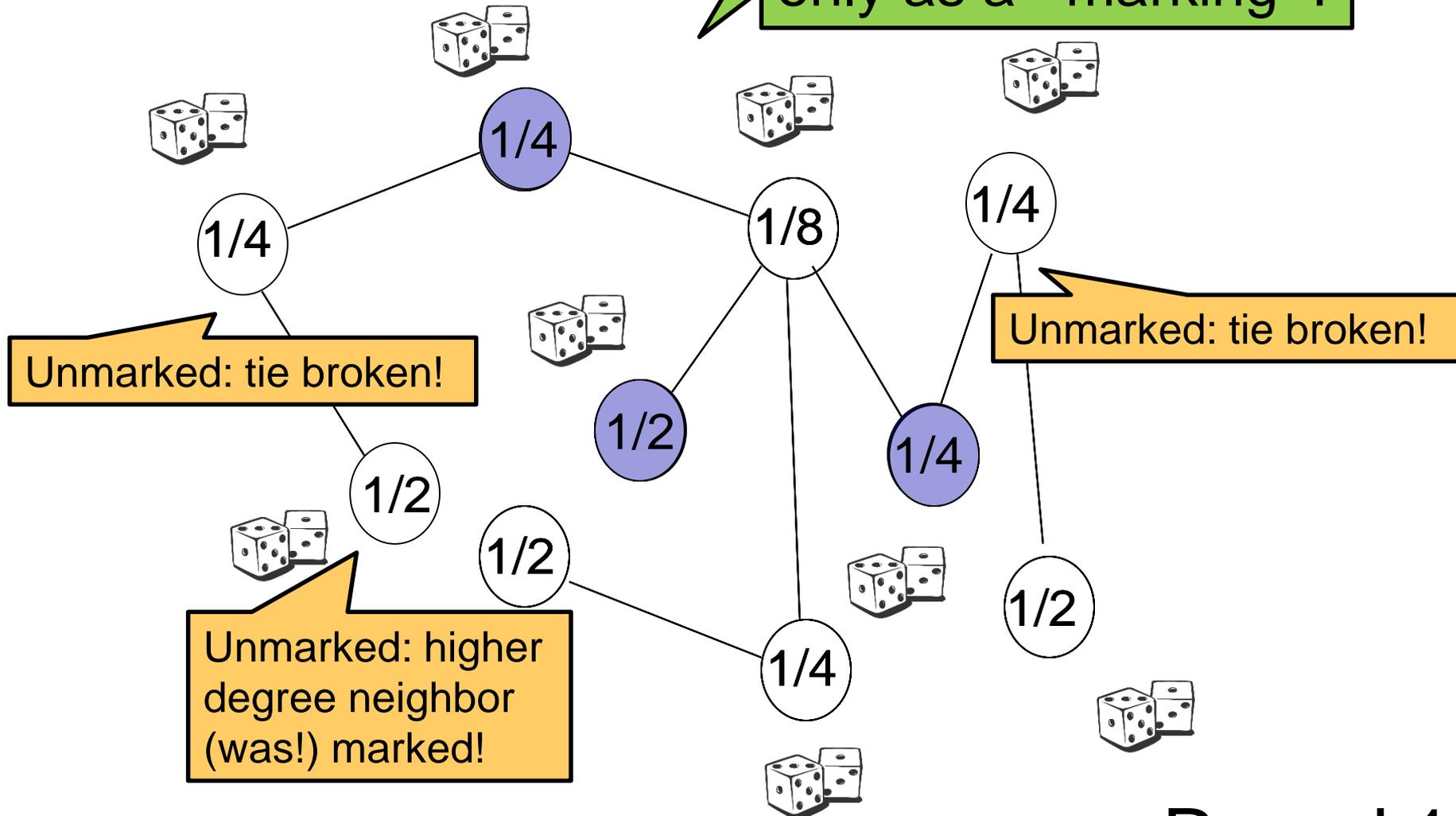
Randomized MIS Algorithm



Round 1

Randomized MIS Algorithm

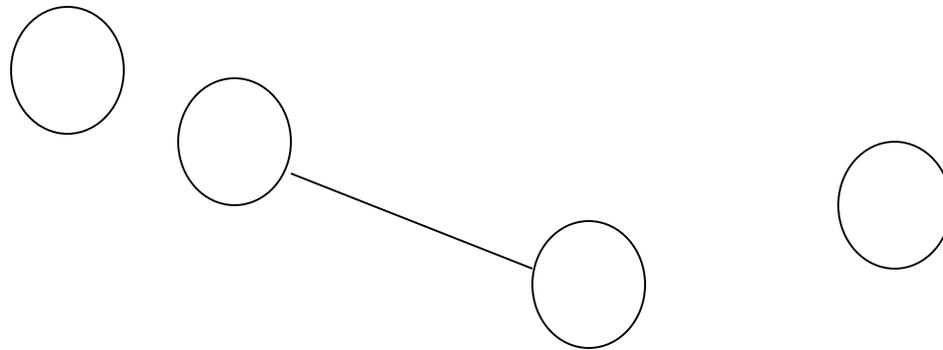
Now legal MIS!
Consider initial step
only as a «marking»!



Round 1

Randomized MIS Algorithm

Now: **delete MIS nodes and neighbors**: *they are done!*

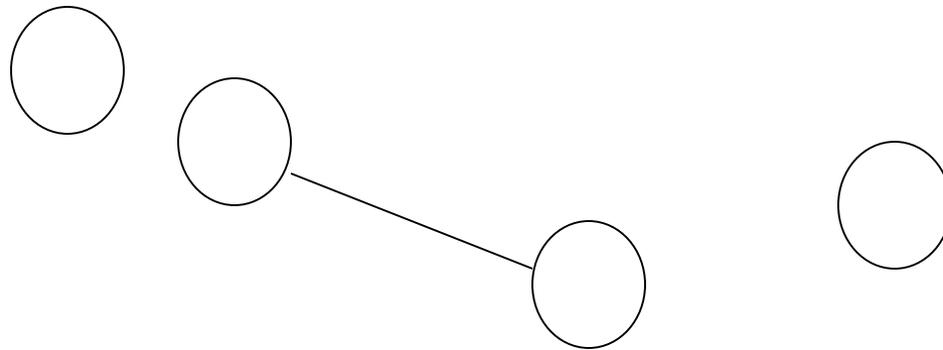


Round 1

Randomized MIS Algorithm

Now: **delete MIS nodes and neighbors**: *they are done!*

And proceed with Round 2 like Round 1: toss coin, mark, etc.



Round 2

Fast MIS (1986)

Proceed in rounds consisting of phases.

In a **phase**:

1. each node v **marks** itself with **probability** $1/(2d(v))$ where $d(v)$ denotes the current degree of v
2. if no **higher degree neighbor** is marked, v joins MIS; otherwise, v unmarks itself again (break ties arbitrarily)
3. **delete** all nodes that joined the MIS plus their neighbors, as they cannot join the MIS anymore

Summary of the Algorithm

Fast MIS (1986)

Proceed in rounds consisting of phases.

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Why legal IS? Because at least one neighbor leaves conflict!

Summary of the Algorithm

Why MIS? Each node without a IS neighbor will eventually mark itself with probability 1.

Fast M

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Logarithmic:
how to prove?

What about the distributed runtime?

Why legal IS? Because at least one neighbor leaves conflict!

The Many Ways to Prove a Logarithmic Runtime

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In general: show that the remaining problem size is divided in two in each round.

Remember!

$\log n$:

How many times do I have to $:2$ until <2 ?

$n, n/2, n/4, n/8, \dots, 8, 4, 2, 1$



$\log n$

The Many Ways to Prove a Logarithmic Runtime

In general: show that the **remaining problem size is divided in two** in each round.

- Idea 1: Each **node** is removed with constant probability (e.g., $\frac{1}{2}$) in each round. So **half of the nodes** vanish in each round.
- Idea 2: Each **edge** is removed with constant probability in each round!
Also works: $O(\log m) = O(\log n^2) = O(\log n)$

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Also works for **nodes** (n)

Neither is true! ☹️

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log n:

However: A constant fraction of edges are removed with constant probability!



log n

One can show the following:

Joining MIS

Node v joins MIS in Step 2 with probability $p \geq 1/(4d(v))$.

Proof idea: A node, once marked, joins with probability $\frac{1}{2}$.
And marking happens with probability $1/(2d(v))$.
So overall p at least $\frac{1}{2} \cdot 1/(2d(v))$.

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So overall p at least $\frac{1}{2} \cdot 1/(2d(v))$.

Proof in a nutshell:

To prove this lemma, let \mathbf{M} be the set of **marked nodes** in Step 1 (prob. $1/(2d(v))$), and let $\mathbf{H}(v)$ be the set of **neighbors of v with higher degree** or same degree and higher identifier.

Excursion: Once Marked, Likely to Join!

Equivalently: Probability that does not join MIS but is marked is small:

$$\begin{aligned} P[v \notin \text{MIS} \mid v \in M] &= P[\exists w \in H(v), w \in M \mid v \in M] \\ &= P[\exists w \in H(v), w \in M] \\ &\leq \sum_w \epsilon_{H(v)} P[w \in M] \\ &= \sum_w \epsilon_{H(v)} 1/(2d(w)) \\ &\leq \sum_w \epsilon_{H(v)} 1/(2d(v)) \\ &\leq d(v)/(2d(v)) = 1/2 \end{aligned}$$

Excursion: Once Marked, Likely to Join!

$$P[v \notin \text{MIS} \mid v \in M] = P[\exists w \in H(v), w \in M \mid v \in M]$$
$$= P[\exists w \in H(v), w \in M]$$

Definition of algorithm! A marked neighbor must be the reason for v not to join MIS. This neighbor must have higher degree or ID.

$$\leq \sum_{w \in H(v)} \frac{1}{2d(v)}$$
$$\leq d(v)/(2d(v)) = 1/2$$

Excursion: Once Marked, Likely to Join!

$$\begin{aligned} P[v \notin \text{MIS} \mid v \in M] &= P[\exists w \in H(v), w \in M \mid v \in M] \\ &= P[\exists w \in H(v), w \in M] \end{aligned}$$

Probability is independent of whether v is marked or not!

$$\begin{aligned} &\leq \sum_{w \in H(v)} \epsilon_{H(v)} \frac{1}{2d(v)} \\ &\leq d(v)/(2d(v)) = 1/2 \end{aligned}$$

Excursion: Once Marked, Likely to Join!

$$\begin{aligned} P[v \notin \text{MIS} \mid v \in M] &= P[\exists w \in H(v), w \in M \mid v \in M] \\ &= P[\exists w \in H(v), w \in M] \\ &\leq \sum_{w \in H(v)} P[w \in M] \\ &= \sum_{w \in H(v)} 1/(2d(w)) \end{aligned}$$

Union bound: sum of probabilities over all neighbors that they are marked is at least as large as probability that there exists a neighbor which marks itself.

Once Marked, Likely to Join!

$$\begin{aligned} P[v \notin \text{MIS} \mid v \in M] &= P[\exists w \in H(v), w \in M \mid v \in M] \\ &= P[\exists w \in H(v), w \in M] \\ &\leq \sum_w \epsilon_{H(v)} P[w \in M] \\ &= \sum_w \epsilon_{H(v)} \frac{1}{2d(w)} \\ &= \sum_w \epsilon_{H(v)} \frac{1}{2d(v)} \end{aligned}$$

Definition of marking algorithm:
marking probability.

Once Marked, Likely to Join!

$$\begin{aligned} P[v \notin \text{MIS} \mid v \in M] &= P[\exists w \in H(v), w \in M \mid v \in M] \\ &= P[\exists w \in H(v), w \in M] \\ &\leq \sum_w \epsilon_{H(v)} P[w \in M] \\ &= \sum_w \epsilon_{H(v)} 1/(2d(w)) \\ &\leq \sum_w \epsilon_{H(v)} 1/(2d(v)) \\ &= \epsilon_{H(v)} / (2d(v)) = 1/2 \end{aligned}$$

Definition of algorithm: degree of w in the set H is at least as large as degree of v .

Once Marked, Likely to Join!

$$\begin{aligned} P[v \notin \text{MIS} \mid v \in M] &= P[\exists w \in H(v), w \in M \mid v \in M] \\ &= P[\exists w \in H(v), w \in M] \\ &\leq \sum_w \epsilon_{H(v)} P[w \in M] \\ &= \sum_w \epsilon_{H(v)} 1/(2d(w)) \\ &\leq \sum_w \epsilon_{H(v)} 1/(2d(v)) \\ &\leq d(v)/(2d(v)) = 1/2 \end{aligned}$$

v has at most $d(v)$ neighbors with higher or same degree.

Joining MIS

Node v joins MIS in Step 2 with probability $p \geq 1/(4d(v))$.

$$\begin{aligned} P[v \notin \text{MIS} \mid v \in M] &= P[\exists w \in H(v), w \in M \mid v \in M] \\ &= P[\exists w \in H(v), w \in M] \\ &\leq \sum_w \epsilon_{H(v)} P[w \in M] \\ &= \sum_w \epsilon_{H(v)} 1/(2d(w)) \\ &\leq \sum_w \epsilon_{H(v)} 1/(2d(v)) \\ &\leq d(v)/(2d(v)) = 1/2 \end{aligned}$$

Just proved!

We can now prove the lemma:

Just computed!

Definition of algorithm

$$P[v \in \text{MIS}] = P[v \in \text{MIS} \mid v \in M] P[v \in M] \geq 1/2 \cdot 1/(2d(v))$$

Joining MIS

Node v joins MIS in Step 2 with probability $p \geq 1/(4d(v))$.

Problem: This can be a very small probability (e.g., $1/n$).
We need some more definitions!

Joining MIS

Node v joins MIS in Step 2 with probability $p \geq 1/(4d(v))$.

Good&Bad Nodes

A node v is called *good* if

$$\sum_{w \in N(v)} \frac{1}{2d(w)} \geq 1/6.$$

Otherwise bad.

A good node has many neighbors of low degree. Intuition: Low-degree neighbors are likely to be marked and join MIS. Therefore, also good nodes are likely to be removed when that neighbor joins MIS!

Joining MIS

Node v joins MIS in Step 2 with probability $p \geq 1/(4d(v))$.

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Good Nodes

A good node v will be removed in Step 3 with probability $p \geq 1/36$.

A good node has many neighbors of low degree. Intuition: Low-degree neighbors are likely to be marked and join MIS. Therefore, also good nodes are likely to be removed when that neighbor joins MIS!

Nice: good nodes removed with high constant probability!
However: it remains to show that there are many good nodes.

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Case 1: If v has a neighbor w with $d(w) \leq 2$ easy, due to Joining MIS Lemma: neighbor w joins with probability $1/8$, on this occasion will be removed too.

Joining MIS

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Case 2: Also easy (s. lecture notes).

Good&Bad Nodes

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$$\sum_{w \in N(v)} \frac{1}{2d(w)} \geq 1/6.$$

Otherwise bad.

Excursion

Good Nodes

A good node v will be removed in Step 3 with probability $p \geq 1/36$.

Case 2: If v has only neighbors w with $d(w) > 2$

Therefore: for any neighbor w of good node v , we have $\frac{1}{2d(w)} \leq 1/6$.

Therefore: summands (in definition of good nodes) are small:

For a good node v , there must exist a subset $S \subseteq N(v)$ such that

$$1/6 \leq \sum_{w \in S} \frac{1}{2d(w)} \leq 1/3.$$

Good&Bad Nodes

Excursion

A node v is called *good* if

$$\sum_{w \in N(v)} 1/(2d(w)) \geq 1/6.$$

Otherwise bad.

Good Nodes

A good node v will be removed in Step 3 with probability $p \geq 1/36$.

Case 2: If v has only neighbors w with $d(w) > 2$

Therefore: for any neighbor w of good node v , we have $1/(2d(w)) \leq 1/6$.

Therefore: summands (in definition of good nodes) are small.

By definition of good node.

There must exist a subset S

We can add neighbors at a granularity of $1/6$, see above.

$$1/6 \leq \sum_{w \in S} 1/(2d(w)) \leq 1/3.$$

Good Nodes

A good node v will be removed in Step 3 with probability $p \geq 1/36$.

Let R be event that good node v is **removed** (e.g., if neighbor joins MIS).

$$P[R] \geq P[\exists u \in S, u \in \text{MIS}]$$

$$\geq \sum_u \epsilon_S P[u \in \text{MIS}] - \sum_{u,w} \epsilon_S P[u \in \text{MIS and } w \in \text{MIS}]$$



Good Nodes

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Let R be event that good node v is **removed** (e.g., if neighbor joins MIS).

$$P[R] \geq P[\exists u \in S, u \in \text{MIS}]$$

$$\geq \sum_u P[u \in \text{MIS}] - \sum_{u,w \in S} P[u \in \text{MIS} \text{ and } w \in \text{MIS}]$$

Lower bound: We remove v only if neighbor from this special subset S joins, not all neighbors!



Good Nodes

A good node v will be removed in Step 3 with probability $p \geq 1/36$.

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$$P[R] \geq P[\exists u \in S, u \in \text{MIS}]$$

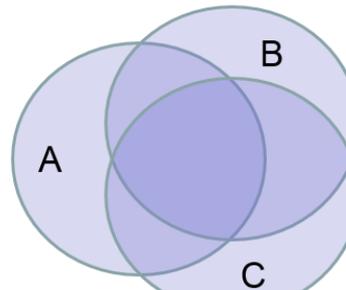
$$\geq \sum_{u \in S} P[u \in \text{MIS}] - \sum_{u, w \in S} P[u \in \text{MIS and } w \in \text{MIS}]$$

By truncating the **inclusion-exclusion principle**....: Probability that there is one is sum of probability for all individual ones minus probability that two enter MIS, plus...

Inclusion Exclusion Principle

$$\begin{aligned} |A \cup B \cup C| &= |A| + |B| + |C| \\ &\quad - |A \cap B| - |A \cap C| - |B \cap C| \\ &\quad + |A \cap B \cap C| \end{aligned}$$

Why?



Good Nodes

A good node v will be removed in Step 3 with probability $p \geq 1/36$.

Let R be event that good node v is **removed** (e.g., if neighbor joins MIS).

$$P[R] \geq P[\exists u \in S, u \in \text{MIS}]$$

$$\geq \sum_{u \in S} P[u \in \text{MIS}] - \sum_{u, w \in S} P[u \in \text{MIS and } w \in \text{MIS}]$$

$$\begin{aligned} P[R] &\geq \sum_{u \in S} P[u \in \text{MIS}] - \sum_{u, w \in S; u \neq w} P[u \in M \text{ and } w \in M] \\ &\geq \sum_{u \in S} P[u \in \text{MIS}] - \sum_{u \in S} \sum_{w \in S} P[u \in M] \cdot P[w \in M] \\ &\geq \sum_{u \in S} \frac{1}{4d(u)} - \sum_{u \in S} \sum_{w \in S} \frac{1}{2d(u)} \frac{1}{2d(w)} \\ &\geq \sum_{u \in S} \frac{1}{2d(u)} \left(\frac{1}{2} - \sum_{w \in S} \frac{1}{2d(w)} \right) \geq \frac{1}{6} \left(\frac{1}{2} - \frac{1}{3} \right) = \frac{1}{36}. \end{aligned}$$



Good Nodes

A good node v will be removed in Step 3 with probability $p \geq 1/36$.

Let R be event that good node v is **removed** (e.g., if neighbor joins MIS).

$$P[R] \geq P[\exists u \in S, u \in \text{MIS}]$$

$$\geq \sum_{u \in S} P[u \in \text{MIS}] - \sum_{u, w \in S} P[u \in \text{MIS and } w \in \text{MIS}]$$

$$P[R] \geq \sum_{u \in S} P[u \in \text{MIS}] - \sum_{u, w \in S; u \neq w} P[u \in M \text{ and } w \in M]$$

just derived

$P[u \in \text{MIS}]$

Using $P[u \in M] \geq P[u \in \text{MIS}]$

$$\geq \sum_{u \in S} \frac{1}{4d(u)} - \sum_{u \in S} \sum_{w \in S} \frac{1}{2d(u)} \frac{1}{2d(w)}$$

$$\geq \sum_{u \in S} \frac{1}{2d(u)} \left(\frac{1}{2} - \sum_{w \in S} \frac{1}{2d(w)} \right) \geq \frac{1}{6} \left(\frac{1}{2} - \frac{1}{3} \right) = \frac{1}{36}.$$

Good Nodes

A good node v will be removed in Step 3 with probability $p \geq 1/36$.

Let R be event that good node v is **removed** (e.g., if neighbor joins MIS).

$$P[R] \geq P[\exists u \in S, u \in \text{MIS}]$$

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$$P[R] \geq \sum_{u \in S} P[u \in \text{MIS}] - \sum_{u, w \in S; u \neq w} P[u \in M \text{ and } w \in M]$$

$$\geq \sum_{u \in S} P[u \in \text{MIS}] - \sum_{u \in S} \sum_{w \in S} P[u \in M] \cdot P[w \in M]$$

$$\geq \sum_{u \in S} \frac{1}{4d(u)} - \sum_{u \in S} \frac{1}{2} \cdot \frac{1}{3}$$

Independent but count nodes twice in sum

$$\geq \sum_{u \in S} \frac{1}{2d(u)} \left(\frac{1}{2} - \sum_{w \in S} \frac{1}{2d(w)} \right) \geq \frac{1}{6} \left(\frac{1}{2} - \frac{1}{3} \right) = \frac{1}{36}.$$

Good Nodes

A good node v will be removed in Step 3 with probability $p \geq 1/36$.

Let R be event that good node v is **removed** (e.g., if neighbor joins MIS).

$$P[R] \geq P[\exists u \in S. u \in \text{MIS}]$$

$$\geq \sum$$

Joining MIS

Node v joins MIS in Step 2 with probability $p \geq 1/(4d(v))$.

$P[R]$

$$\geq \sum_{u \in S} P[u \in \text{MIS}] - \sum_{u \in S} \sum_{w \in S, u \neq w} P[u \in M] \cdot P[w \in M]$$

$$\geq \sum_{u \in S} \frac{1}{4d(u)} - \sum_{u \in S} \sum_{w \in S} \frac{1}{2d(u)} \frac{1}{2d(w)}$$

See Joining MIS lemma

See algorithm

$$\sum_{u \in S} \frac{1}{2d(u)} \left(1 - \frac{1}{3} \right) = \frac{1}{36}$$

Good Nodes

A good node v will be removed in Step 3 with probability $p \geq 1/36$.

Let R be event that good node v is **removed** (e.g., if neighbor joins MIS).

$$P[R] \geq P[\exists u \in S, u \in \text{MIS}]$$

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$$P[R] \geq \sum_{u \in S} P[u \in \text{MIS}] - \sum_{u,w \in S; u \neq w} P[u \in M \text{ and } w \in M]$$

$$\geq \sum_{u \in S} P[u \in \text{MIS}] - \sum_{u \in S} \sum_{w \in S} P[u \in M] \cdot P[w \in M]$$

$$\geq \sum_{u \in S} \frac{1}{4d(u)} - \sum_{u \in S} \sum_{w \in S} \frac{1}{2d(u)} \frac{1}{2d(w)}$$

Set S has at least cumulative prob. $1/6$...

$$\geq \sum_{u \in S} \frac{1}{2d(u)} \left(\frac{1}{2} - \sum_{w \in S} \frac{1}{2d(w)} \right) \geq \frac{1}{6} \left(\frac{1}{2} - \frac{1}{3} \right) = \frac{1}{36}.$$

... and at most $1/3$.

Good Nodes

A good node v will be removed in Step 3 with probability

$$p \geq 1/36.$$

We just proved:

Good Nodes

A good node v will be removed in Step 3 with probability

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But how many good nodes are there?

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Otherwise bad.

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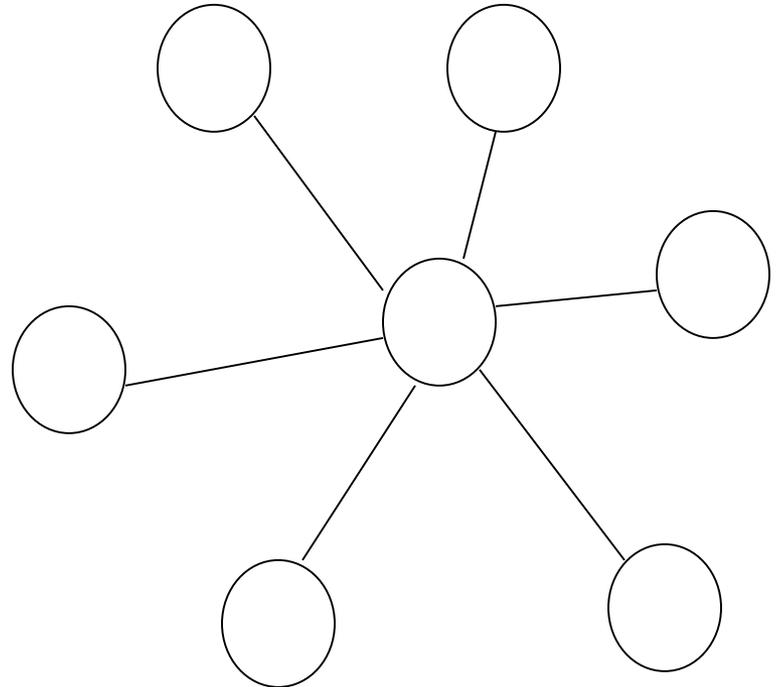
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Good Nodes

A good node v will be removed in Step 3 with probability

$$p \geq 1/36.$$

We just proved:

But how many good nodes are there?

Only one good node!

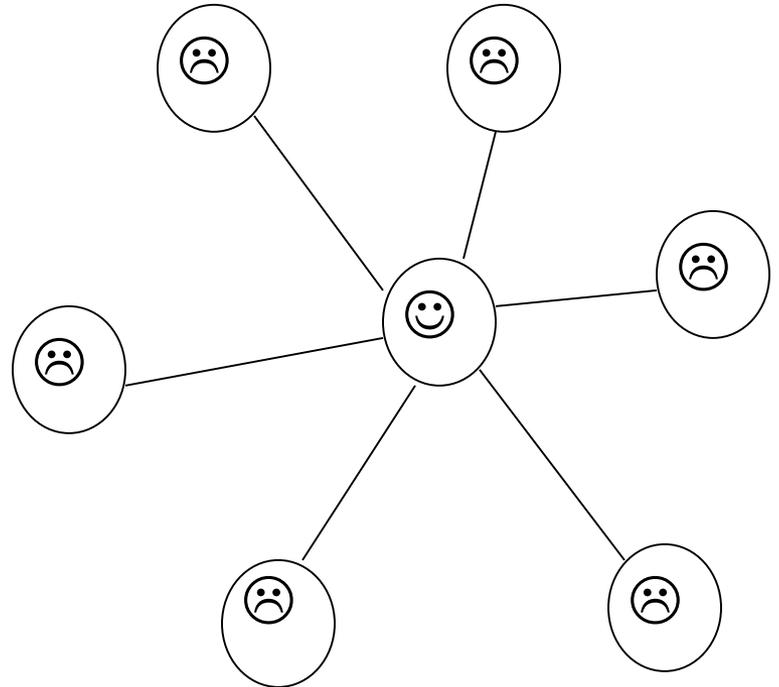
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Otherwise bad.

A good node has many neighbors of low degree.



Good Nodes

A good node v

But many edges have one good node as endpoint! Many «good edges»!

We just proved:

But how many good nodes are there?

Only one good node!

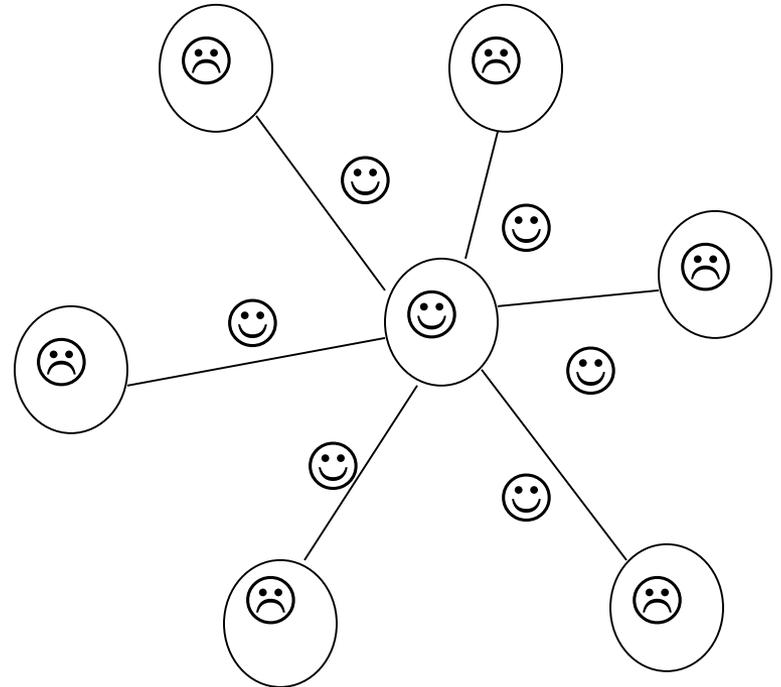
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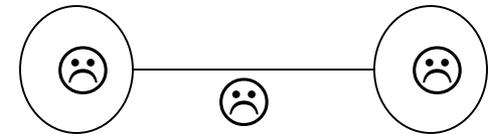
A good node has many neighbors of low degree.



Good&Bad Edges

An edge $e=(u,v)$ called *bad* if both u and v are bad (not good). Else the edge is called good.

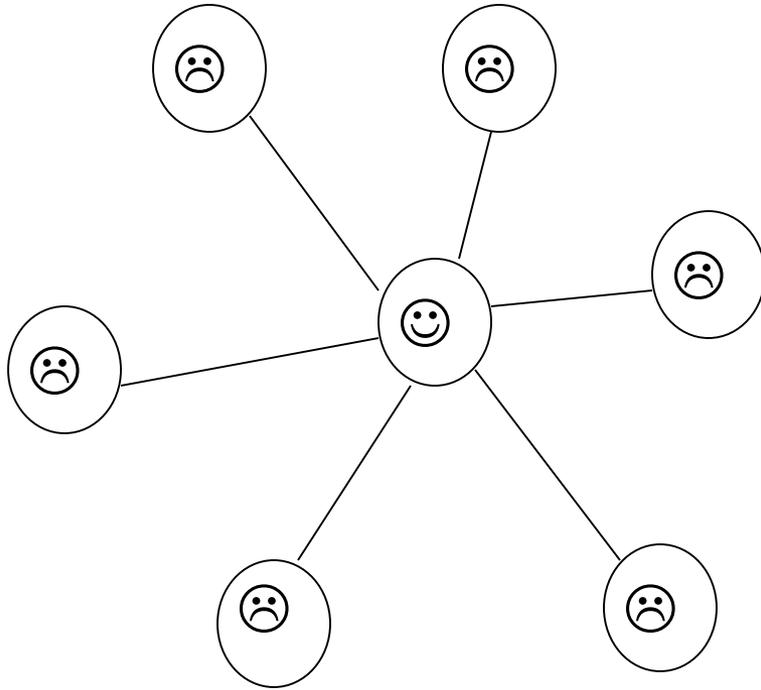
A bad edge is incident to two nodes with neighbors of high degrees.



Good Edges

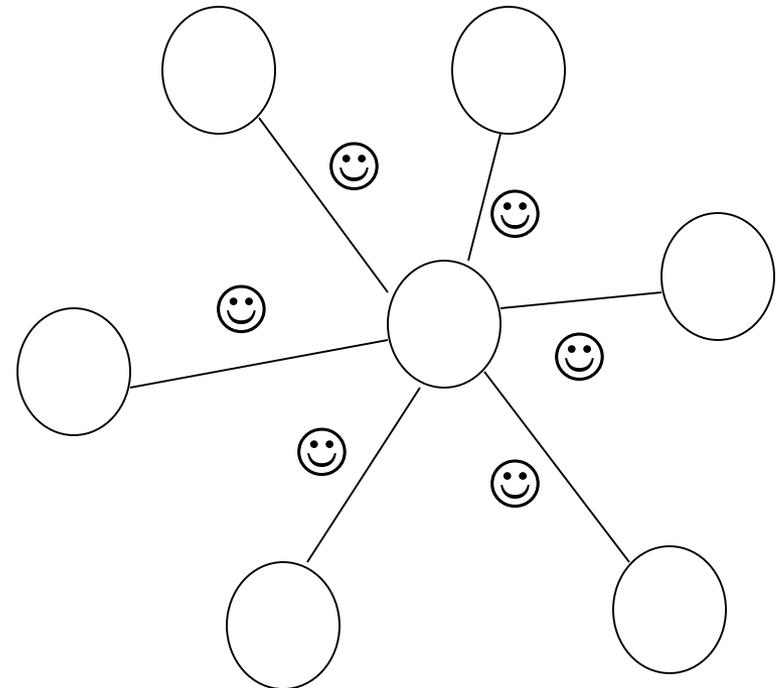
At least half of all edges are good, at any time.

Analysis



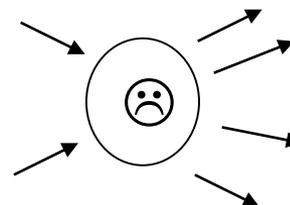
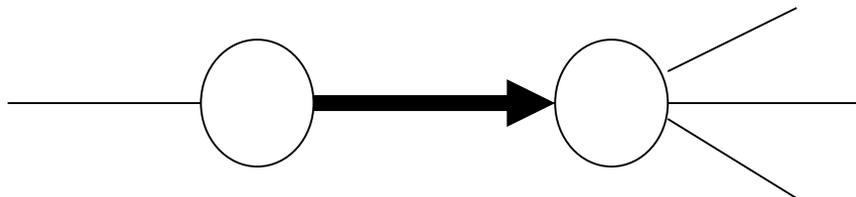
... but many good edges!

Not many good nodes...



Analysis

Idea: Artificially direct each edge **towards higher** degree node
(if both nodes have same degree, tie break: point it to one with higher ID).

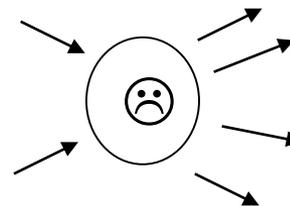


Helper Lemma
A bad node v has out-degree at least twice its in-degree.

Analysis

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Easy to see: Otherwise it must have many low-degree neighbors and be good! Contradiction.



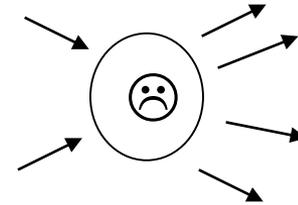
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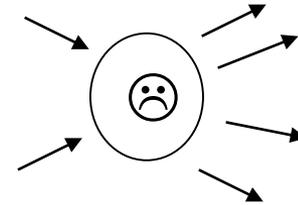
A bad node v has out-degree at least twice its in-degree.

That is great: Since sum of incoming edges = sum of outgoing edges, if the number of edges into bad nodes can be at most half the number of all edges, at least half of all edges are **directed into good nodes!** And they are good! 😊
So at least half of all edges are good.

Analysis

Idea: Artificially direct each edge **towards higher** degree node (if both nodes have same degree, tie break: point it to one with higher ID).

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Helper Lemma

A bad node v has out-degree at least twice its in-degree.

Proof („Helper Lemma“).

Idea: Otherwise it must have many low-degree neighbors and be good!
Assume the opposite: at least $d(v)/3$ neighbors (let's call them $S \subseteq N(v)$) have degree **at most $d(v)$** (otherwise v would point to them). But then

$$\sum_{w \in N(v)} \frac{1}{2d(w)} \geq \sum_{w \in S} \frac{1}{2d(w)} \geq \sum_{w \in S} \frac{1}{2d(v)} \geq \frac{d(v)}{3} \frac{1}{2d(v)} = \frac{1}{6}$$

only subset... Def. of S Assumption

Contradiction:
 v would be good!

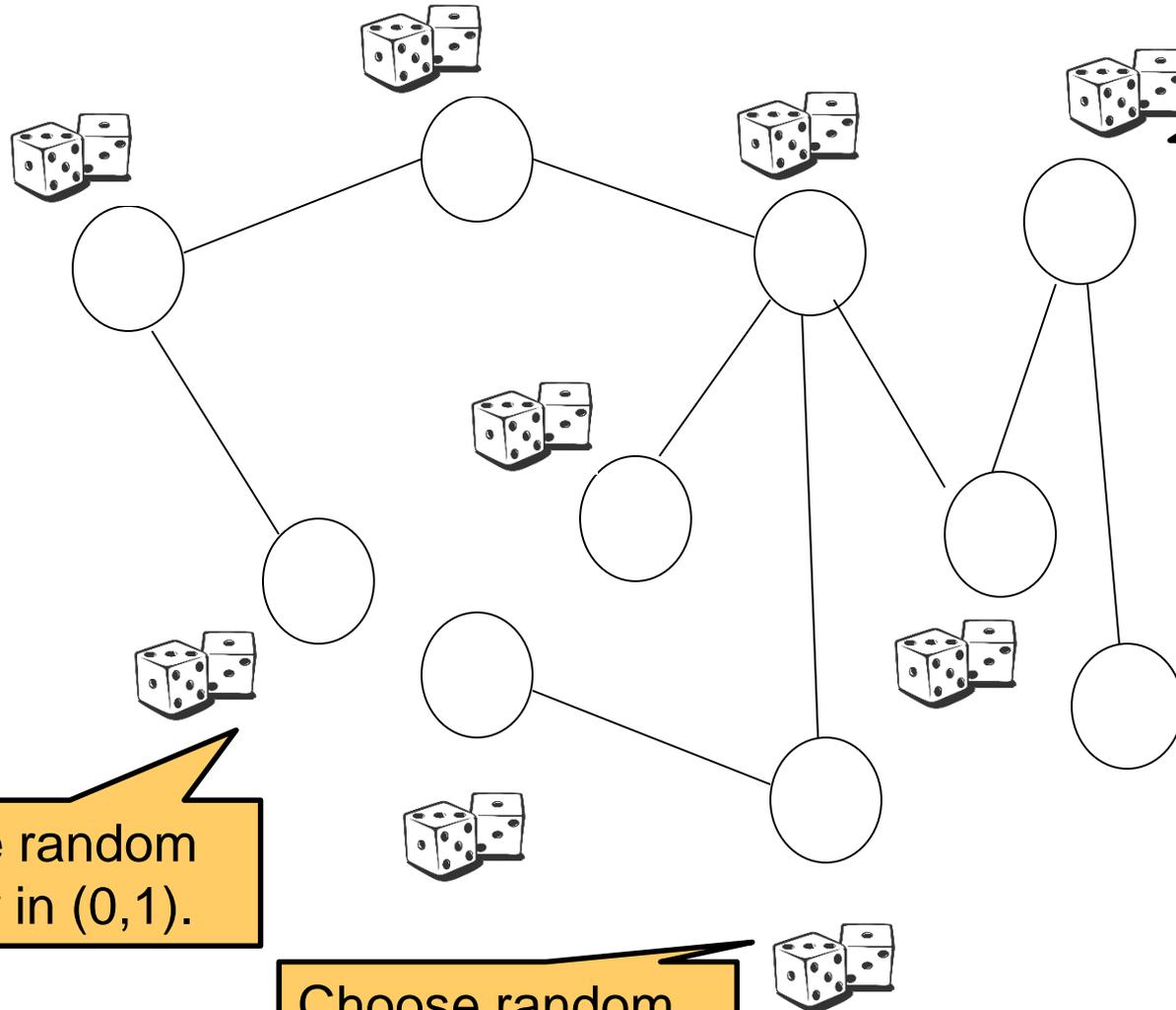
Fast MIS (1986)

Fast MIS terminates in expected time $O(\log n)$.

At least half of all the edges are good, and thus have at least one good incident node which will be deleted with constant probability and so will the edge! A **constant fraction of edges** will be deleted in each phase. (Note that $O(\log m) = O(\log n)$.)

An Even Simpler $\log(n)$ - Time MIS Algorithm

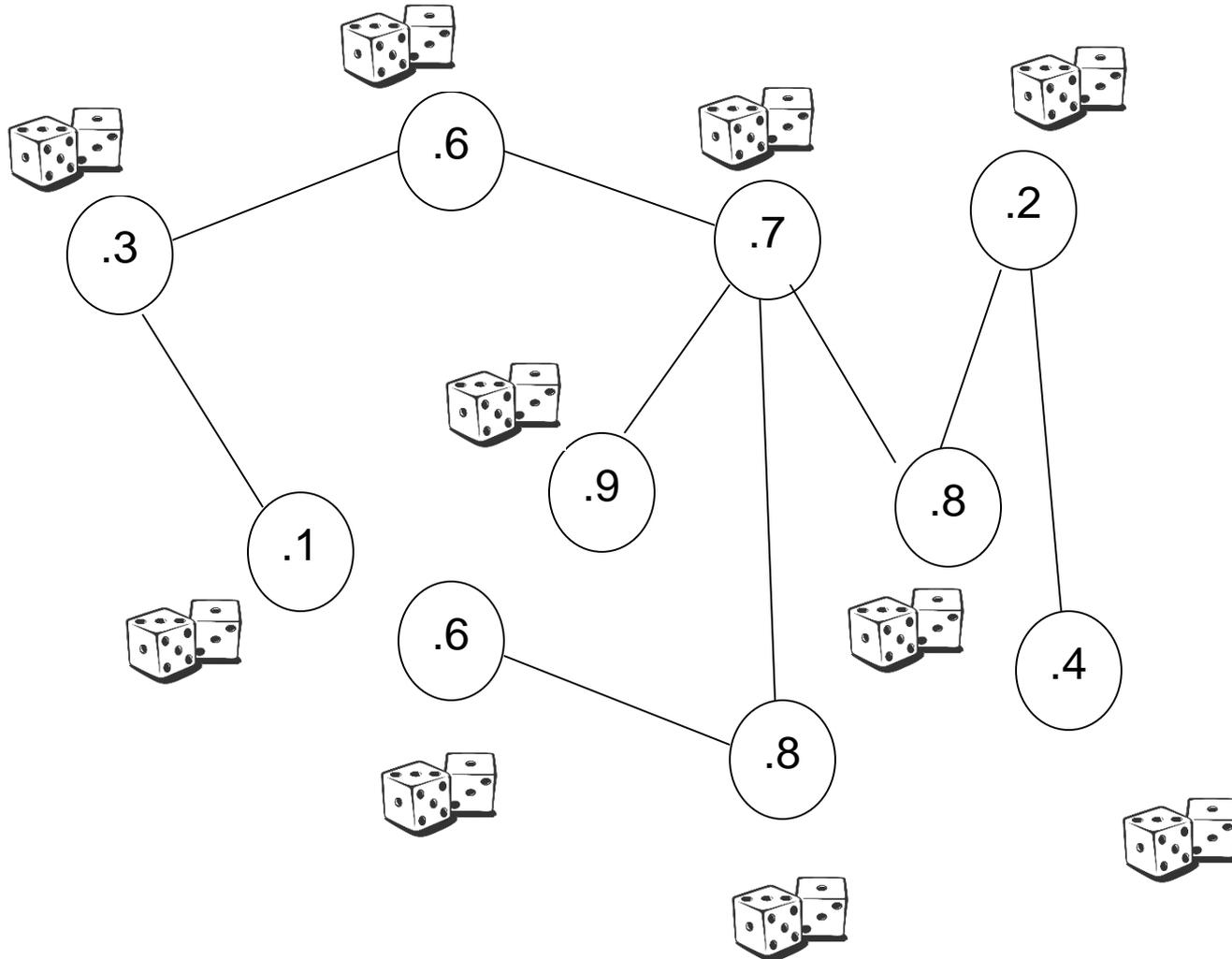
An Even Simpler Algorithm



Choose random number in $(0,1)$.

Round 1

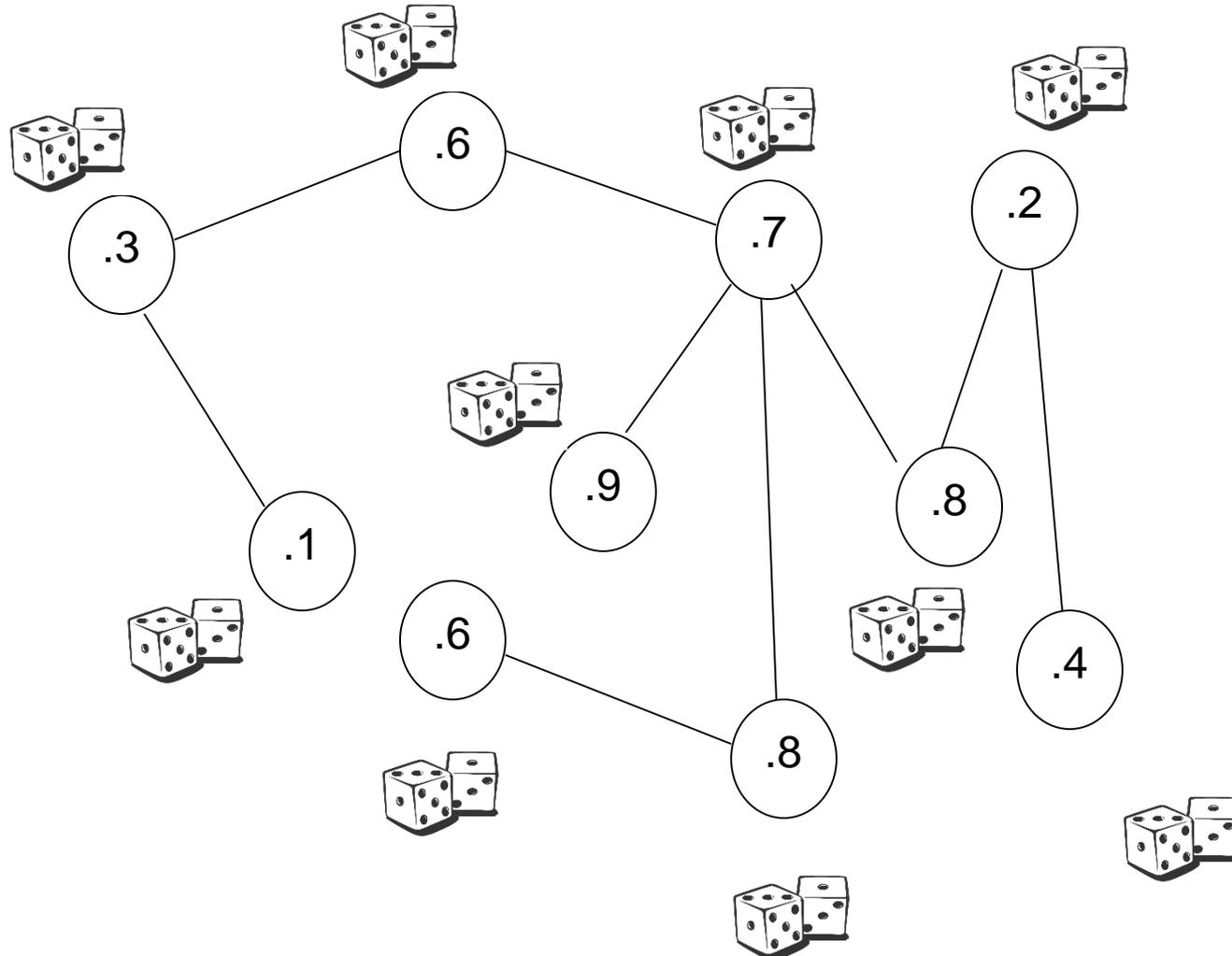
An Even Simpler Algorithm



Round 1

An Even Simpler Algorithm

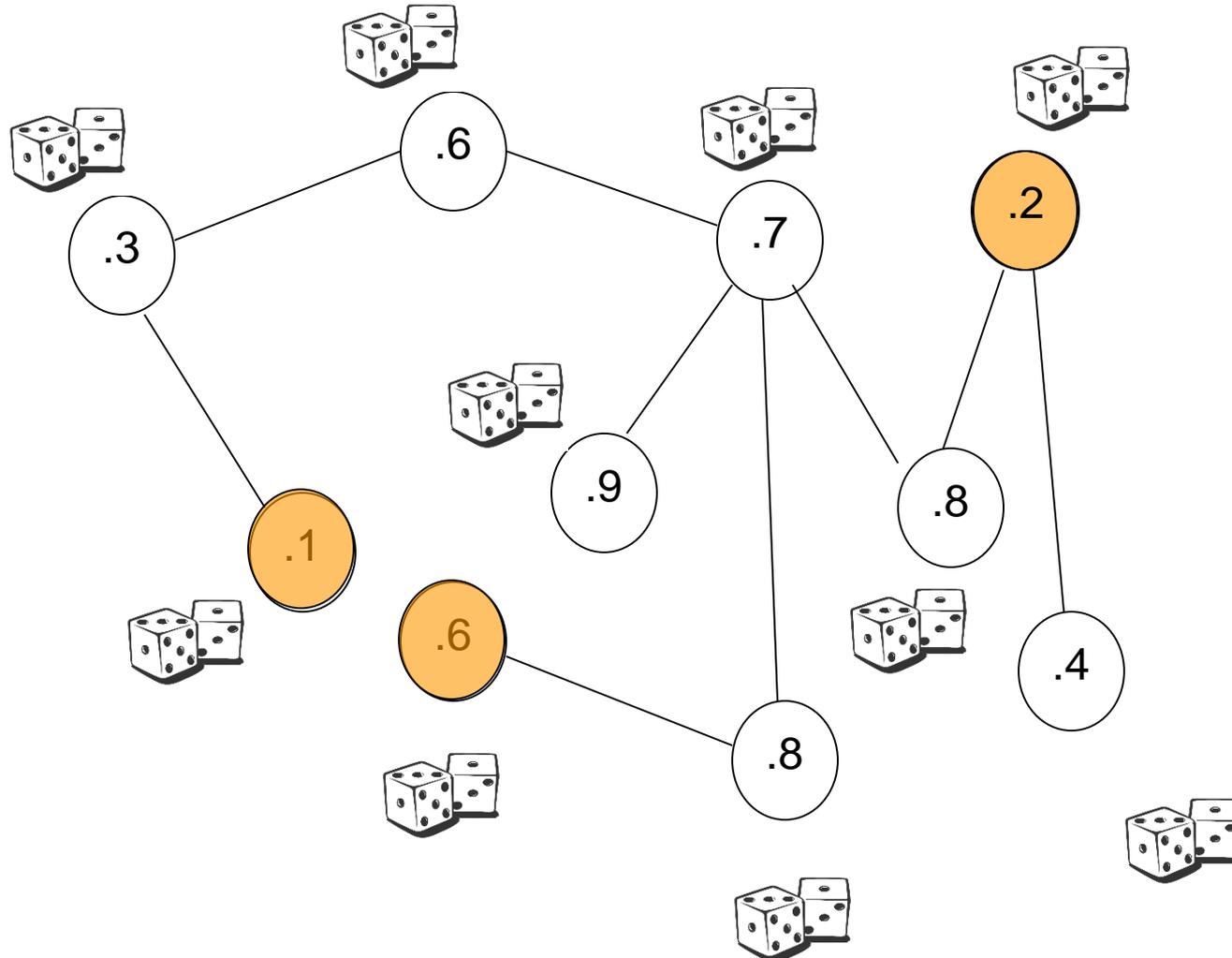
Join MIS if **smallest** random number in neighborhood!



Round 1

An Even Simpler Algorithm

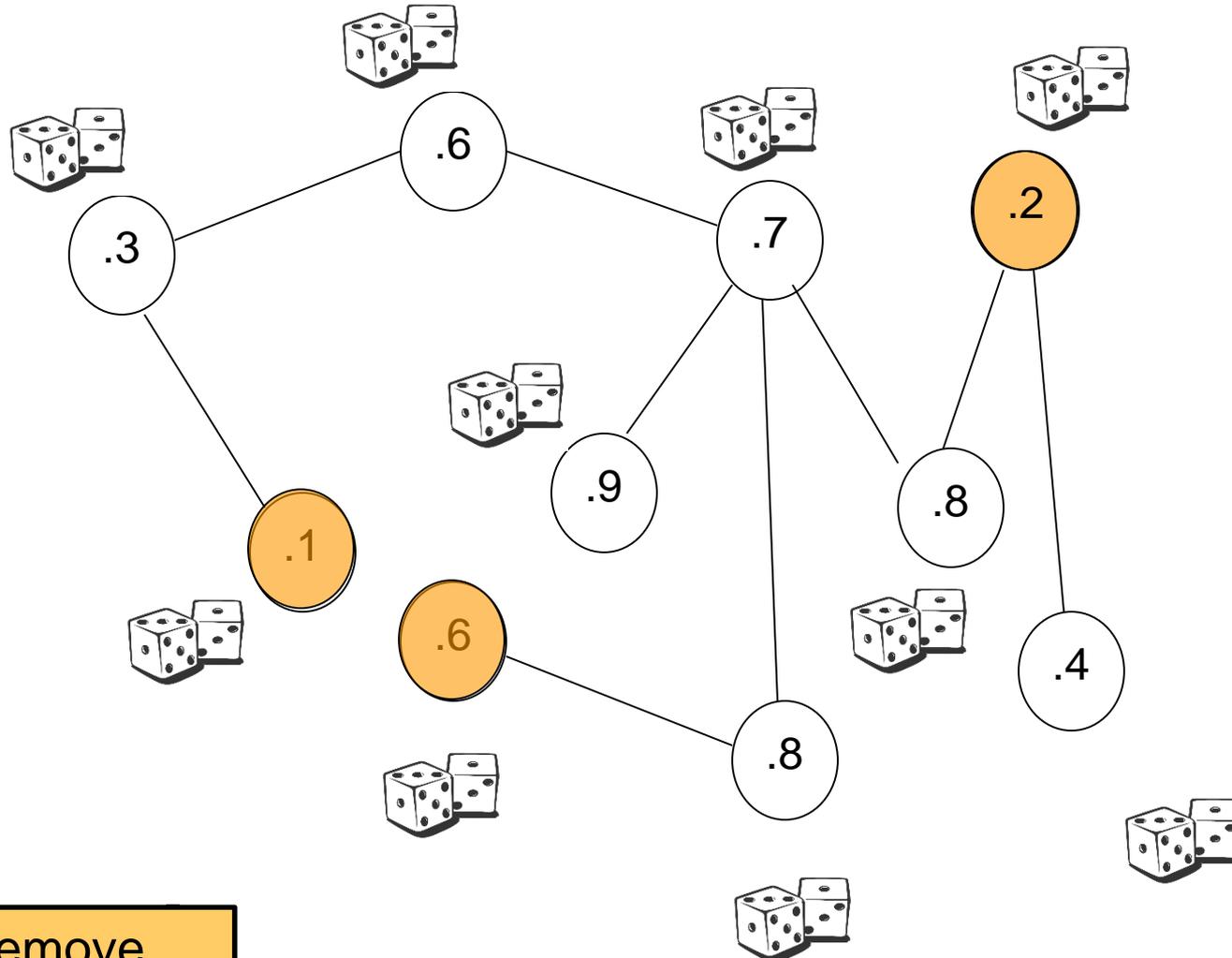
Join MIS if **smallest** random number in neighborhood!



Round 1

An Even Simpler Algorithm

Join MIS if **smallest** random number in neighborhood!

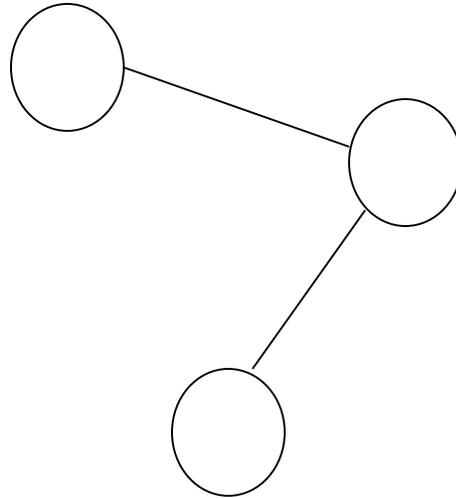


Then remove neighborhood!

Round 1

An Even Simpler Algorithm

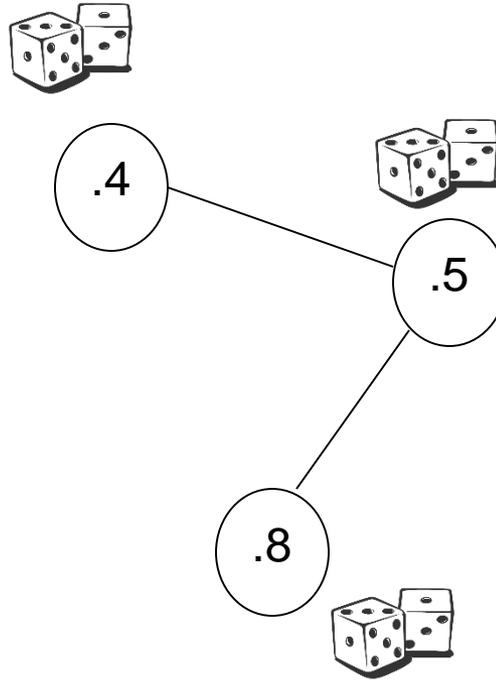
Join MIS if **smallest** random number in neighborhood!



Then remove neighborhood!

Round 1

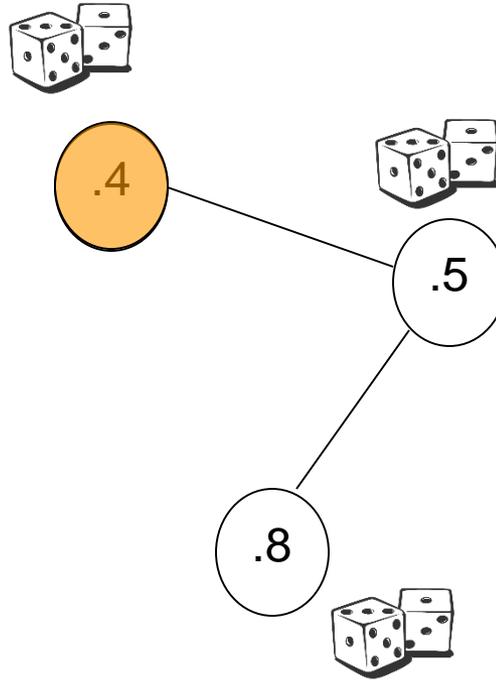
An Even Simpler Algorithm



And continue into Round 2!

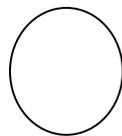
Round 2

An Even Simpler Algorithm



Round 2

An Even Simpler Algorithm



Round 3

An Even Simpler Algorithm

.1

Round 3

An Even Simpler Algorithm

.1

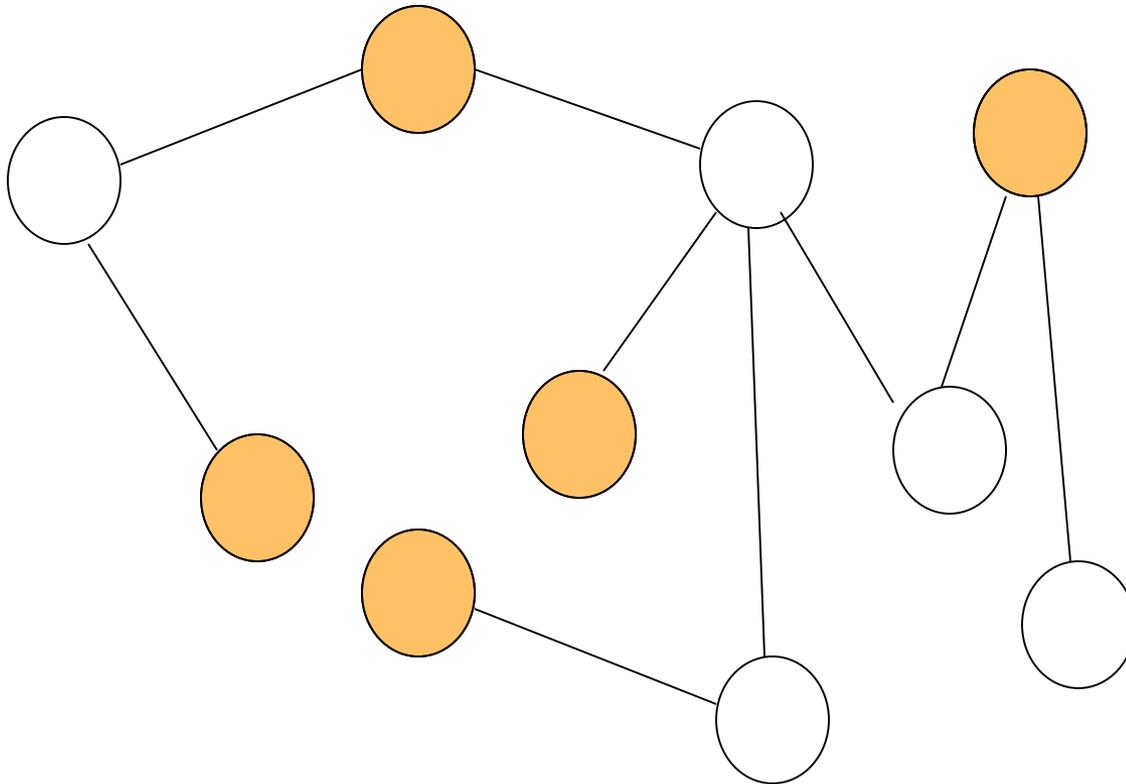
Round 3

An Even Simpler Algorithm

.1

Round 3

An Even Simpler Algorithm



... done: MIS!

Fast MIS (2009)

Proceed in rounds consisting of phases!

In a **phase**:

1. each node chooses a random value $r(v) \in [0,1]$ and sends it to its neighbors.
2. If $r(v) < r(w)$ for all neighbors $w \in N(v)$, node v enters the MIS and informs the neighbors
3. If v or a neighbor of v entered the MIS, v **terminates** (and v and edges are **removed**), otherwise v enters next phase!

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Why are the resulting nodes independent?

An Even Simpler Algorithm

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It is an IS:

Step 2: if v joins, neighbors do not

Step 3: if v joins, neighbors will *never* join again

Why are the resulting nodes independent?

Fast MIS (2009)

Proceed in rounds consisting of phases!

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Why is the independent set maximal?

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3. If v or a neighbor of v entered the MIS, v **terminates** (and v and edges are **removed**), otherwise v enters next phase!

It is a MIS:

Node with smallest random value will always join the IS, so there is always progress.

Why is the independent set maximal?

Fast MIS (2009)

Proceed in rounds consisting of phases!

In a **phase**:

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What is the runtime?

Fast MIS (2009)

Proceed in rounds consisting of phases!

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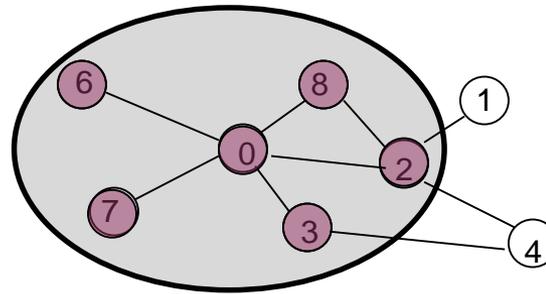
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3. If v or a neighbor of v entered the MIS, v **terminates** (and v and edges are **removed**), otherwise v enters next phase!

What is the runtime?

Logarithmic as well!
How to prove?
Remember our tricks...

Idea of the Proof

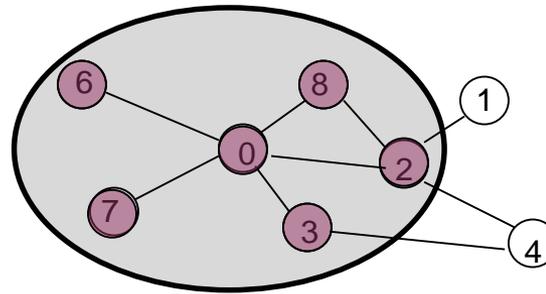
Idea: a constant fraction of edges removed in each round!



If node in center joins MIS: all incident edges can be removed.

Idea of the Proof

Idea: a constant fraction of edges removed in each round!



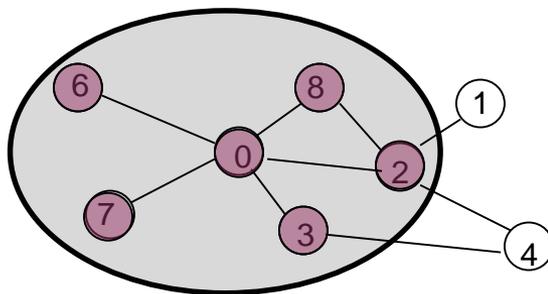
If node in center joins MIS: all incident edges can be removed.

Probability that node v is removed = node v has smallest ID in neighborhood

This is **at least** $1/(d(v)+1)!$

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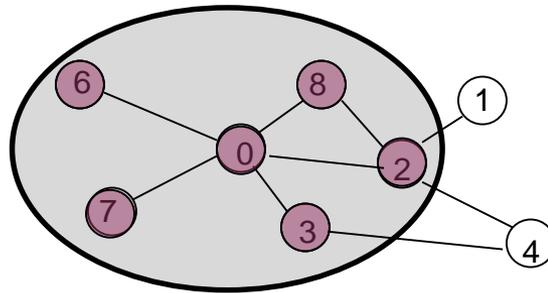
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On this occasion, $d(v)$ edges are removed!

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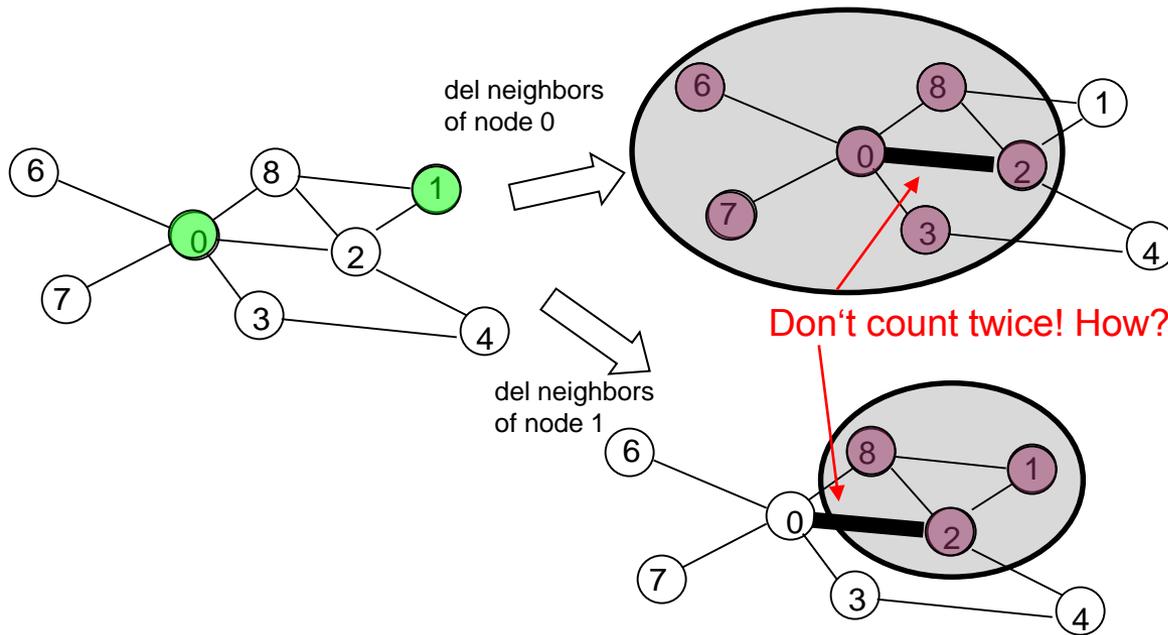
Probability that node v is removed = node v has smallest ID in neighborhood

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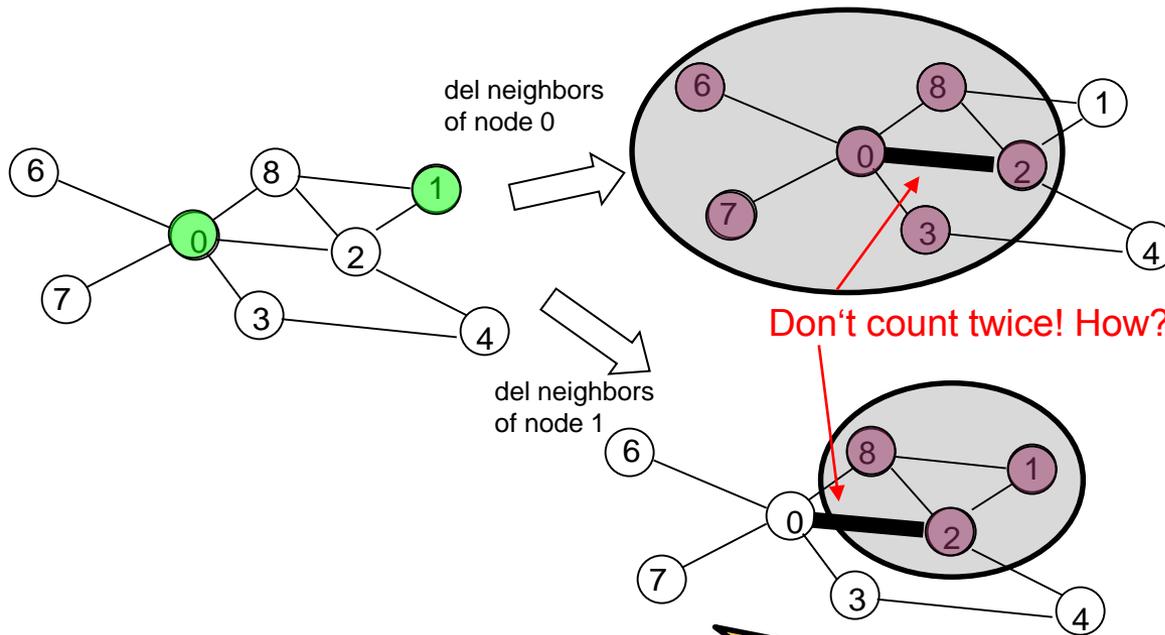
So on expectation
 $(d(v)+1)/d(v)=O(1)$: great!

Wait a sec...! Double counting!!



An edge appears in multiple neighborhoods!

Wait a sec...! Double counting!!



An edge appears in

Idea to be on the safe side: only count edges from a neighbor w when v is the smallest value **even in w 's neighborhood! It's a subset only, but sufficient!**

Edge Removal: Analysis (1)

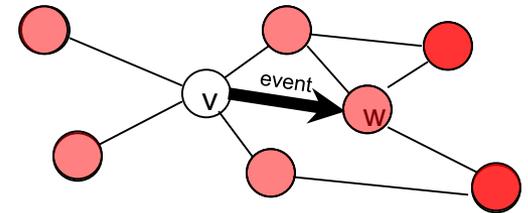
Let us define a more conservative event!

Event $(v \Rightarrow w)$

$(v \Rightarrow w)$: per edge event: node v joins MIS and is even smaller than w 's neighbors.

What is the probability of this event that v is minimum **also for neighbors** of the given neighbor w ?

$$P [(v \Rightarrow w)] \geq ?$$



Edge Removal: Analysis (1)

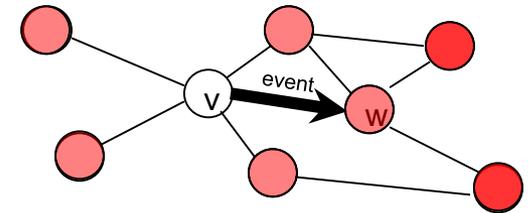
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($v \Rightarrow w$): per edge event: node v joins MIS and is even smaller than w 's neighbors.

What is the probability of this event that v is minimum **also for neighbors** of the given neighbor w ?

$$P[(v \Rightarrow w)] \geq 1/(d(v)+d(w))$$



since $d(v)+d(w)$ is the maximum possible number of nodes adjacent to v and w .

If v joins MIS, all edges (w,x) will be removed; there are at **least $d(w)$ many**.

Edge Removal: Analysis (1)

Let us define a more conservative event!

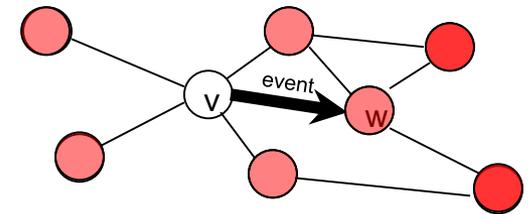
Expected number of removed edges in total? $d(w)$ many for $v \Rightarrow w$ and $d(v)$ many for $w \Rightarrow v$, both events occurring with probability $1/(d(v)+d(w))$. Still all edges E in expectation in a single phase?!

at

$d(v)+d(w)$

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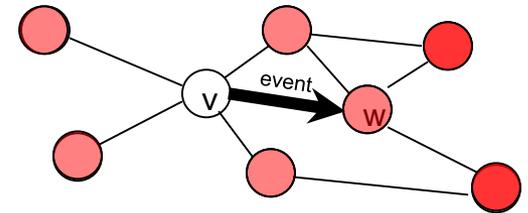
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since $d(v)+d(w)$ is the maximum possible number of nodes adjacent to v and w .

If v joins MIS, all edges (w,x) will be removed; there are at least $d(w)$ many.

We still do some double counting, but we are almost there...

Edge Removal: Analysis (2)

Edge Removal

In expectation, we remove at least half of all the edges in any phase.

Proof („Edge Removal“)?

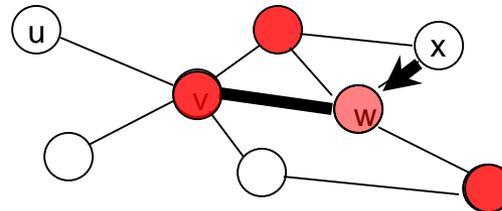
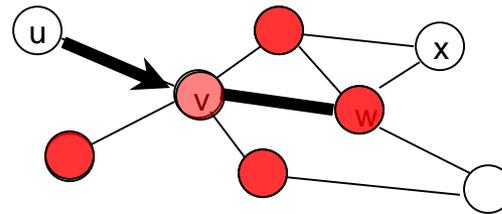
We still overcount:

Edge $\{v,w\}$ may be counted twice:
for event $(u \Rightarrow v)$ and event $(x \Rightarrow w)$.

However, it cannot be more than twice, as
there is at most one event $(* \Rightarrow v)$ and
at most one event $(* \Rightarrow w)$:

Event $(u \Rightarrow v)$ means $r(u) < r(v)$ for all
 $w \in N(v)$; another $(u' \Rightarrow v)$ would imply
that $r(u') > r(u) \in N(v)$.

So at least half of all edges vanish!



QED

MIS of 2009

Expected running time is $O(\log n)$.

Proof („MIS 2009“)?

Number of edges is cut in two in each round...

QED

Actually, the claim even holds with high probability!

MIS of 2009

Expected running time is $O(\log n)$.

Proof („MIS 2009“)?

Number of edges is cut in two in each round...

QED

Actually, the claim even holds with h

Distributed MIS can also be used to solve distributed **Matching** and distributed **Coloring** problems!

Matching

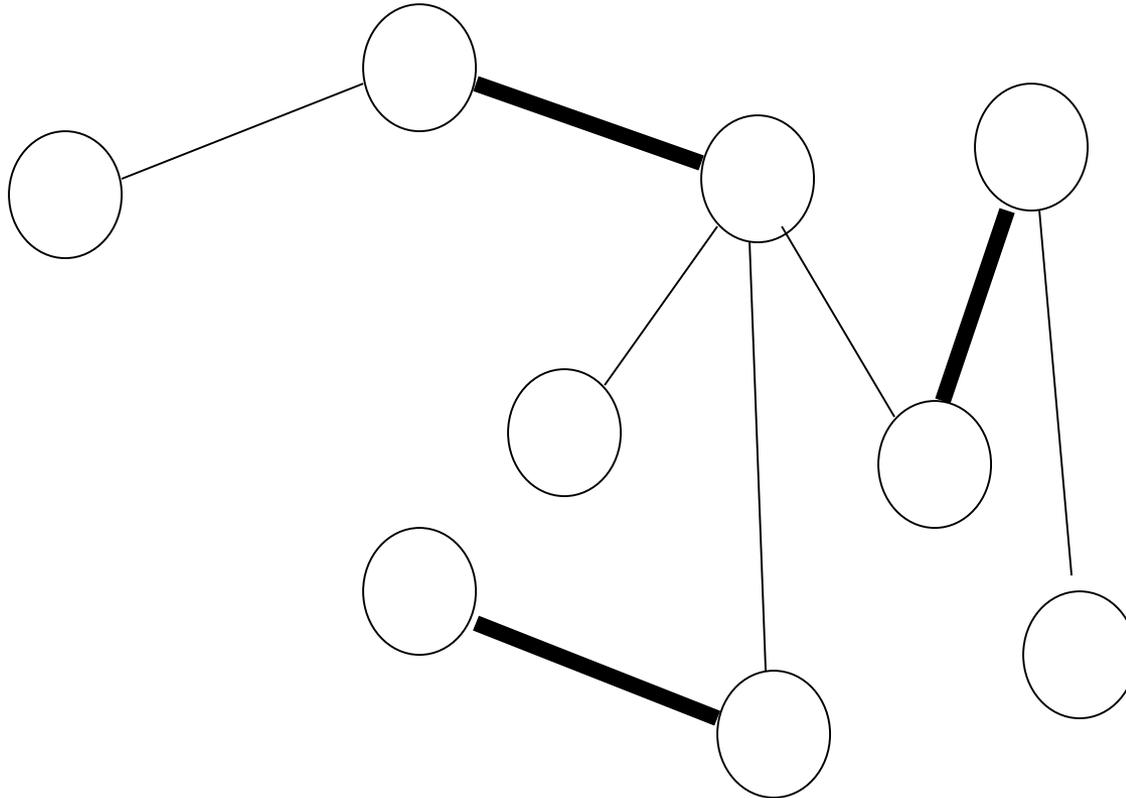
A matching is a **subset M of edges E** such that no two edges in M are adjacent.

A **maximal** matching cannot be augmented.

A **maximum** matching is the best possible.

A **perfect** matching includes all nodes.

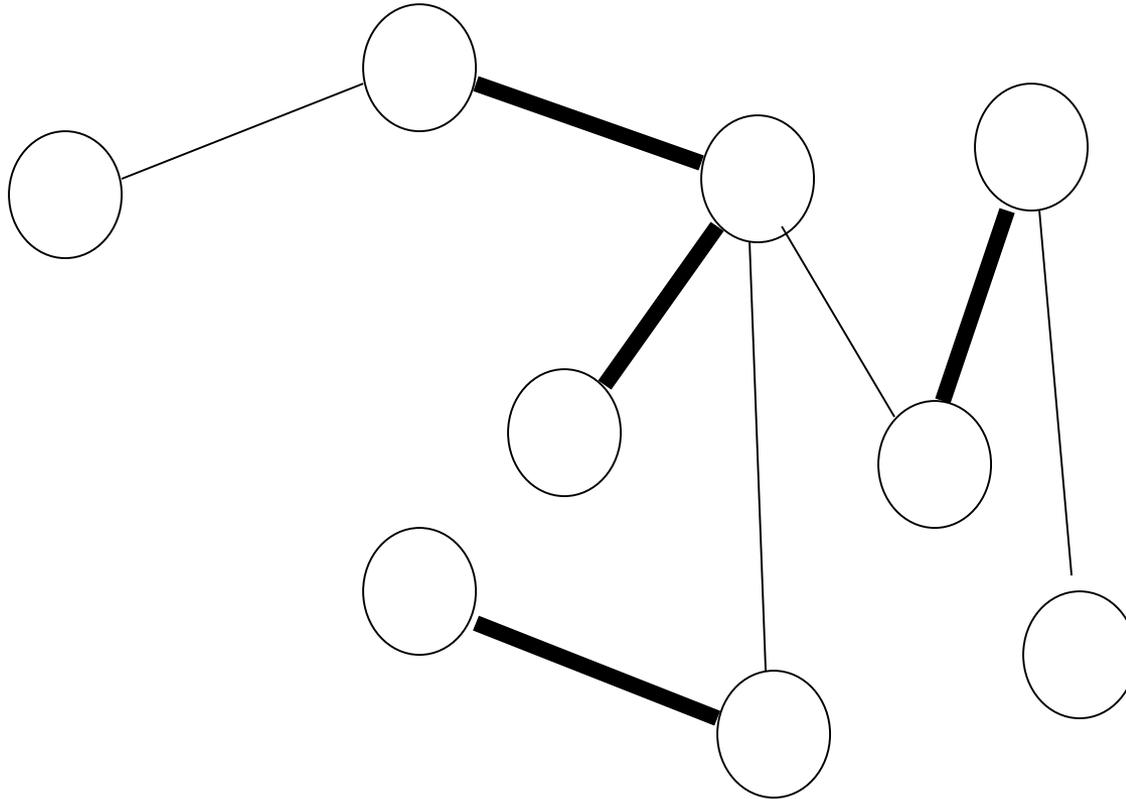
Excursion: Matchings



Matching? Maximal? Maximum? Perfect?

Maximal.

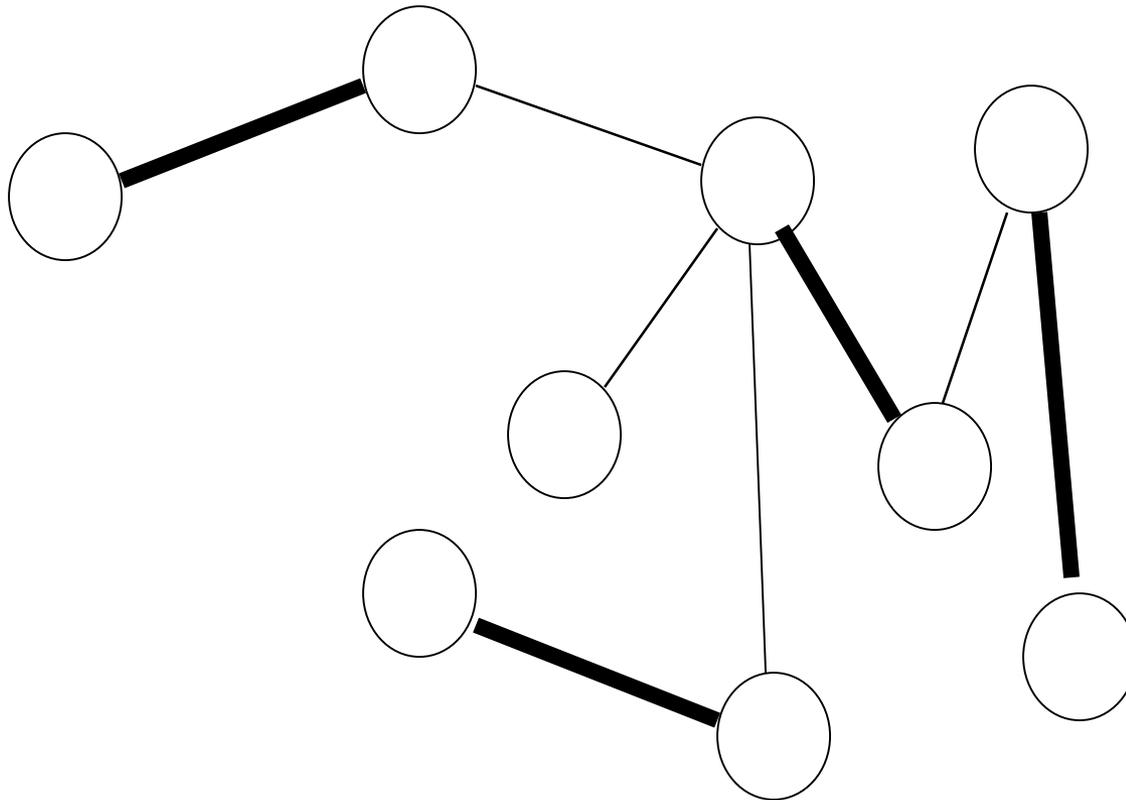
Excursion: Matchings



Matching? Maximal? Maximum? Perfect?

Nothing.

Excursion: Matchings



Matching? Maximal? Maximum? Perfect?

Maximum but not perfect.

Matching

A matching is a **subset M of edges E** such that no two edges in M are adjacent.

A **maximal** matching cannot be augmented.

A **maximum** matching is the best possible.

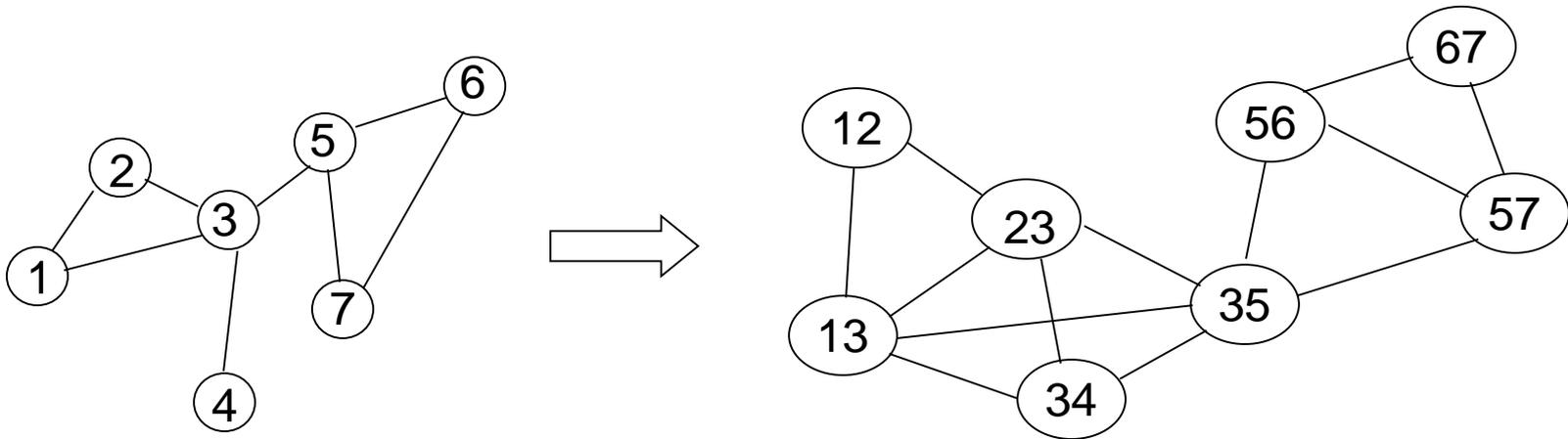
A **perfect** matching includes all nodes.

How to compute with an IS algorithm?

In a distributed fashion!

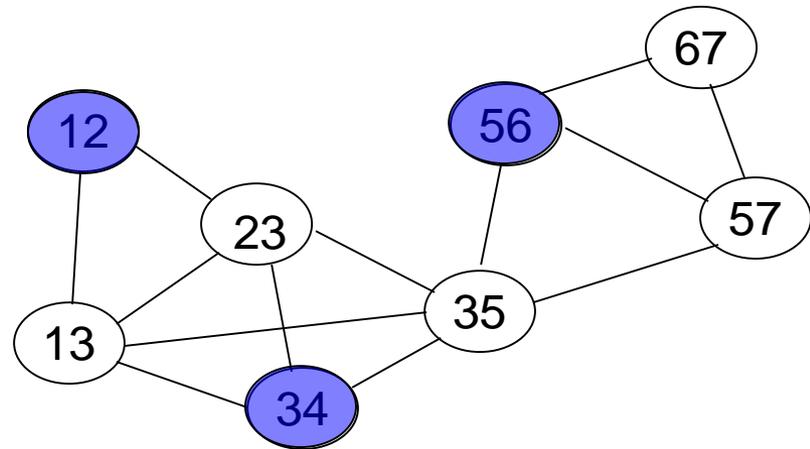
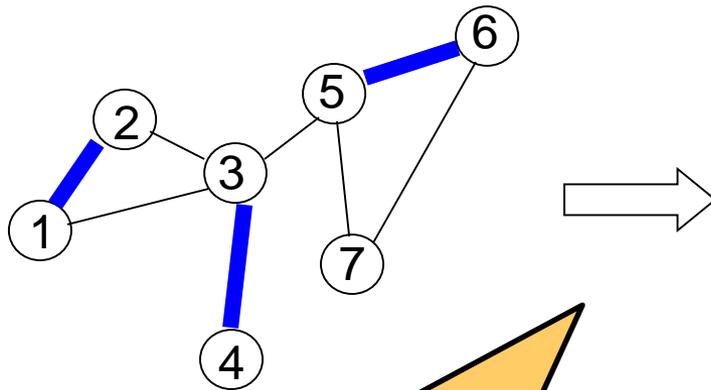
Discussion: Matching

For each edge in original graph make a vertex, and connect vertices if their edges are incident (attached to the same node):



Discussion: Matching

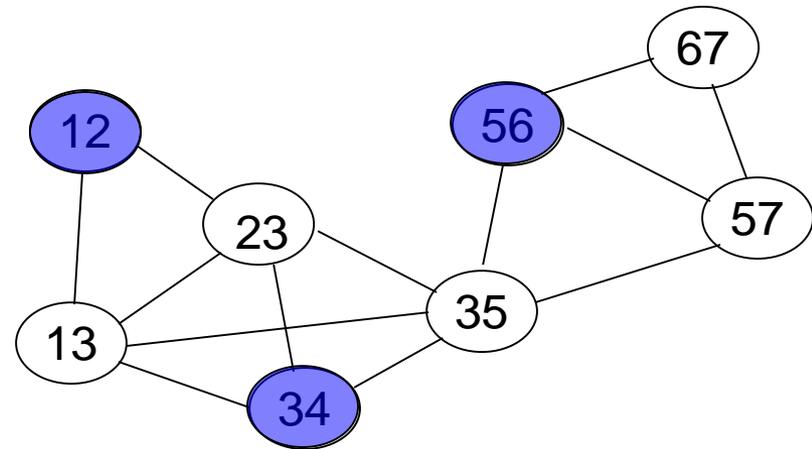
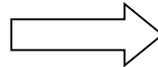
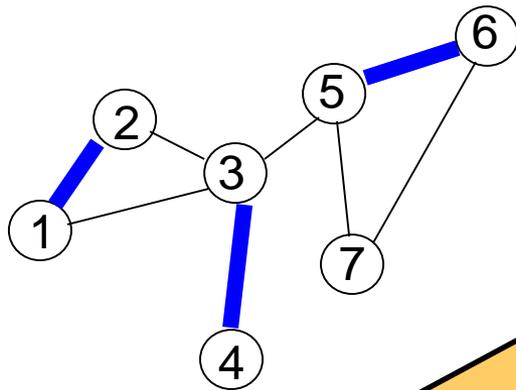
For each edge in original graph make a vertex, and connect vertices if their edges are incident (attached to the same node):



Equivalence: independent set on edges is a matching!

Discussion: Matching

For each edge in original graph make a vertex, and connect vertices if their edges are incident (attached to the same node):



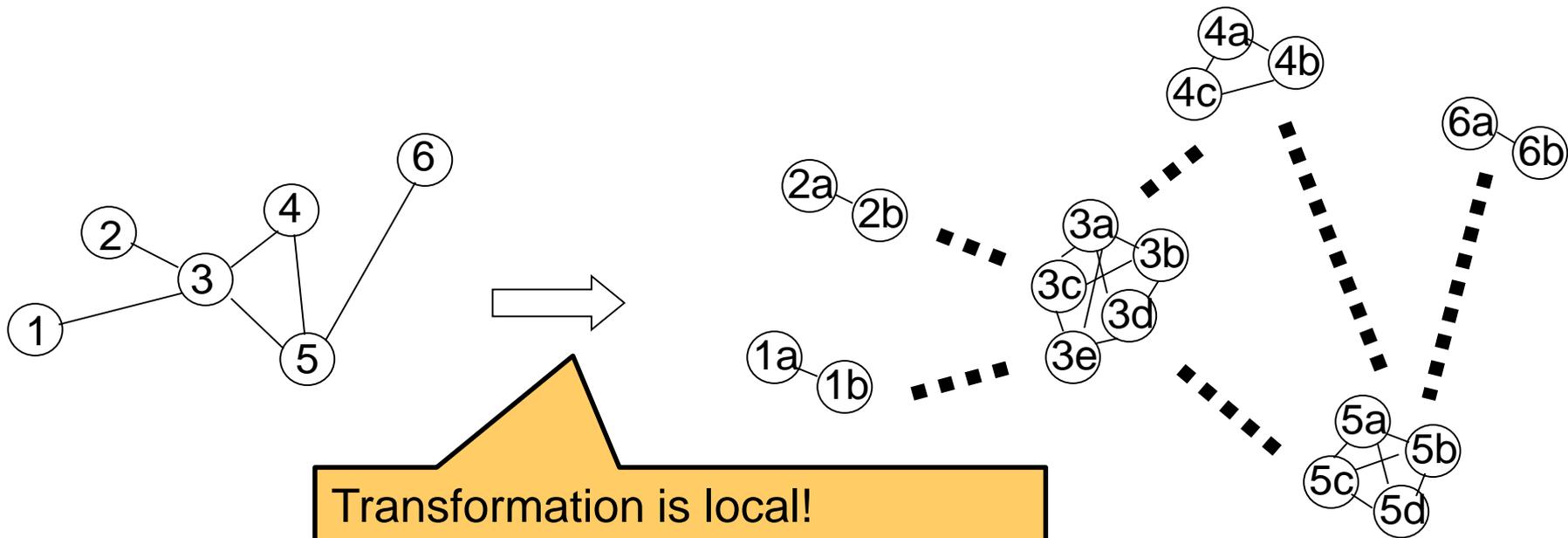
Equivalence: independent set on edges is a matching!

Note: the transformation is local: Nodes on the right (matching) can emulate the graph on the right: **local reduction!**

Discussion: Graph Coloring

How to use a MIS algorithm for graph coloring?

Clone each node v , $d(v)+1$ many times. Connect clones completely and match edges from i -th clone to i -th clone.



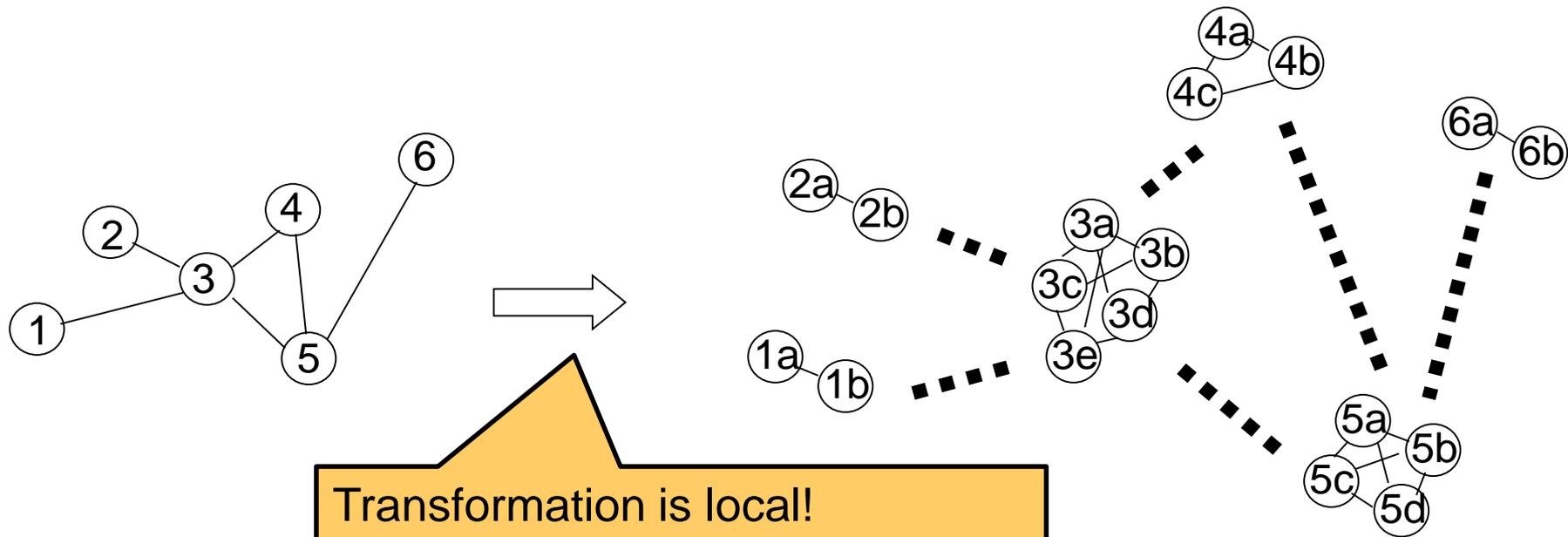
Transformation is local!
Node on the left can emulate
graph on the right locally.

Discussion: Graph Coloring

How to use a MIS algorithm for graph coloring?

Clone each node v , $d(v)+1$ many times. Connect clones completely and **match edges from i -th clone to i -th clone**.

Then run MIS: if i -th copy is in MIS, node gets color i .

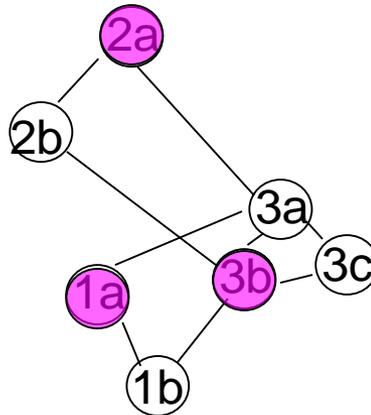
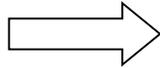
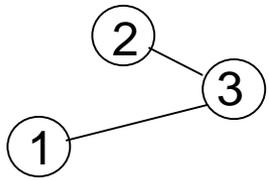


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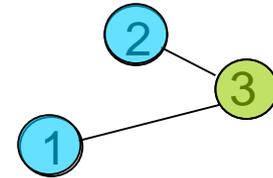
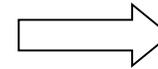
Discussion: Graph Coloring

Example:

How to use a MIS algorithm for graph coloring?



a => blue
b => green

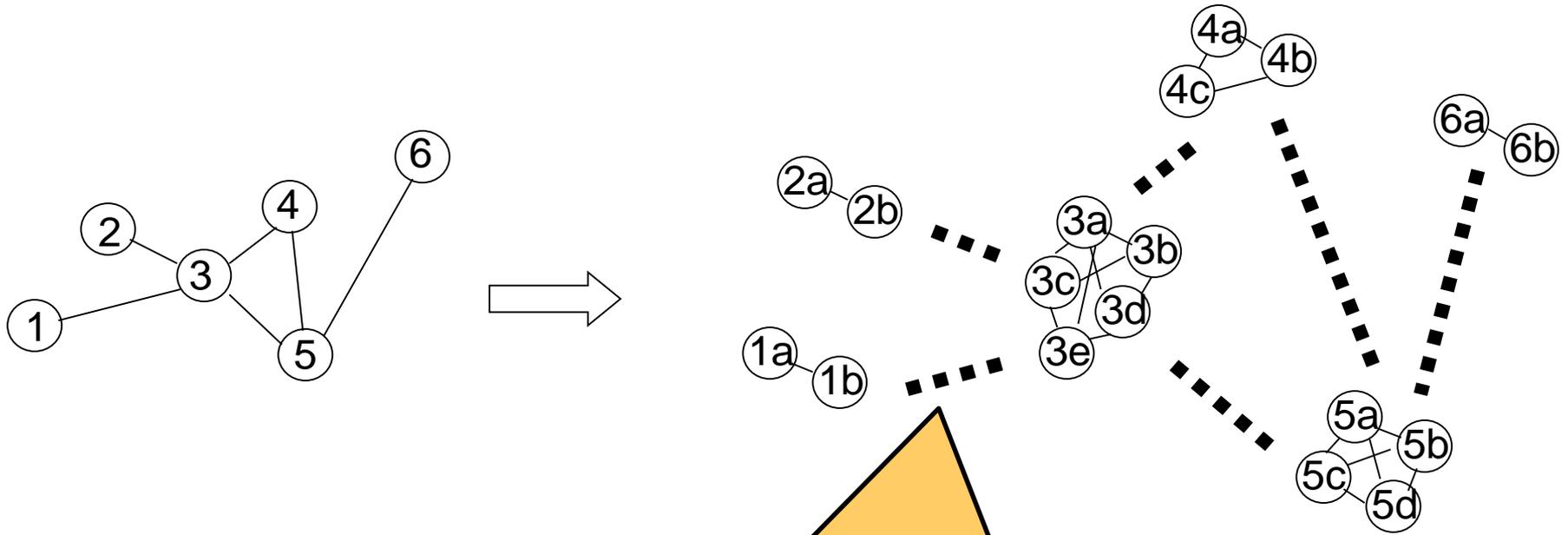


MIS

Coloring

Discussion: Graph Coloring

Why does it work?

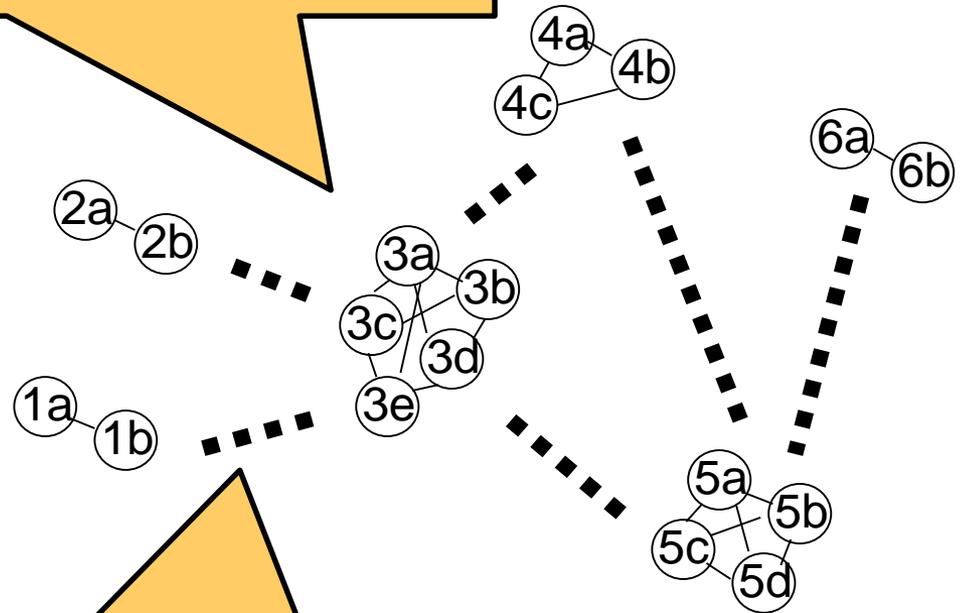
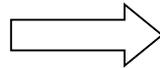
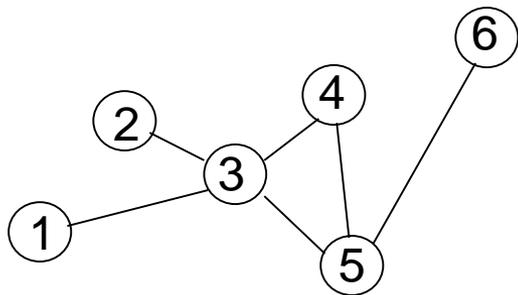


1. The coloring must be **conflict free**: adjacent nodes in the original graph (left) cannot be assigned the same color: the i -th clone (say a) is matched to the i -th clone of neighbors! So IS algorithm will choose at most one of the two.

Discussion: Graph Coloring

Why

2. The assigned node color must be **unique**: at most one clone is in the independent set: clones are completely connected.



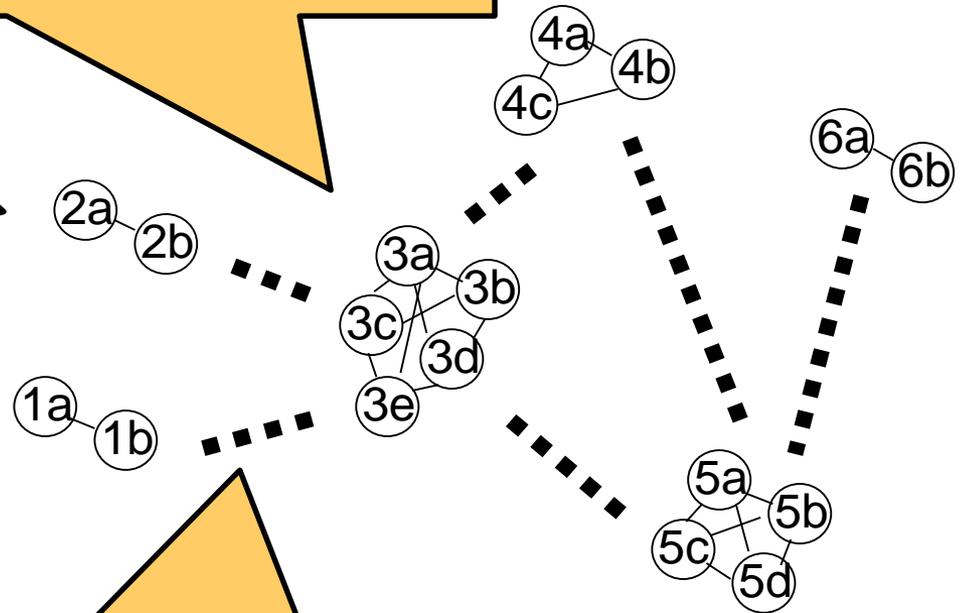
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Discussion: Graph Coloring

Why

2. A node gets **at most one color**: at most one clone is in the independent set as clones are completely connected.

3. A node gets **at least one color**: a node has $d(v)$ many neighbors (neighbor clusters), but there are $d(v)+1$ clones



1. The coloring must be **conflict free**: adjacent nodes in the original graph (left) cannot be assigned the same color: the i -th clone (say a) is matched to the i -th clone of neighbors! So IS algorithm will choose at most one of the two.

Dominating Set

A subset D of nodes such that each node either is in the dominating set itself, or one of its neighbors is (or both).

How to compute a dominating set?
Homework. 😊

Homework: Maximal Independent Set

In the lecture, we discussed a slow but simple deterministic maximal independent set (MIS) algorithm (Algorithm 34) in which the decisions of the nodes are based on their identifiers. The time complexity of this algorithm is $O(n)$.

We might hope that if the nodes with the largest degrees, i.e., the largest number of neighbors, decide to enter the MIS, the set of undecided nodes reduces the most. In the following algorithm we try to exploit the knowledge of the node degrees:

Assume that each node knows its degree and also the degrees of all its neighbors. If a node has a larger degree than all its undecided neighbors, it joins the MIS and informs its neighbors. Once a node v learns that (at least) one of its neighbors joined the MIS, v decides not to join the MIS.

Naturally, the algorithm does not make any progress if two or more neighboring nodes share the largest degree. As this is a difficult problem, we will assume in the following that this situation does not occur, i.e., if a node v has the largest degree, then no neighboring node has the same degree as v .¹

- a) Draw a graph that illustrates that this algorithm has a large time complexity for trees! Give a (non-trivial) lower bound on the (worst-case) time complexity for trees consisting of n nodes!
- b) Construct a graph that shows that the time complexity of this algorithm is even worse for arbitrary graphs than for trees! What is the time complexity?

Literature for further reading:

- Peleg's book (as always 😊)

End of lecture

Solution 3: Deterministic Maximal Independent Sets

Lecture: two randomized algorithms! What about deterministic solutions?

Recall algo from lecture:

Slow MIS

assume node IDs

Each node v :

1. If all neighbors with larger IDs have decided not to join MIS then: v decides to join MIS

Unfortunately, not faster than sequential algorithm!

E.g., sorted line: $O(n)$ time.

Solution 3: Deterministic Maximal Independent Sets

How to do better?

Idea: Nodes with high degree should decide first, so many node decide sooner?

Degree MIS

assume nodes v know degrees, also of neighbors

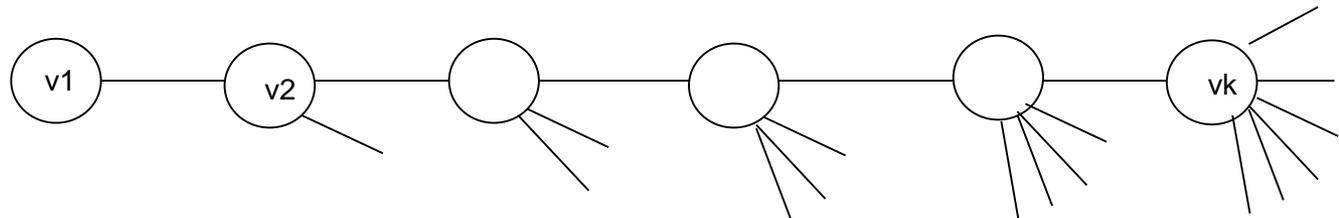
1. If node v has larger degree than undecided neighbors, join MIS and inform neighbors
2. Once a neighbor joins MIS, a node decides not to join MIS

Assume: no node has same degree as neighbors, should only help us,
so let's see...

Solution 3: Deterministic Maximal Independent Sets

Degree MIS is bad, even on trees:

- Line with increasing degrees.
- No neighbor has same degree.



1st round: Only v_k joins MIS. (All other nodes lower degree.)

2nd round: Only v_{k-1} decides (not to join).

3rd round: Etc.

k-th round: All nodes have decided. => **Complexity $\Theta(k)$**

What is k?

The number of nodes in this graph is

$$n = k + \sum_{i=1}^k (i-1) + 1 = 1 + \sum_{i=1}^k i = 1 + \frac{k(k+1)}{2} < \frac{(k+1)^2}{2}.$$

The time complexity is thus $k \geq \sqrt{2n} - 1 \in \Omega(\sqrt{n})$.

Solution 3: Worse on General Trees

Degree MIS is even worse on general graphs:

First note that the \sqrt{n} bound is tight for trees: it cannot be worse than that.

For contradiction, assume a node v_0 with degree $d(v_0)$ is undecided at time $2\sqrt{n}$. So v_0 must have an undecided neighbor v_1 with degree $d(v_1) > d(v_0)$ at time $2\sqrt{n}-1$.

So by induction, there are nodes $v_0, \dots, v_{2\sqrt{n}}$ such that v_i and v_{i+1} are neighboring, and $d(v_i) < d(v_{i+1})$.

So there are at least

$$\sum_{i=0}^{2\sqrt{n}} \delta(v_i) - 1 = \sum_{i=0}^{2\sqrt{n}} i = \frac{2\sqrt{n}(2\sqrt{n} + 1)}{2} > 2n$$

nodes in the tree (no neighbors can be shared, since tree cycle-free).
Contradiction!

Solution 3: Worse on General Trees

Degree MIS is even worse on general graphs:

Consider the ring:

v_i connected to
all u_j with j in $\{1, \dots, k-i\}$

So:
 $d(v_i) = k+2-i$
 $d(u_j) = k-j$

Execution:

1st round: v_1 joins MIS

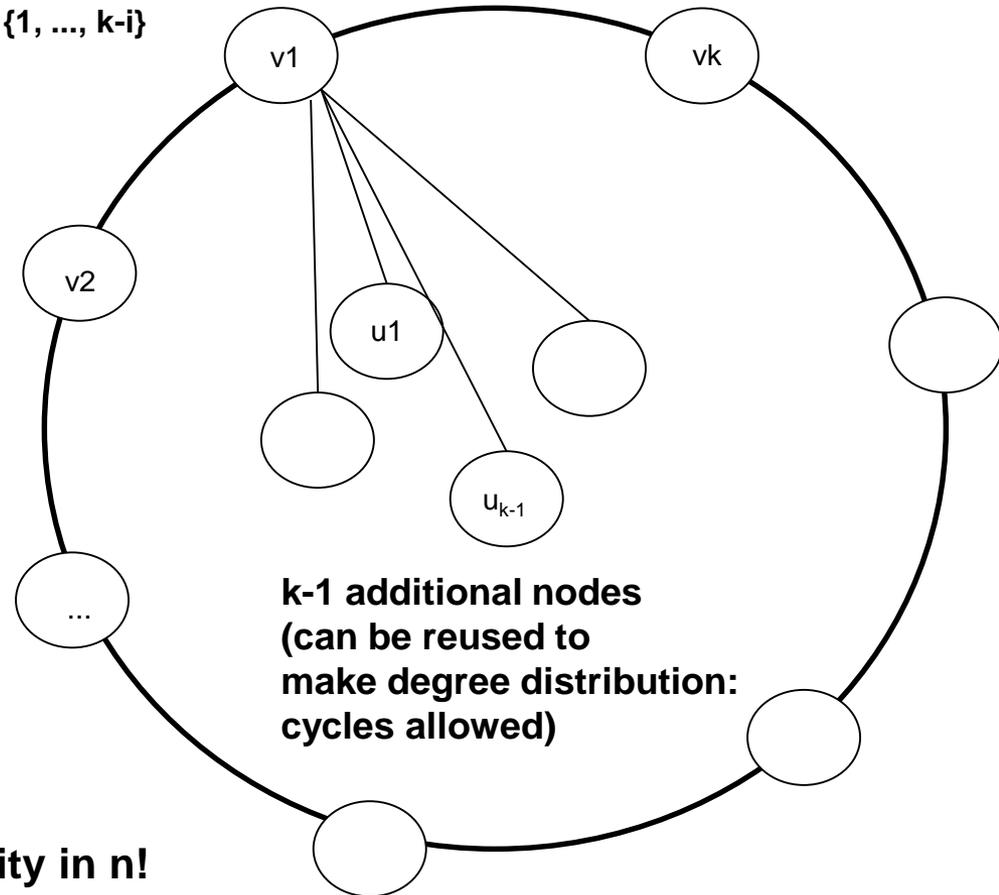
2nd round: u_1, \dots, u_{k-1} , and v_2 and v_i cannot
join anymore => tell it to neighbors

3rd round: only v_3 decides (all other nodes have
Undecided higher-degree neighbor)

4th round: only v_4 decides.

$(k-1)$ th round: v_{k-1} decides.

Since $2k-1=n$, we get a linear complexity in n !



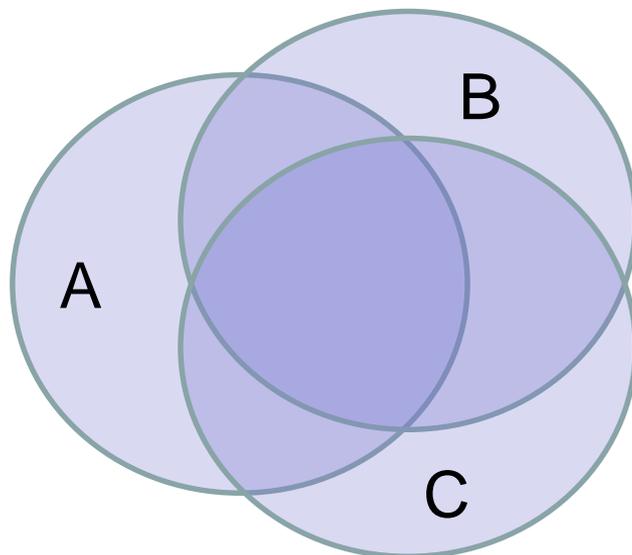
Backup Slides

All you will need in the analysis:

Inclusion Exclusion Principle

$$\begin{aligned} |A \cup B \cup C| = & |A| + |B| + |C| \\ & - |A \cap B| - |A \cap C| - |B \cap C| \\ & + |A \cap B \cap C| \end{aligned}$$

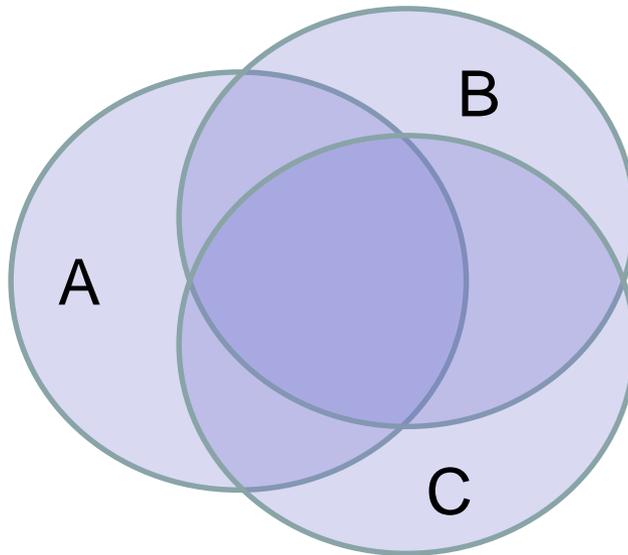
Why?



- Pairs overlaps twice
- But then need to add middle again!

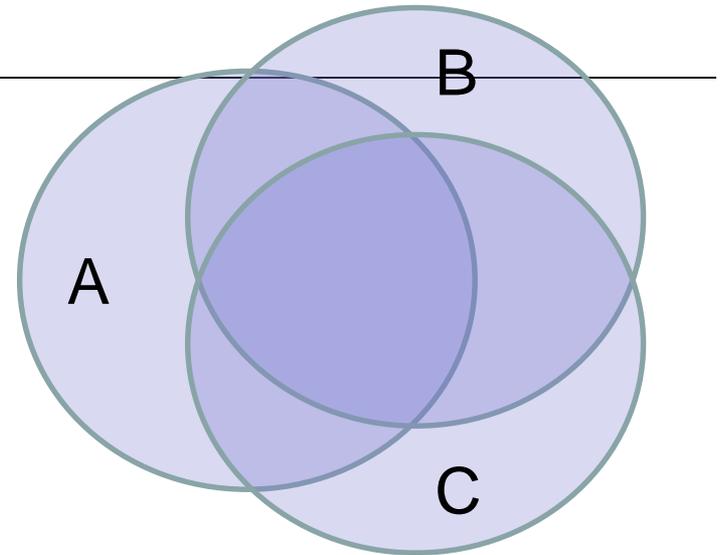
Can be generalized to k sets!

Same for probability:



If disks describe event space:

$$\begin{aligned} P[\text{event} \in A \cup B \cup C] &= P[A] + P[B] + P[C] \\ &\quad - P[A \cap B] - P[A \cap C] - P[B \cap C] \\ &\quad + P[A \cap B \cap C] \end{aligned}$$



Upper and lower bounds

Can get **upper bound** if omit **from minus sign**

$$P[\text{event} \in A \cup B \cup C] \leq P[A] + P[B] + P[C]$$

Can get **lower bound** if omit **from plus sign**

$$P[\text{event} \in A \cup B \cup C] \geq P[A] + P[B] + P[C] \\ - P[A \cap B] - P[A \cap C] - P[B \cap C]$$

Let us consider the following Marking Algorithm

Fast MIS (1986)

Proceed in rounds consisting of phases

In a **phase**:

1. each node v **marks** itself with **probability** $1/(2d(v))$ where $d(v)$ denotes the current degree of v
2. if no **higher degree neighbor** is marked, v joins MIS; otherwise, v unmarks itself again (break ties arbitrarily)
3. **delete** all nodes that joined the MIS plus their neighbors, as they cannot join the MIS anymore

If $d(v)=0$, assume probability = 1

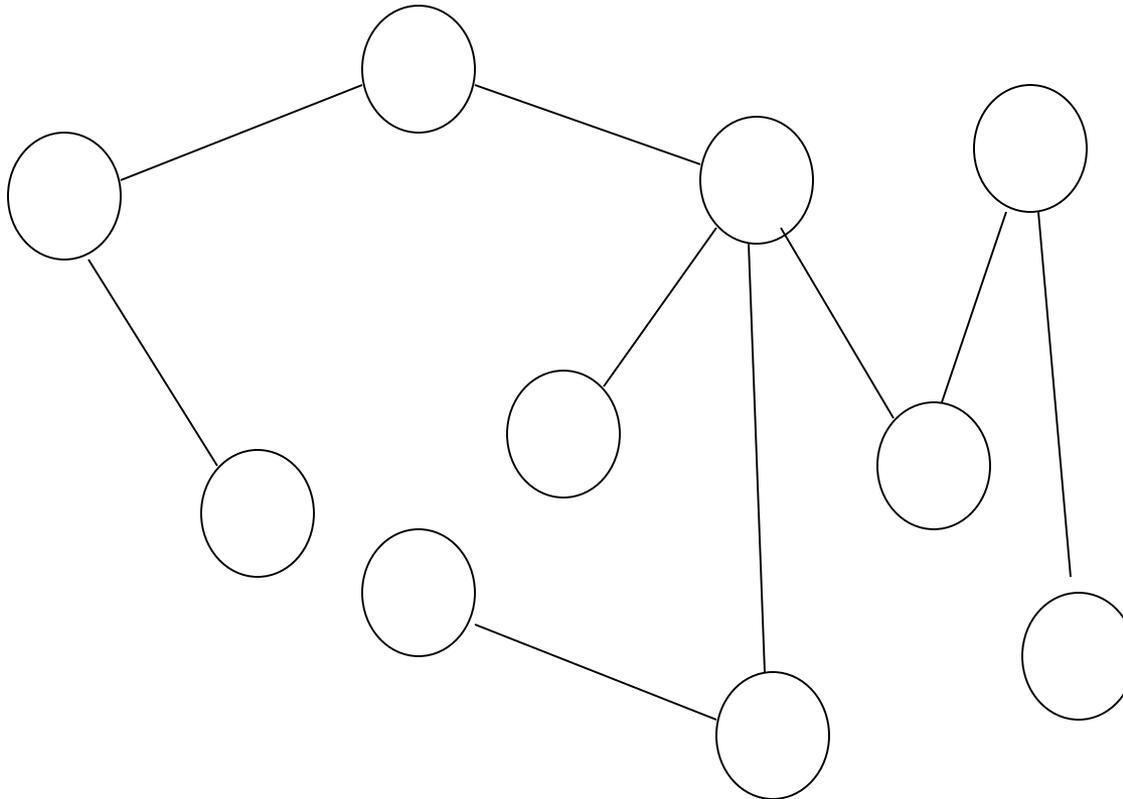
Probability of marking?

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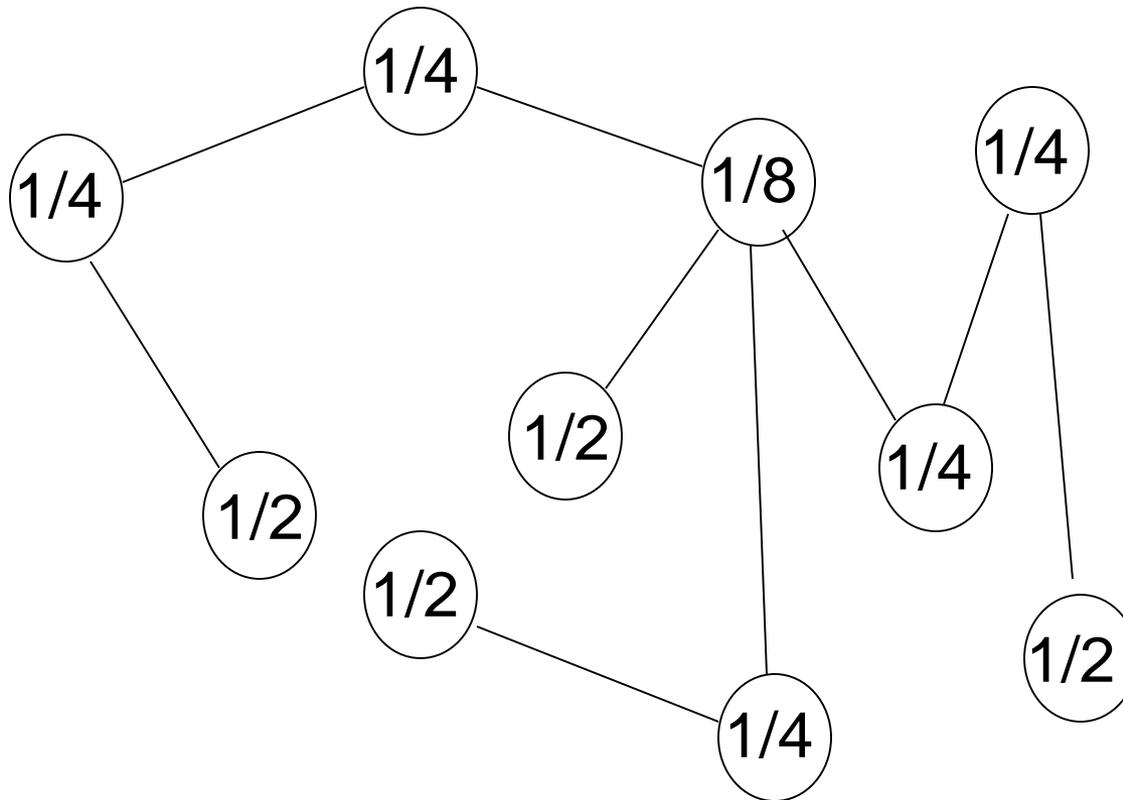
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MIS 1986

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MIS 1986

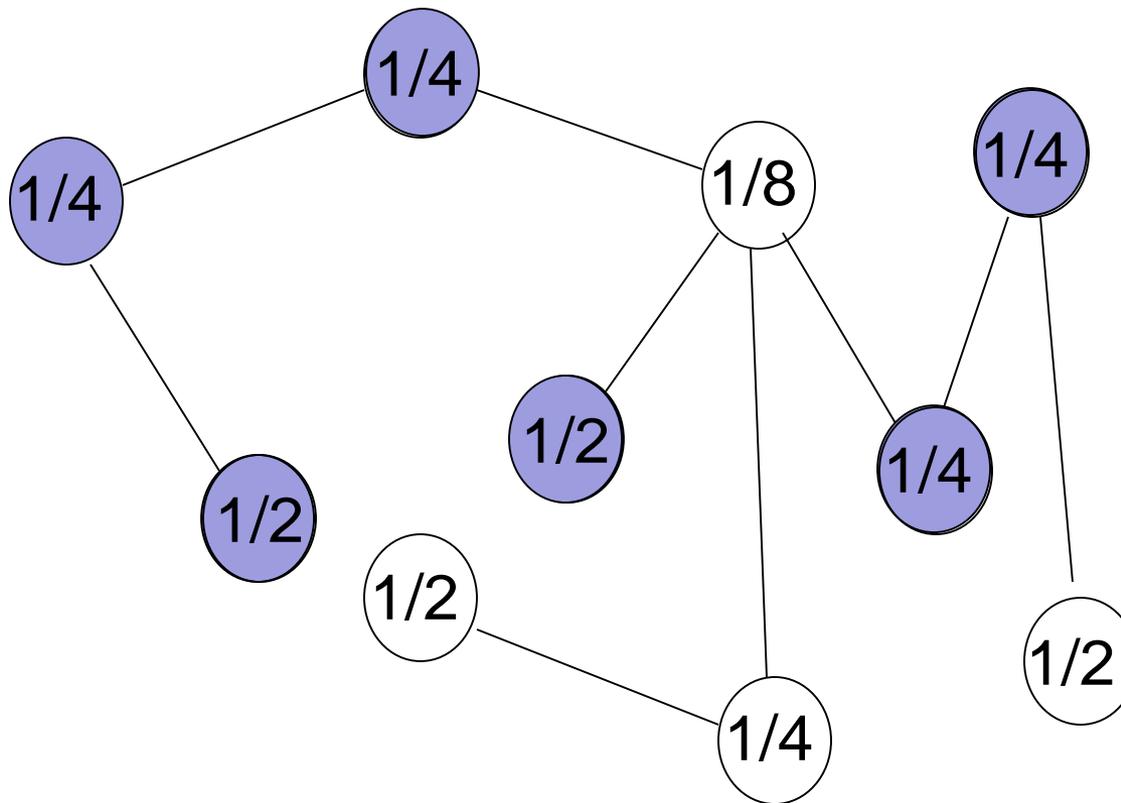
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Marking... Who stays?



MIS 1986

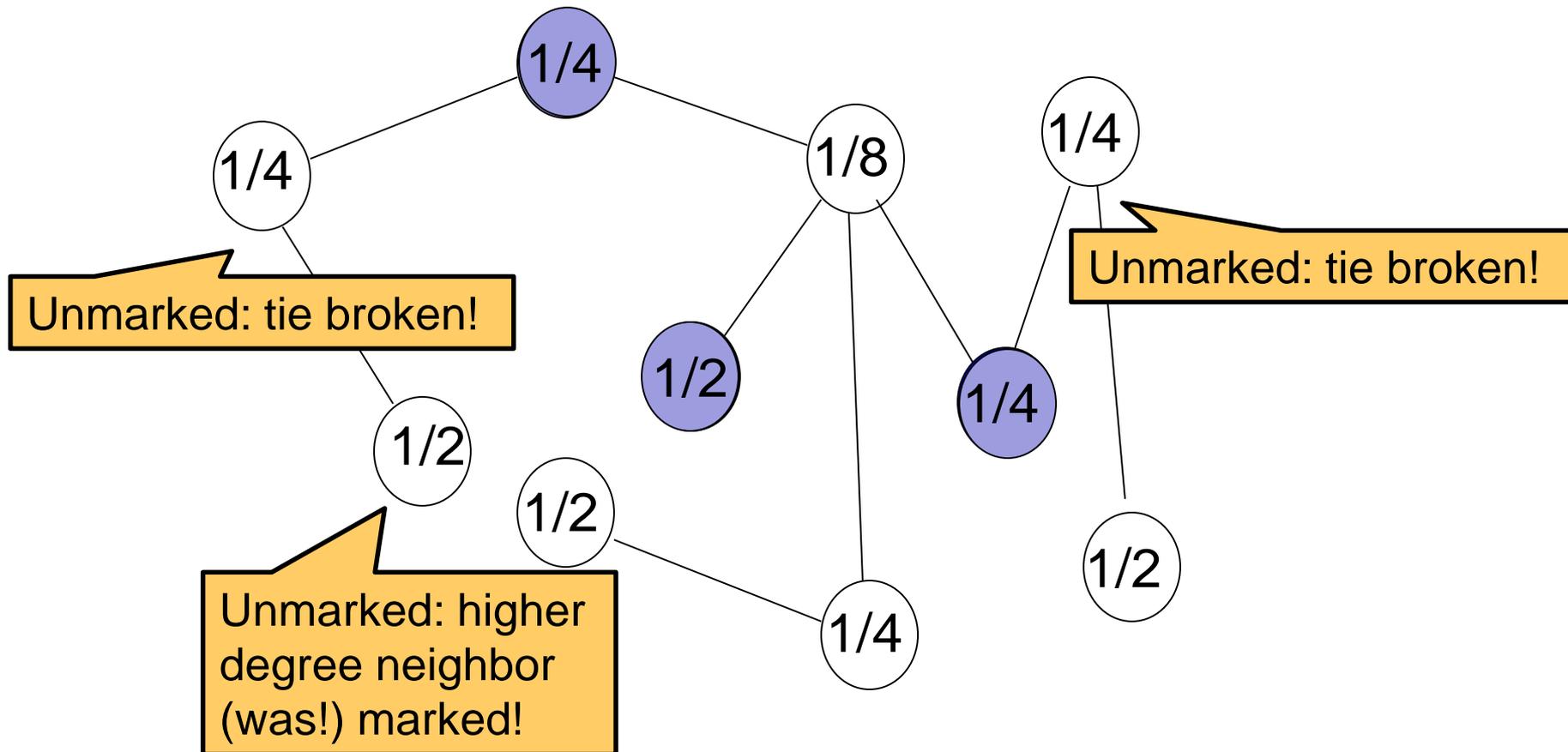
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And now?



MIS 1986

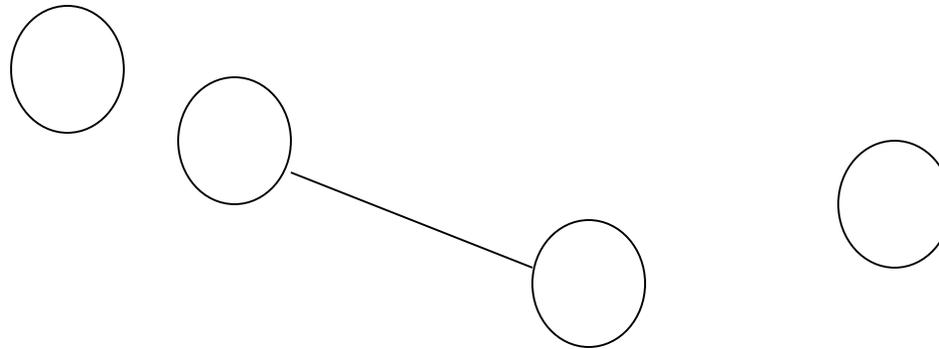
Delete neighborhoods...

Fast MIS (1986)

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Fast MIS from 1986...

High degree nodes are unlikely to mark themselves!

in a phase.

1. each node v marks itself with probability $1/(2d(v))$ where $d(v)$ denotes the current degree of v
2. if no higher degree neighbor is marked, v joins MIS; otherwise, v unmarks itself again (break ties arbitrarily)
3. delete all nodes that are not in MIS and their neighbors, as they will never join MIS.

But are likely to win the neighbor competition later and join MIS.

Fast MIS (1986)

Proceed in rounds consisting of phases

In a **phase**:

1. each node v **marks** itself with **probability** $1/(2d(v))$ where $d(v)$ denotes the current degree of v
2. if no **higher degree neighbor** is marked, v joins MIS; otherwise, v unmarks itself again (break ties arbitrarily)
3. **delete** all nodes that joined the MIS plus their neighbors, as they cannot join the MIS anymore

Why is it correct? Why IS? Why MIS?

Fast MIS (1986)

Proceed in rounds consisting of phases

In a **phase**:

1. each node v **marks** itself with **probability** $1/2d(v)$ where $d(v)$ denotes the current degree of v
2. if no **higher degree neighbor** is marked, v joins MIS; otherwise, v unmarks itself again (break ties arbitrarily)
3. **delete** all nodes that joined the MIS plus their neighbors, as they cannot join the MIS anymore

It is an IS: Step 2 ensures that node only joins if neighbors do not (due to degree or tie breaking)!

It is a MIS: At some time, a node without MIS neighbors marks itself in Step 1.

Fast MIS (1986)

Proceed in rounds consisting of phases

In a **phase**:

1. each node v **marks** itself with **probability** $1/2d(v)$ where $d(v)$ denotes the current degree of v
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3. **delete** all nodes that joined the MIS plus their neighbors, as they cannot join the MIS anymore

It is an IS: Step 2 ensures that a node only joins if it has no higher degree neighbor to degree or tie break.

What about the distributed runtime?

It is a MIS: At some time, a node without MIS neighbors marks itself in Step 1.

Goal: Proving a logarithmic runtime

There are many ways to show a logarithmic runtime. In general: show that the **remaining problem size is divided in two** in each round.

- Idea 1: Each **node** is removed with constant probability (e.g., $\frac{1}{2}$) in each round. So half of the nodes vanish in each round.
- Idea 2: Each **edge** is removed with constant probability in each round!
Also works: $O(\log m) = O(\log n^2) = O(\log n)$

log n:

How many times do I have to **:2** until <2 ?

$n, n/2, n/4, n/8, \dots, 8, 4, 2, 1$



log n

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Also works (if n is large enough) **Neither is true!** 😞

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Also works (if n is large enough)

Neither is true! ☹️

log n:

However: A constant fraction of edges are removed with constant probability!



log n

Analysis

Let us compute the probability p that any node v joins MIS in Step 2!

We know:

- At most $1/2d(v)$: node only marked with this probability.
- Once marked, needs to join MIS: only if largest degree...



We will find that marked nodes are likely to join MIS!

Joining MIS

Node v joins MIS in Step 2 with probability $p \geq 1/(4d(v))$.

To prove this lemma, let \mathbf{M} be the set of **marked nodes** in Step 1 (prob. $1/(2d(v))$), and let $\mathbf{H}(v)$ be the set of **neighbors of v with higher degree or same degree and higher identifier**.

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$$= P[\exists w \in \mathbf{H}(v), w \in \mathbf{M}]$$

Definition of algorithm! A neighbor was the reason for v not to join MIS.

$$= \sum_w \epsilon_{\mathbf{H}(v)} \frac{1}{(2d(w))}$$
$$\leq \sum_w \epsilon_{\mathbf{H}(v)} \frac{1}{(2d(v))}$$
$$\leq d(v)/(2d(v)) = 1/2$$

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$$\leq \sum_{w \in \mathbf{H}(v)} P[w \in \mathbf{M}]$$

Probability is independent of whether v is marked or not!

$$\leq \sum_{w \in \mathbf{H}(v)} 1/(2d(v)) \leq d(v)/(2d(v)) = 1/2$$

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Compute union bound (sum) of all these events individually. And ignore neighbor condition.

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Definition of marking algorithm

$$\leq d(v)/(2d(v)) = 1/2$$

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Definition of algorithm: degree of w is at least as large as of v .

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v has at most $d(v)$ neighbors with higher or same degree.

$$\begin{aligned} &\leq \sum_{w \in \mathbf{H}(v)} 1/(2d(v)) \\ &\leq d(v)/(2d(v)) = 1/2 \end{aligned}$$

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Conclusion: a marked node is likely to join MIS!

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$$P[v \in \text{MIS}] = P[v \in \text{MIS} \mid v \in \mathbf{M}] P[v \in \mathbf{M}] \geq 1/2 \cdot 1/(2d(v))$$

Joining MIS

Node v joins MIS in Step 2 with probability $p \geq 1/(4d(v))$.

A small probability still (e.g., $1/n$).
We need some more definitions!

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Good&Bad Nodes

A node v is called *good* if

$$\sum_{w \in N(v)} 1/(2d(w)) \geq 1/6.$$

Otherwise bad.

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Likely to be removed when neighbors join MIS!

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A good node v will be removed in Step 3 with probability $p \geq 1/36$.

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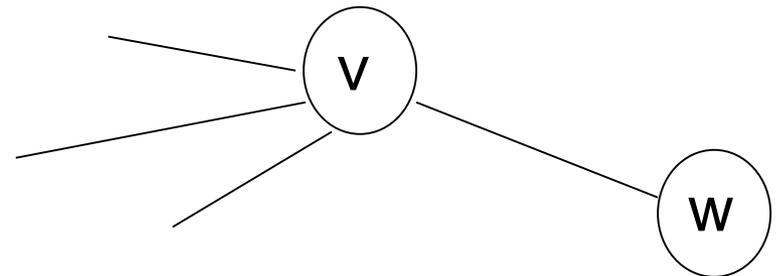
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Case 1: If v has a neighbor w with $d(w) \leq 2$

This case is easy! Our „Joining MIS Lemma“ implies that the probability that this node is removed is at least $1/8$: neighbor w joins with probability $1/8$.



Joining MIS

Node v joins MIS in Step 2 with probability $p \geq 1/(4d(v))$.

Recall:

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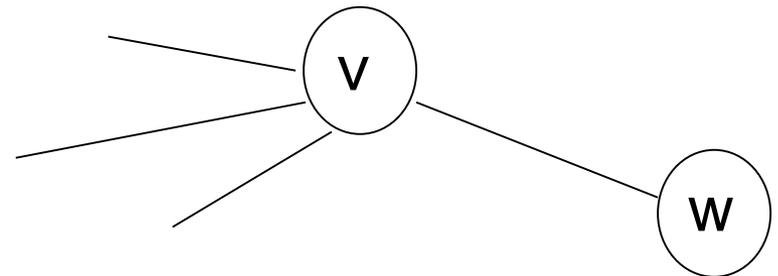
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Case 2: If v has only neighbors w with $d(w) > 2$

Therefore: for any neighbor w of good node v , we have $1/(2d(w)) \leq 1/6$.

Therefore: fine granularity of summands:

Then, for a good node v , there must be a subset $S \subseteq N(v)$ such that

$$1/6 \leq \sum_{w \in S} 1/(2d(w)) \leq 1/3.$$

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Therefore: fine granularity of summands:

By definition of good node.

We can add neighbors at a granularity of $1/6$, see above.

$$1/6 \leq \sum_{w \in S} 1/(2d(w)) \leq 1/3.$$

Good Nodes

A good node v will be removed in Step 3 with probability $p \geq 1/36$.

Let R be event that good node v is **removed** (e.g., if neighbor joins MIS).

$$P[R] \geq P[\exists u \in S, u \in \text{MIS}]$$

$$\geq \sum_u \epsilon_S P[u \in \text{MIS}] - \sum_{u,w} \epsilon_S P[u \in \text{MIS and } w \in \text{MIS}]$$



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Lower bound: v removed only if neighbor from this special subset S joins



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By truncating the **inclusion-exclusion principle**...: Probability that there is one is sum of probability for all individual ones minus probability that two enter MIS, plus...



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$$\geq \sum_{u \in S} P[u \in \text{MIS}] - \sum_{u, w \in S} P[u \in \text{MIS and } w \in \text{MIS}]$$

$$\begin{aligned} P[R] &\geq \sum_{u \in S} P[u \in \text{MIS}] - \sum_{u, w \in S; u \neq w} P[u \in M \text{ and } w \in M] \\ &\geq \sum_{u \in S} P[u \in \text{MIS}] - \sum_{u \in S} \sum_{w \in S} P[u \in M] \cdot P[w \in M] \\ &\geq \sum_{u \in S} \frac{1}{4d(u)} - \sum_{u \in S} \sum_{w \in S} \frac{1}{2d(u)} \frac{1}{2d(w)} \\ &\geq \sum_{u \in S} \frac{1}{2d(u)} \left(\frac{1}{2} - \sum_{w \in S} \frac{1}{2d(w)} \right) \geq \frac{1}{6} \left(\frac{1}{2} - \frac{1}{3} \right) = \frac{1}{36}. \end{aligned}$$



Good Nodes

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Let R be event that good node v is **removed** (e.g., if neighbor joins MIS).

$$P[R] \geq P[\exists u \in S, u \in \text{MIS}]$$

$$\geq \sum_{u \in S} P[u \in \text{MIS}] - \sum_{u, w \in S} P[u \in \text{MIS and } w \in \text{MIS}]$$

$$P[R] \geq \sum_{u \in S} P[u \in \text{MIS}] - \sum_{u, w \in S; u \neq w} P[u \in M \text{ and } w \in M]$$

just derived

$P[u \in \text{MIS}]$

Using $P[u \in M] \geq P[u \in \text{MIS}]$

$$\geq \sum_{u \in S} \frac{1}{4d(u)} - \sum_{u \in S} \sum_{w \in S} \frac{1}{2d(u)} \frac{1}{2d(w)}$$

$$\geq \sum_{u \in S} \frac{1}{2d(u)} \left(\frac{1}{2} - \sum_{w \in S} \frac{1}{2d(w)} \right) \geq \frac{1}{6} \left(\frac{1}{2} - \frac{1}{3} \right) = \frac{1}{36}.$$

Good Nodes

A good node v will be removed in Step 3 with probability $p \geq 1/36$.

Let R be event that good node v is **removed** (e.g., if neighbor joins MIS).

$$P[R] \geq P[\exists u \in S, u \in \text{MIS}]$$

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$$P[R] \geq \sum_{u \in S} P[u \in \text{MIS}] - \sum_{u, w \in S; u \neq w} P[u \in M \text{ and } w \in M]$$

$$\geq \sum_{u \in S} P[u \in \text{MIS}] - \sum_{u \in S} \sum_{w \in S} P[u \in M] \cdot P[w \in M]$$

$$\geq \sum_{u \in S} \frac{1}{4d(u)} - \sum_{u \in S} \frac{1}{2} \cdot \frac{1}{3}$$

Independent but count nodes twice in sum

$$\geq \sum_{u \in S} \frac{1}{2d(u)} \left(\frac{1}{2} - \sum_{w \in S} \frac{1}{2d(w)} \right) \geq \frac{1}{6} \left(\frac{1}{2} - \frac{1}{3} \right) = \frac{1}{36}.$$

Good Nodes

A good node v will be removed in Step 3 with probability $p \geq 1/36$.

Let R be event that good node v is **removed** (e.g., if neighbor joins MIS).

$$P[R] \geq P[\exists u \in S. u \in \text{MIS}]$$

$$\geq \sum$$

Joining MIS

Node v joins MIS in Step 2 with probability $p \geq 1/(4d(v))$.

$P[R]$

$$\geq \sum_{u \in S} P[u \in \text{MIS}] - \sum_{u \in S} \sum_{w \in S, u \neq w} P[u \in M] \cdot P[w \in M]$$

$$\geq \sum_{u \in S} \frac{1}{4d(u)} - \sum_{u \in S} \sum_{w \in S} \frac{1}{2d(u)} \frac{1}{2d(w)}$$

See Joining MIS lemma

See algorithm

$$\sum_{u \in S} \frac{1}{2d(u)} \left(1 - \frac{1}{3} \right) = \frac{1}{36}$$

Good Nodes

A good node v will be removed in Step 3 with probability $p \geq 1/36$.

Let R be event that good node v is **removed** (e.g., if neighbor joins MIS).

$$P[R] \geq P[\exists u \in S, u \in \text{MIS}]$$

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$$P[R] \geq \sum_{u \in S} P[u \in \text{MIS}] - \sum_{u,w \in S; u \neq w} P[u \in M \text{ and } w \in M]$$

$$\geq \sum_{u \in S} P[u \in \text{MIS}] - \sum_{u \in S} \sum_{w \in S} P[u \in M] \cdot P[w \in M]$$

$$\geq \sum_{u \in S} \frac{1}{4d(u)} - \sum_{u \in S} \sum_{w \in S} \frac{1}{2d(u)} \frac{1}{2d(w)}$$

Set S has at least cumulative prob. $1/6$...

$$\geq \sum_{u \in S} \frac{1}{2d(u)} \left(\frac{1}{2} - \sum_{w \in S} \frac{1}{2d(w)} \right) \geq \frac{1}{6} \left(\frac{1}{2} - \frac{1}{3} \right) = \frac{1}{36}.$$

... and at most $1/3$.

Good Nodes

A good node v will be removed in Step 3 with probability

$$p \geq 1/36.$$

We just proved:

Good Nodes

A good node v will be removed in Step 3 with probability

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We just proved:

But how many good nodes are there?

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Good&Bad Nodes

A node v is called *good* if

$$\sum_w \epsilon_{N(v)} \frac{1}{(2d(w))} \geq 1/6.$$

Otherwise bad.

A good node has many neighbors of low degree.

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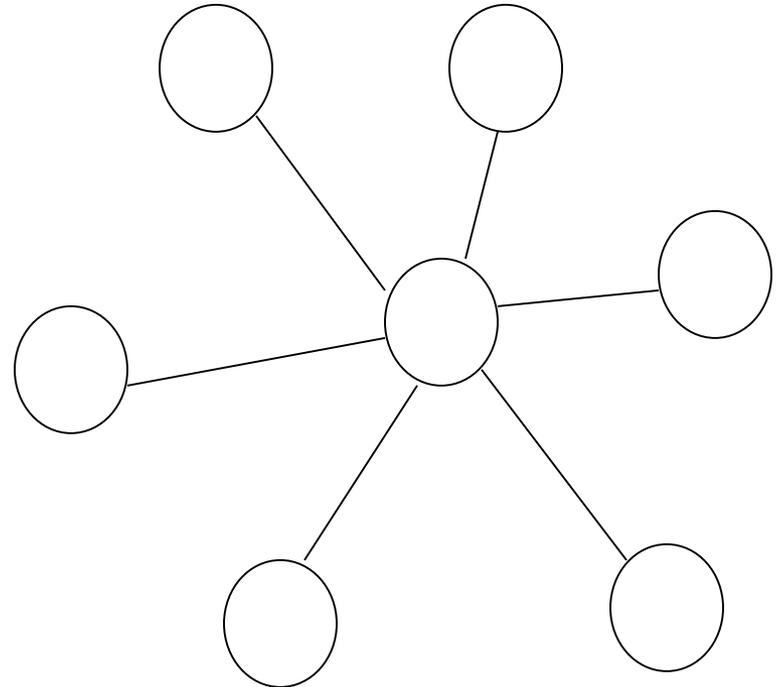
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We just proved:

But how many good nodes are there?

Only one good node!

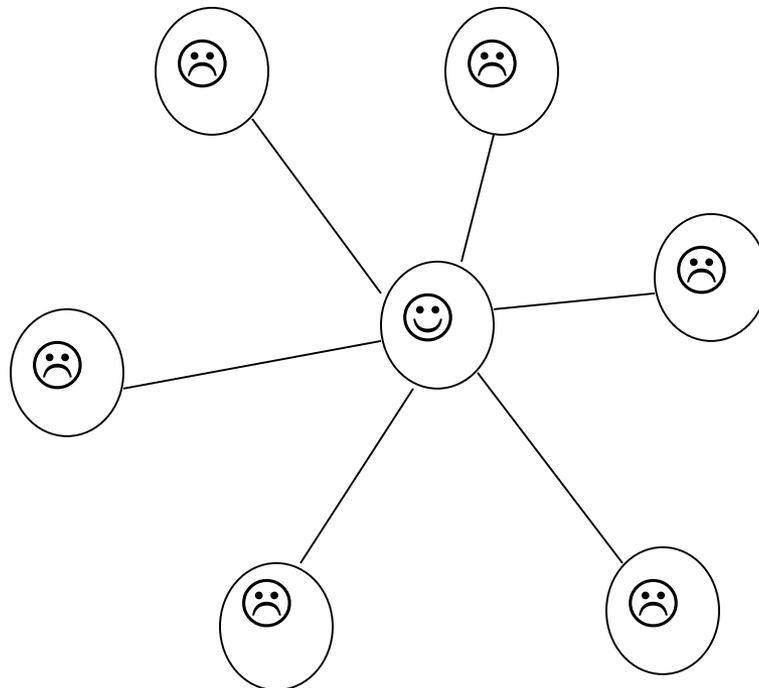
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Otherwise bad.

A good node has many neighbors of low degree.



Good Nodes

A good node v

But many edges have one good node as endpoint! Many «good edges»!

We just proved:

But how many good nodes are there?

Only one good node!

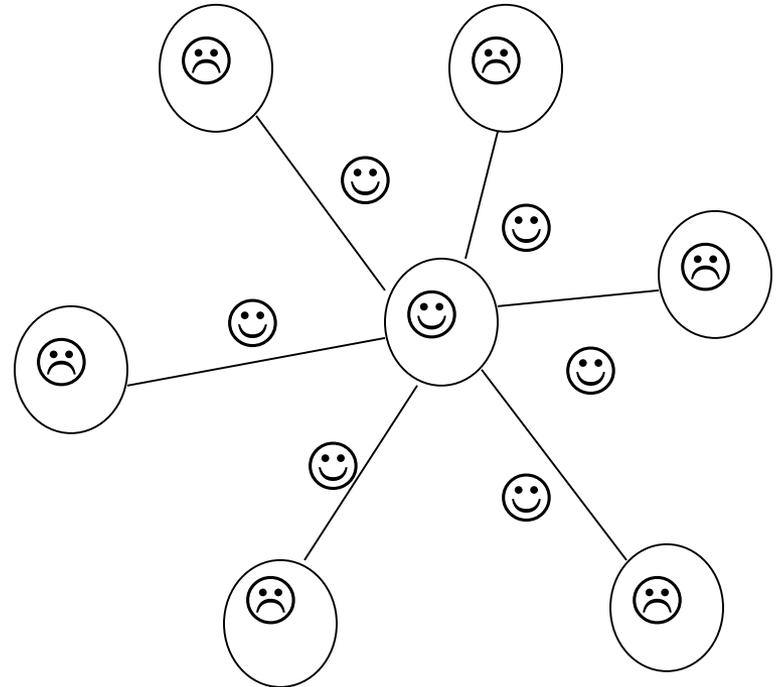
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Otherwise bad.

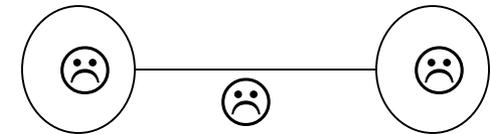
A good node has many neighbors of low degree.



Good&Bad Edges

An edge $e=(u,v)$ called *bad* if both u and v are bad (not good). Else the edge is called good.

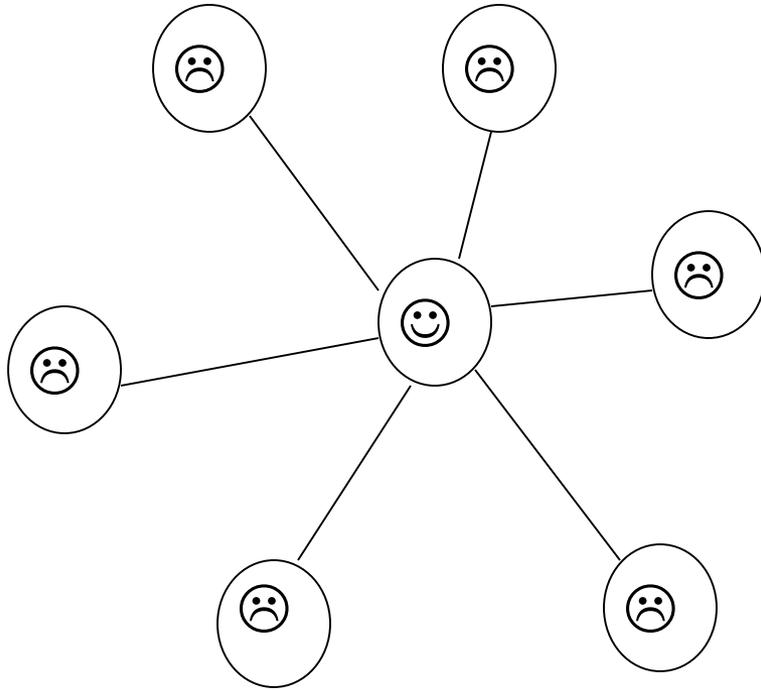
A bad edge is incident to two nodes with neighbors of high degrees.



Good Edges

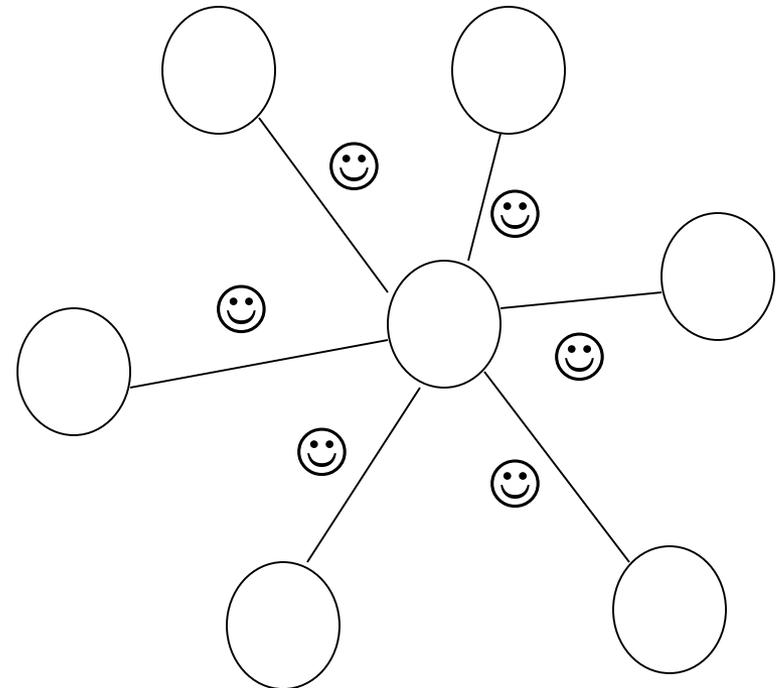
At least half of all edges are good, at any time.

Analysis



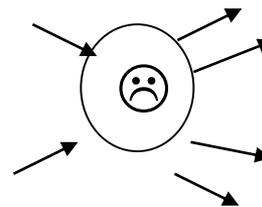
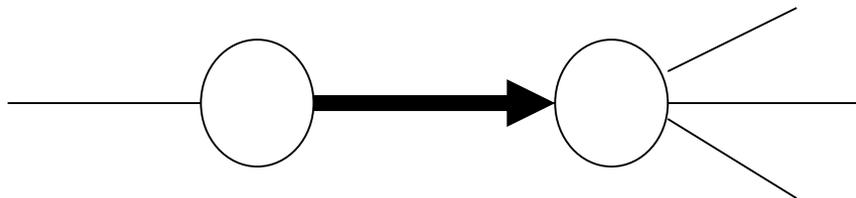
... but many good edges!

Not many good nodes...



Analysis

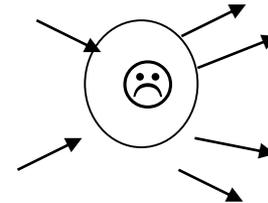
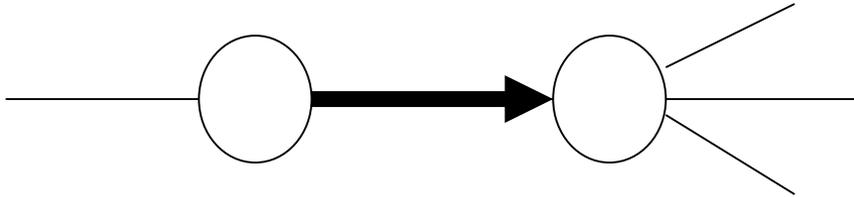
Idea: Artificially direct each edge **towards higher** degree node (if both nodes have same degree, point it to one with higher ID).



Helper Lemma
A bad node v has out-degree at least twice its in-degree.

Analysis

Idea: Artificially direct each edge **towards higher** degree node (if both nodes have same degree, point it to one with higher ID).

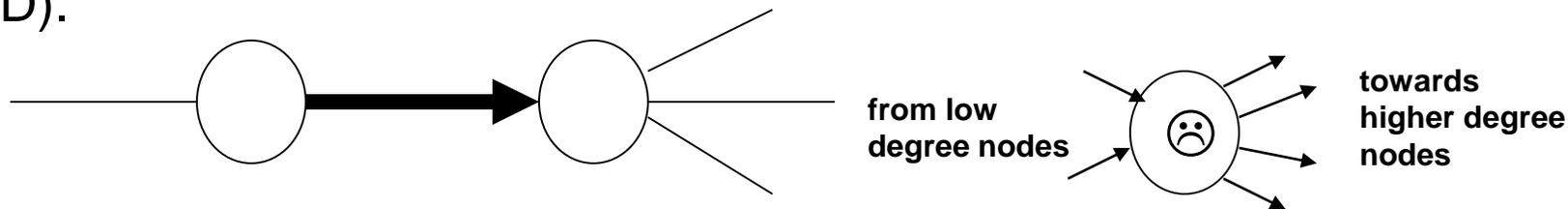


Helper Lemma
A bad node v has out-degree at least twice its in-degree.

That would be great:
Since sum of incoming edges = sum of outgoing edges,
if the number of edges into bad nodes can be at most half
the number of all edges, at least half of all edges are directed
into good nodes! And they are good! 😊
So at least half of all edges are good.

Analysis

Idea: Construct an **auxiliary graph**! Direct each edge **towards higher** degree node (if both nodes have same degree, point it to one with higher ID).



Helper Lemma

A bad node v has out-degree at least twice its indegree.

Proof („Helper Lemma“).

Idea: Otherwise it must have many low-degree neighbors and be good!
Assume the opposite: at least $d(v)/3$ neighbors (let's call them $S \subseteq N(v)$) have degree at most $d(v)$ (otherwise v would point to them). But then

$$\sum_{w \in N(v)} \frac{1}{2d(w)} \geq \sum_{w \in S} \frac{1}{2d(w)} \geq \sum_{w \in S} \frac{1}{2d(v)} \geq \frac{d(v)}{3} \frac{1}{2d(v)} = \frac{1}{6}$$

only subset...

Def. of S

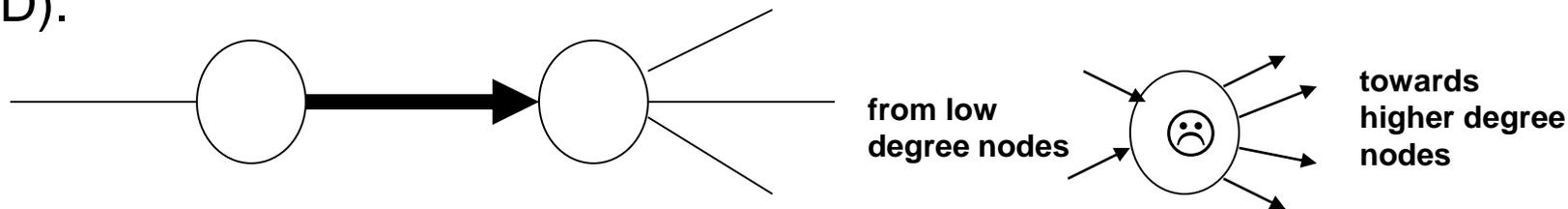
Assumption

Contradiction:
v would be good!

QED

Analysis

Idea: Construct an **auxiliary graph**! Direct each edge **towards higher** degree node (if both nodes have same degree, point it to one with higher ID).



Helper Lemma

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Fast MIS (1986)

Fast MIS terminates in expected time $O(\log n)$.

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Fast MIS terminates in expected time $O(\log n)$.

At least half of all the edges are good, and thus have at least one good incident node which will be deleted with constant probability and so will the edge! A **constant fraction of edges** will be deleted in each phase. (Note that $O(\log m) = O(\log n)$.)

Back to the future: Fast MIS from 2009...!

Even simpler algorithm!

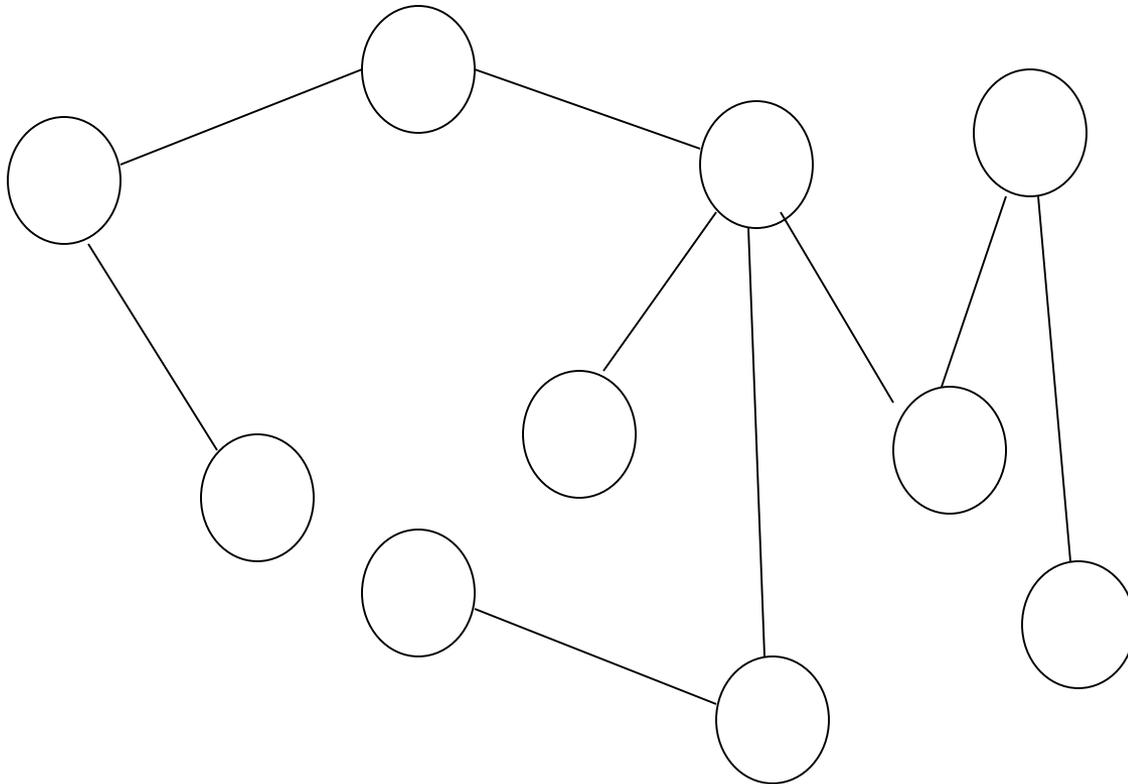
Fast MIS (2009)

Proceed in rounds consisting of phases!

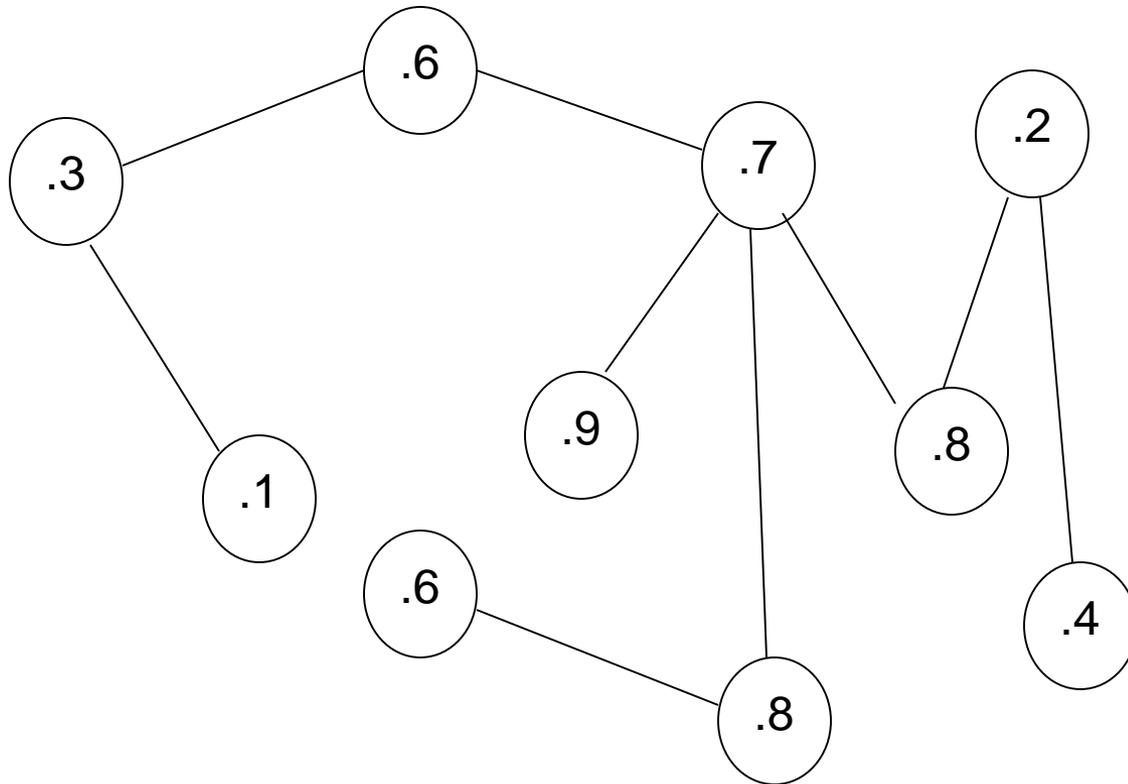
In a **phase**:

1. each node chooses a random value $r(v) \in [0,1]$ and sends it to its neighbors.
2. If $r(v) < r(w)$ for all neighbors $w \in N(v)$, node v enters the MIS and informs the neighbors
3. If v or a neighbor of v entered the MIS, v **terminates** (and v and edges are **removed**), otherwise v enters next phase!

Fast MIS from 2009...

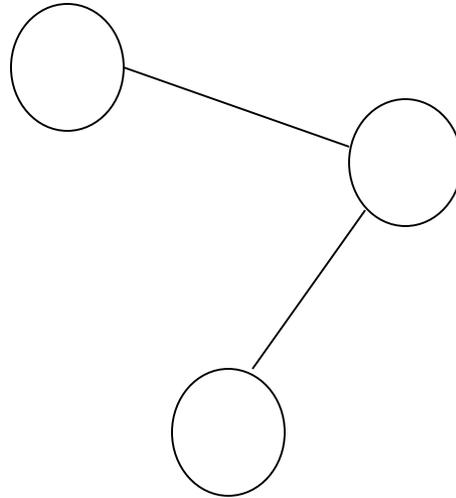


Fast MIS from 2009...



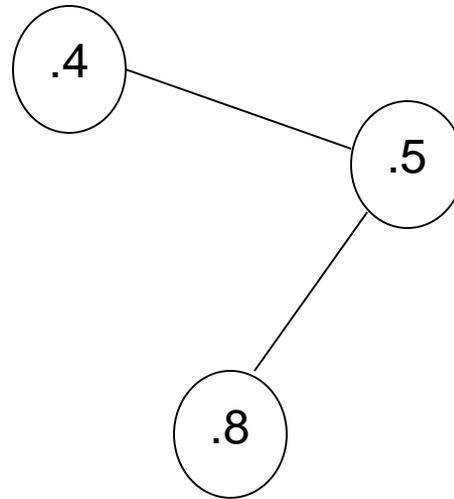
Choose random values!

Fast MIS from 2009...



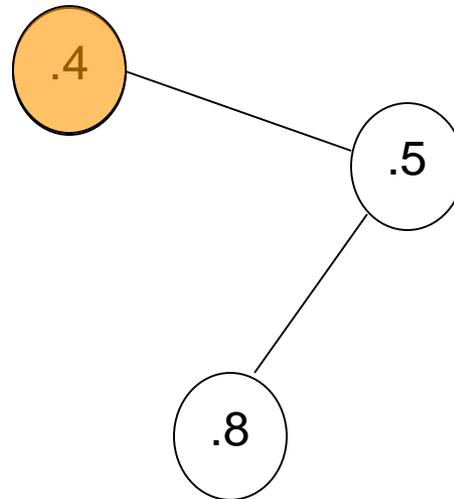
Remove neighborhoods...

Fast MIS from 2009...



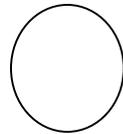
Choose random values!

Fast MIS from 2009...



Min in neighborhood: *add to IS!*

Fast MIS from 2009...



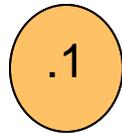
Remove neighborhoods...

Fast MIS from 2009...

.1

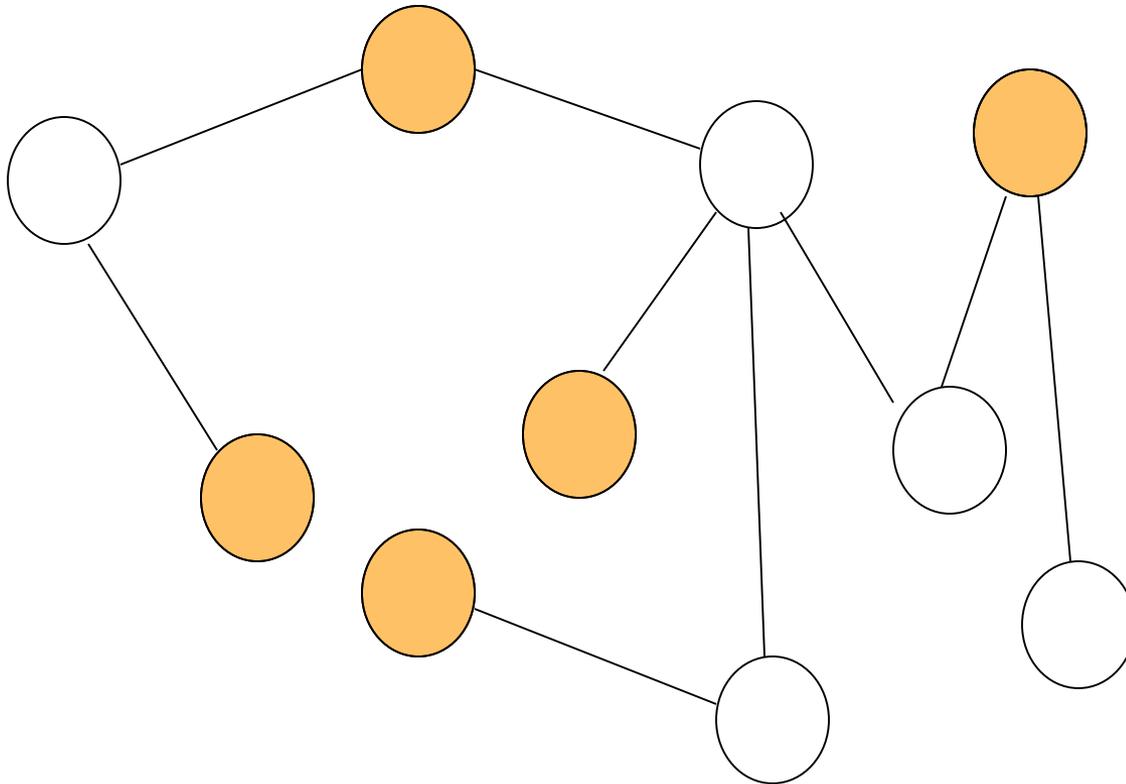
Choose random values!

Fast MIS from 2009...



Min in neighborhood: *add to IS!*

Fast MIS from 2009...



... done: MIS!

Fast MIS (2009)

Proceed in rounds consisting of phases!

In a **phase**:

1. each node chooses a random value $r(v) \in [0,1]$ and sends it to its neighbors.
2. If $r(v) < r(w)$ for all neighbors $w \in N(v)$, node v enters the MIS and informs the neighbors
3. If v or a neighbor of v entered the MIS, v **terminates** (and v and edges are **removed**), otherwise v enters next phase!

Why is it correct? Why IS?

Step 2: if v joins, neighbors do not

Step 3: if v joins, neighbors will *never* join again

Fast MIS (2009)

Proceed in rounds consisting of phases!

In a **phase**:

1. each node chooses a random value $r(v) \in [0,1]$ and sends it to its neighbors.
2. If $r(v) < r(w)$ for all neighbors $w \in N(v)$, node v enters the MIS and informs the neighbors
3. If v or a neighbor of v entered the MIS, v **terminates** (and v and edges are **removed**), otherwise v enters next phase!

Why MIS?

Node with smallest random value will always join the IS, so there is always progress.

Fast MIS (2009)

Proceed in rounds consisting of phases!

In a **phase**:

1. each node chooses a random value $r(v) \in [0,1]$ and sends it to its neighbors.
2. If $r(v) < r(w)$ for all neighbors $w \in N(v)$, node v enters the MIS and informs the neighbors
3. If v or a neighbor of v entered the MIS, v **terminates** (and v and edges are **removed**), otherwise v enters next phase!

Runtime?

Analysis: Recall „Linearity of Expectation“

Theorem 5.9 (Linearity of Expectation). *Let X_i , $i = 1, \dots, k$ denote random variables, then*

$$\mathbb{E} \left[\sum_i X_i \right] = \sum_i \mathbb{E} [X_i].$$

Proof. It is sufficient to prove $\mathbb{E} [X + Y] = \mathbb{E} [X] + \mathbb{E} [Y]$ for two random variables X and Y , because then the statement follows by induction. Since

$$\begin{aligned} P[(X, Y) = (x, y)] &= P[X = x] \cdot P[Y = y | X = x] \\ &= P[Y = y] \cdot P[X = x | Y = y] \end{aligned}$$

we get that

$$\begin{aligned} \mathbb{E} [X + Y] &= \sum_{(X, Y) = (x, y)} P[(X, Y) = (x, y)] \cdot (x + y) \\ &= \sum_{X=x} \sum_{Y=y} P[X = x] \cdot P[Y = y | X = x] \cdot x \\ &+ \sum_{Y=y} \sum_{X=x} P[Y = y] \cdot P[X = x | Y = y] \cdot y \\ &= \sum_{X=x} P[X = x] \cdot x + \sum_{Y=y} P[Y = y] \cdot y \\ &= \mathbb{E} [X] + \mathbb{E} [Y]. \end{aligned}$$

We sum over all possible y values for a given x , so $=1$.

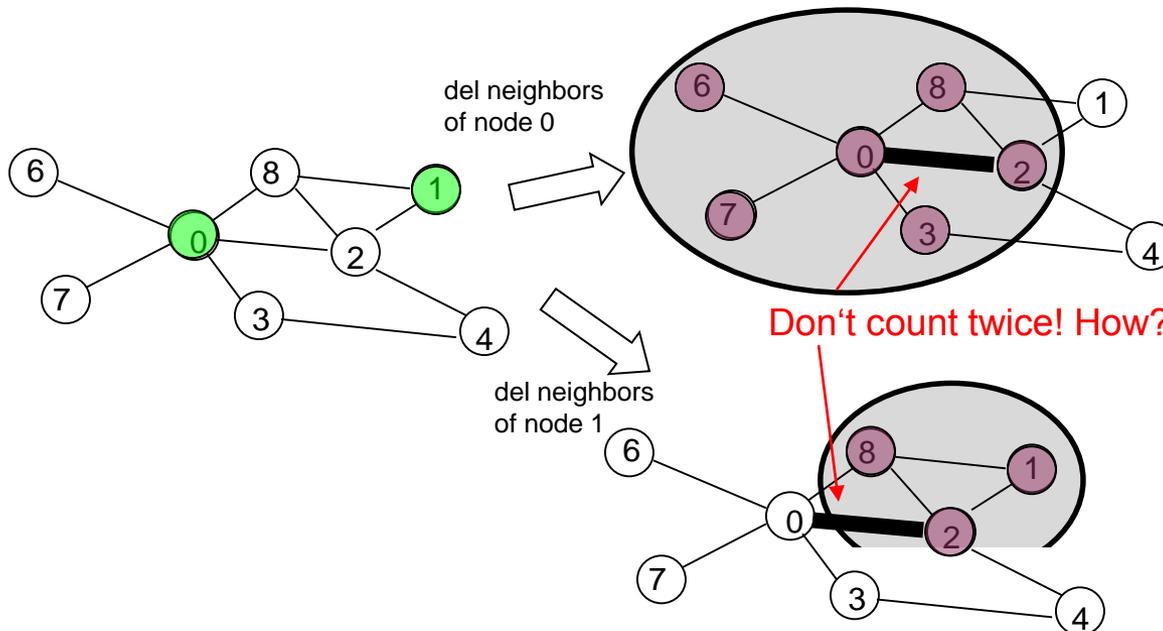
Analysis

Probability of a node v to enter MIS?

Probability = node v has smallest ID in neighborhood, so at least $1/(d(v)+1)$...

... also v 's neighbor's edges will disappear with this probability, so more than $d(v)$ edges go away with this probability!

But let's make sure we do not double count edges!



Idea: only count edges from a neighbor w when v is the smallest value even in w 's neighborhood! It's a subset only, but sufficient!

Edge Removal: Analysis (1)

Edge Removal

In expectation, we remove at least half of all the edges in any phase.

Event ($v \Rightarrow w$)

($v \Rightarrow w$): per edge event: node v joins MIS and is even smaller than w 's neighbors.

Proof („Edge Removal“)?

Consider the graph $G=(V,E)$, and **assume v joins MIS** (i.e., $r(v) < r(w)$ for all neighbors w).

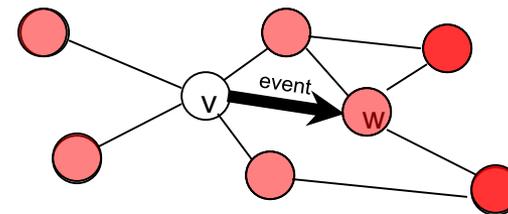
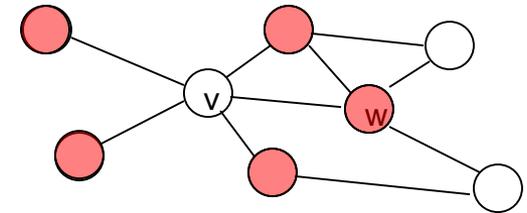
If in addition, it holds that $r(v) < r(x)$ for all neighbors x of a neighbor w , we call this **event ($v \Rightarrow w$)**.

What is the probability of this event (that v is minimum **also for neighbors** of the given neighbor)?

$$P [(v \Rightarrow w)] \geq 1/(d(v)+d(w)),$$

since $d(v)+d(w)$ is the maximum possible number of nodes adjacent to v and w .

If v joins MIS, all edges (w,x) will be removed; there are at least $d(w)$ many.



Edge Removal: Analysis (2)

Edge Removal

In expectation, we remove at least half of all the edges in any phase.

Proof („Edge Removal“)?

How many edges are removed?

Let $X_{(v \Rightarrow w)}$ denote random variable for number of edges adjacent to w removed due to event $(v \Rightarrow w)$. If $(v \Rightarrow w)$ occurs, $X_{(v \Rightarrow w)}$ has value $d(w)$, otherwise 0.

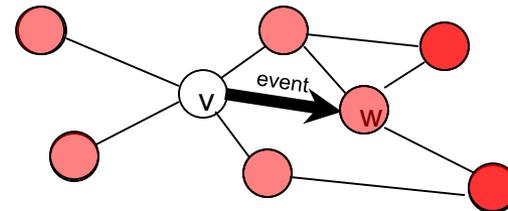
Let X denote the sum of all these random variables.

So:

$$\begin{aligned}\mathbb{E}[X] &= \sum_{\{v,w\} \in E} \mathbb{E}[X_{(v \rightarrow w)}] + \mathbb{E}[X_{(w \rightarrow v)}] \\ &= \sum_{\{v,w\} \in E} P[\text{Event } (v \rightarrow w)] \cdot d(w) + P[\text{Event } (w \rightarrow v)] \cdot d(v) \\ &\geq \sum_{\{v,w\} \in E} \frac{d(w)}{d(v) + d(w)} + \frac{d(v)}{d(w) + d(v)} \\ &= \sum_{\{v,w\} \in E} 1 = |E|.\end{aligned}$$

So all edges gone in one phase?!

We still overcount!



Edge Removal: Analysis (3)

Edge Removal

In expectation, we remove at least half of all the edges in any phase.

Proof („Edge Removal“)?

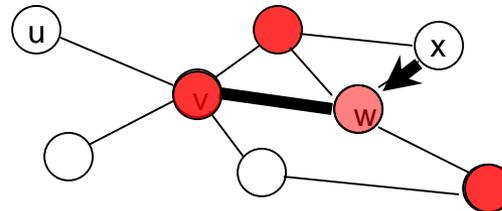
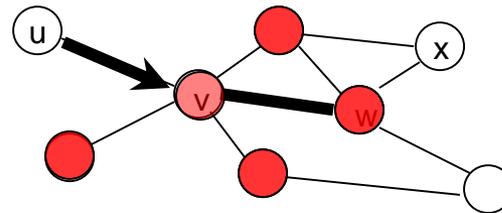
We still overcount:

Edge $\{v,w\}$ may be counted twice:
for event $(u \Rightarrow v)$ and event $(x \Rightarrow w)$.

However, it cannot be more than twice, as
there is at most one event $(* \Rightarrow v)$ and
at most one event $(* \Rightarrow w)$:

Event $(u \Rightarrow v)$ means $r(u) < r(w)$ for all
 $w \in N(v)$; another $(u' \Rightarrow v)$ would imply
that $r(u') > r(u) \in N(v)$.

So at least half of all edges vanish!



QED

MIS of 2009

Expected running time is $O(\log n)$.

Proof („MIS 2009“)?

Number of edges is cut in two in each round...

QED

Actually, the claim even holds with high probability!